# Digital Speech ProcessingLecture 12 

## Homomorphic Speech Processing

## General Discrete-Time Model of

 Speech Production

## Basic Speech Model

- short segment of speech can be modeled as having been generated by exciting an LTI system either by a quasi-periodic impulse train, or a random noise signal
- speech analysis => estimate parameters of the speech model, measure their variations (and perhaps even their statistical variabilites-for quantization) with time
- speech = excitation * system response
=> want to deconvolve speech into excitation and system response => do this using homomorphic filtering methods


## Superposition Principle

$$
\begin{aligned}
& \underset{x[n]}{+} \xrightarrow{+}\left\{\begin{array}{ll} 
\\
\xrightarrow{+} & \\
y[n]=\mathcal{L}
\end{array}\{x[n]\}\right. \\
& x_{1}[n]+x_{2}[n] \quad \mathcal{L}\left\{x_{1}[n]\right\}+\mathcal{L}\left\{x_{2}[n]\right\} \\
& x[n]=a x_{1}[n]+b x_{2}[n] \\
& y[n]=\mathcal{L}\{x[n]\}=a \mathcal{L}\left\{x_{1}[n]\right\}+b \mathcal{L}\left\{x_{2}[n]\right\}
\end{aligned}
$$

## Generalized Superposition for Convolution

$$
\xrightarrow[{\substack{x[n] \\
x_{1}[n] * x_{2}[n]}}]{* \mathcal{H}\{\quad\}} \xrightarrow[{\begin{array}{c}
y[n]=\mathcal{H} \\
\mathcal{H}\left\{x [ x _ { 1 } [ n ] \} * \mathcal { H } \left\{\left\{x_{2}[n]\right\}\right.\right.
\end{array}}
\end{array}]{\substack{*}}
$$

- for LTI systems we have the result

$$
y[n]=x[n] * h[n]=\sum_{k=-\infty}^{\infty} x[k] h[n-k]
$$

- "generalized" superposition => addition replaced by convolution

$$
\begin{aligned}
& x[n]=x_{1}[n] * x_{2}[n] \\
& y[n]=\mathcal{H}\{x[n]\}=\mathcal{H}\left\{x_{1}[n]\right\} * \mathcal{H}\left\{x_{2}[n]\right\}
\end{aligned}
$$

- homomorphic system for convolution


## Homomorphic Filter

- homomorphic filter => homomorphic system $[\mathcal{H}]$ that passes the desired signal unaltered, while removing the undesired signal

$$
\begin{aligned}
& x(n)=x_{1}[n] * x_{2}[n]-\text { with } x_{1}[n] \text { the "undesired" signal } \\
& \mathcal{H}\{x[n]\}=\mathcal{H}\left\{x_{1}[n]\right\} * \mathcal{H}\left\{x_{2}[n]\right\} \\
& \mathcal{H}\left\{x_{1}[n]\right\} \rightarrow \delta(n)-\text { removal of } x_{1}[n] \\
& \mathcal{H}\left\{x_{2}[n]\right\} \rightarrow x_{2}[n] \\
& \mathcal{H}\{x[n]\}=\delta[n] * x_{2}[n]=x_{2}[n]
\end{aligned}
$$

- for linear systems this is analogous to additive noise removal


## Canonic Form for Homomorphic Deconvolution



- any homomorphic system can be represented as a cascade of three systems, e.g., for convolution

1. system takes inputs combined by convolution and transforms them into additive outputs
2. system is a conventional linear system
3. inverse of first system--takes additive inputs and transforms them into convolutional outputs

## Canonic Form for Homomorphic Convolution

$$
\begin{aligned}
& \xrightarrow[{x[n}]]{*} \mathcal{D}_{*}\{\quad\} \underset{\hat{x}[n]}{+} \underset{\mathcal{L}\{\quad\}}{\hat{y}[n]}{ }^{+} \mathcal{D}_{*}^{-1}\{\quad\} \underset{y[n]}{*} \\
& x_{1}[n] * x_{2}[n] \quad \hat{x}_{1}[n]+\hat{x}_{2}[n] \quad \hat{y}_{1}[n]+\hat{y}_{2}[n] \quad y_{1}[n] * y_{2}[n]
\end{aligned}
$$

$$
\begin{array}{rlr}
x[n]=x_{1}[n] * x_{2}[n] & \text { - convolutional relation } \\
\hat{x}[n]=\mathcal{D}_{*}\{x[n]\}=\hat{x}_{1}[n]+\hat{x}_{2}[n] & - \text { additive relation } \\
\hat{y}[n]=\mathcal{L}\left\{\hat{x}_{1}[n]+\hat{x}_{2}[n]\right\}=\hat{y}_{1}[n]+\hat{y}_{2}[n] & \text { - conventional linear system } \\
y[n]=\mathcal{D}_{*}^{-1}\left\{\hat{y}_{1}[n]+\hat{y}_{2}[n]\right\}=y_{1}[n] * y_{2}[n] & \text { - inverse of convolutional relation }
\end{array}
$$

=> design converted back to linear system, $\mathcal{L}$
$\mathcal{D}_{*}[]$ - fixed (called the characteristic system for homomorphic deconvolution)
$\mathcal{D}_{*}^{-1}[]$ - fixed (characteristic system for inverse homomorphic deconvolution)

## Properties of Characteristic Systems

$$
\begin{aligned}
\hat{x}[n] & =\mathcal{D}_{*}\{x[n]\}=\mathcal{D}_{*}\left\{x_{1}[n] * x_{2}[n]\right\} \\
& =\mathcal{D}_{*}\left\{x_{1}[n]\right\}+\mathcal{D}_{*}\left\{x_{2}[n]\right\} \\
& =\hat{x}_{1}[n]+\hat{x}_{2}[n]
\end{aligned}
$$

$$
\begin{aligned}
\mathcal{D}_{*}^{-1}\{\hat{y}[n]\} & =\mathcal{D}_{*}^{-1}\left\{\hat{y}_{1}[n]+\hat{y}_{2}[n]\right\} \\
& =\mathcal{D}_{*}^{-1}\left\{\hat{y}_{1}[n]\right\} * \mathcal{D}_{*}^{-1}\left\{\hat{y}_{2}[n]\right\} \\
& =y_{1}[n] * y_{2}[n]=y[n]
\end{aligned}
$$

## Discrete-Time Fourier Transform Representations

## Canonic Form for Deconvolution Using DTFTs

- need to find a system that converts convolution to addition

$$
\begin{aligned}
& x[n]=x_{1}[n] * x_{2}[n] \\
& X\left(e^{j \omega}\right)=X_{1}\left(e^{j \omega}\right) \cdot X_{2}\left(e^{j \omega}\right)
\end{aligned}
$$

- since
$\mathcal{D}_{*}\{x[n]\}=\hat{x}_{1}[n]+\hat{x}_{2}[n]=\hat{x}[n]$
$\mathcal{D}_{*}\left[X\left(e^{j \omega}\right)\right]=\hat{X}_{1}\left(e^{j \omega}\right)+\hat{X}_{2}\left(e^{j \omega}\right)=\hat{X}\left(e^{j \omega}\right)$
=> use log function which converts products to sums

$$
\begin{aligned}
& \hat{X}\left(e^{j \omega}\right)=\log \left[X\left(e^{j \omega}\right)\right]=\log \left[X_{1}\left(e^{j \omega}\right) \cdot X_{2}\left(e^{j \omega}\right)\right] \\
& \quad=\log \left[X_{1}\left(e^{j \omega}\right)\right]+\log \left[X_{2}\left(e^{j \omega}\right)\right]=\hat{X}_{1}\left(e^{j \omega}\right)+\hat{X}_{2}\left(e^{j \omega}\right) \\
& \hat{Y}\left(e^{j \omega}\right)=\mathcal{L}\left[\hat{X}_{1}\left(e^{j \omega}\right)+\hat{X}_{2}\left(e^{j \omega}\right)\right]=\hat{Y}_{1}\left(e^{j \omega}\right)+\hat{Y}_{2}\left(e^{j \omega}\right) \\
& Y\left(e^{j \omega}\right)=\exp \left[\hat{Y}_{1}\left(e^{j \omega}\right)+\hat{Y}_{2}\left(e^{j \omega}\right)\right]=Y_{1}\left(e^{j \omega}\right) \cdot Y_{2}\left(e^{j \omega}\right)
\end{aligned}
$$


$X_{1}\left(e^{j \omega}\right) X_{2}\left(e^{j \omega}\right) \quad \hat{X}_{1}\left(e^{j \omega}\right)+\hat{X}_{2}\left(e^{j \omega}\right) \quad \hat{Y}_{1}\left(e^{j \omega}\right)+\hat{Y}_{2}\left(e^{j \omega}\right) \quad Y_{1}\left(e^{j \omega}\right) Y_{2}\left(e^{j \omega}\right)$

## Characteristic System for Deconvolution Using DTFTs

## $\mathcal{D}_{*}\{ \}$



$$
\begin{aligned}
& X\left(e^{j \omega}\right)=\sum_{n=-\infty}^{\infty} x[n] e^{-j \omega n} \\
& \hat{X}\left(e^{j \omega}\right)=\log \left[X\left(e^{j \omega}\right)\right]=\log \left|X\left(e^{j \omega}\right)\right|+j \arg \left[X\left(e^{j \omega}\right)\right] \\
& \hat{x}[n]=\frac{1}{2 \pi} \int_{-\pi}^{\pi} \hat{X}\left(e^{j \omega}\right) e^{j \omega n} d \omega
\end{aligned}
$$

## Inverse Characteristic System for Deconvolution Using DTFTs



## Issues with Logarithms

- it is essential that the logarithm obey the equation

$$
\log \left[X_{1}\left(e^{j \omega}\right) \cdot X_{2}\left(e^{j \omega}\right)\right]=\log \left[X_{1}\left(e^{j \omega}\right)\right]+\log \left[X_{2}\left(e^{j \omega}\right)\right]
$$

- this is trivial if $X_{1}\left(e^{j \omega}\right)$ and $X_{2}\left(e^{j \omega}\right)$ are real -- however usually $X_{1}\left(e^{j \omega}\right)$ and $X_{2}\left(e^{j \omega}\right)$ are complex
- on the unit circle the complex log can be written in the form:

$$
\begin{aligned}
& X\left(e^{j \omega}\right)=\left|X\left(e^{j \omega}\right)\right| e^{j \arg \left[X\left(e^{j \omega}\right)\right]} \\
& \log \left[X\left(e^{j \omega}\right)\right]=\hat{X}\left(e^{j \omega}\right)=\log \left[\left|X\left(e^{j \omega}\right)\right|\right]+j \arg \left[X\left(e^{j \omega}\right)\right]
\end{aligned}
$$

- no problems with log magnitude term; uniqueness problems arise in defining the imaginary part of the log; can show that the imaginary part (the phase angle of the $z$-transform) needs to be a continuous odd function of $\omega$


## Problems with arg Function



## 

- Given a complex logarithm that satisfies the phase continuity condition, we have:

$$
\hat{x}[n]=\frac{1}{2 \pi} \int_{-\pi}^{\pi}\left(\log \left|X\left(e^{j \omega}\right)\right|+j \arg \left\{X\left(e^{j \omega}\right)\right\}\right) e^{j \omega n} d \omega
$$

- If $x[n]$ real, then $\log \left|X\left(e^{j \omega}\right)\right|$ is an even function of $\omega$ and $\arg \left\{X\left(e^{j \omega}\right)\right\}$ is an odd function of $\omega$. This means that the real and imaginary parts of the complex log have the appropriate symmetry for $\hat{x}[n]$ to be a real sequence, and $\hat{x}[n]$ can be represented as:

$$
\hat{x}[n]=c[n]+d[n]
$$

where $c[n]$ is the inverse DTFT of $\log \left|X\left(e^{j \omega}\right)\right|$ and the even part of $\hat{x}[n]$, and $d[n]$ is the inverse DTFT of $\arg \left\{X\left(e^{j \omega}\right)\right\}$ and the odd part of $\hat{x}[n]$ :

$$
c[n]=\frac{\hat{x}[n]+\hat{x}[-n]}{2} ; \quad d[n]=\frac{\hat{x}[n]-\hat{x}[-n]}{2}
$$

## Complex and Real Cepstrum

- define the inverse Fourier transform of $\hat{X}\left(e^{j \omega}\right)$ as

$$
\hat{x}[n]=\frac{1}{2 \pi} \int_{-\pi}^{\pi} \hat{X}\left(e^{j \omega}\right) e^{j \omega n} d \omega
$$

- where $\hat{x}[n]$ called the "complex cepstrum" since a complex logarithm is involved in the computation
- can also define a "real cepstrum" using just the real part of the logarithm, giving

$$
\begin{aligned}
c[n] & =\frac{1}{2 \pi} \int_{-\pi}^{\pi} \operatorname{Re}\left[\hat{X}\left(e^{j \omega}\right)\right] e^{j \omega n} d \omega \\
& =\frac{1}{2 \pi} \int_{-\pi}^{\pi} \log \left|X\left(e^{j \omega}\right)\right| e^{j \omega n} d \omega
\end{aligned}
$$

- can show that $c[n]$ is the even part of $\hat{x}[n]$


## Terminology

- Spectrum - Fourier transform of signal autocorrelation
- Cepstrum - inverse Fourier transform of log spectrum
- Analysis - determining the spectrum of a signal
- Alanysis - determining the cepstrum of a signal
- Filtering - linear operation on time signal
- Liftering - linear operation on cepstrum
- Frequency - independent variable of spectrum
- Quefrency - independent variable of cepstrum
- Harmonic - integer multiple of fundamental frequency
- Rahmonic - integer multiple of fundamental frequency


## z-Transform Representation

- The $z$-transform of the signal:

$$
x[n]=x_{1}[n] * x_{2}[n]
$$

is of the form:

$$
X(z)=X_{1}(z) \cdot X_{2}(z)
$$

- With an appropriate definition of the complex log, we get:

$$
\begin{aligned}
\hat{X}(z)= & \log \{X(z)\}=\log \left\{X_{1}(z) \cdot X_{2}(z)\right\} \\
& =\log \left\{X_{1}(z)\right\}+\log \left\{X_{2}(z)\right\} \\
& =\hat{X}_{1}(z)+\hat{X}_{2}(z)
\end{aligned}
$$



## Characteristic System for Deconvolution



$$
\begin{aligned}
& X(z)=\sum_{n=-\infty}^{\infty} x[n] z^{-n}=|X(z)| e^{j \arg (X(z)\}} \\
& \hat{X}(z)=\log [X(z)]=\log |X(z)|+j \arg [X(z)] \\
& \hat{x}[n]=\frac{1}{2 \pi j} \oint \hat{X}(z) z^{n} d z
\end{aligned}
$$

## Inverse Characteristic System for Deconvolution



## z-Transform Cepstrum Alanysis

- consider digital systems with rational z-transforms of the general type

$$
X(z)=\frac{A \prod_{k=1}^{M_{i}}\left(1-a_{k} z^{-1}\right) \prod_{k=1}^{M_{0}}\left(1-b_{k}^{-1} z^{-1}\right)}{\prod_{k=1}^{N_{i}}\left(1-c_{k} z^{-1}\right)}
$$

- we can express the above equation as:

$$
X(z)=\frac{z^{-M_{0}} A \prod_{k=1}^{M_{0}}\left(-b_{k}^{-1}\right) \prod_{k=1}^{M_{i}}\left(1-a_{k} z^{-1}\right) \prod_{k=1}^{M_{0}}\left(1-b_{k} z\right)}{\prod_{k=1}^{N_{i}}\left(1-c_{k} z^{-1}\right)}
$$

- with all coefficients $a_{k}, b_{k}, c_{k}<1=>$ all $c_{k}$ poles and $a_{k}$ zeros are inside the unit circle; all $b_{k}$ zeros are outside the unit circle;


## z-Transform Cepstrum Alanysis

- express $X(z)$ as product of minimum-phase and maximum-phase signals, i.e.,

$$
X(z)=X_{\min }(z) \cdot z^{-M_{0}} X_{\max }(z)
$$

- where

$$
X_{\min }(z)=\frac{A \prod_{k=1}^{M_{i}}\left(1-a_{k} z^{-1}\right)}{\prod_{k=1}^{N_{i}}\left(1-c_{k} z^{-1}\right)}
$$

- all poles and zeros inside unit circle

$$
X_{\max }(z)=\prod_{k=1}^{M_{i}}\left(-b_{k}^{-1}\right) \prod_{k=1}^{M_{i}}\left(1-b_{k} z\right)
$$

- all zeros outside unit circle


## z-Transform Cepstrum Alanysis

- can express $x[n]$ as the convolution:

$$
x[n]=x_{\min }[n] * x_{\max }\left[n-M_{0}\right]
$$

- minimum-phase component is causal

$$
x_{\text {min }}[n]=0, \quad n<0
$$

- maximum-phase component is anti-causal

$$
x_{\max }[n]=0, \quad n>0
$$

- factor $z^{-M_{0}}$ is the shift in time origin by $M_{0}$ samples required so that the overall sequence, $x[n]$ be causal


## z-Transform Cepstrum Alanysis

- the complex logarithm of $X(z)$ is

$$
\begin{aligned}
& \hat{X}(z)=\log [X(z)]=\log |A|+\sum_{k=1}^{M_{0}} \log \left|b_{k}^{-1}\right|+\log \left[z^{-M_{0}}\right]+ \\
& \quad \sum_{k=1}^{M_{i}} \log \left(1-a_{k} z^{-1}\right)+\sum_{k=1}^{M_{0}} \log \left(1-b_{k} z\right)-\sum_{k=1}^{N_{i}} \log \left(1-c_{k} z^{-1}\right)
\end{aligned}
$$

- evaluating $\hat{X}(z)$ on the unit circle we can ignore the term related to $\log \left[e^{j \omega M_{0}}\right]$ (as this contributes only to the imaginary part and is a linear phase shift)


## z-Transform Cepstrum Alanysis

- we can then evaluate the remaining terms, use power series expansion for logarithmic terms (and take the inverse transform to give the complex cepstrum) giving:

$$
\begin{array}{rlr}
\hat{x}(n) & =\frac{1}{2 \pi} \int_{-\pi}^{\pi} \hat{X}\left(e^{j \omega}\right) e^{j \omega n} d \omega & \\
& =\log |A|+\sum_{k=1}^{M_{0}} \log \left|b_{k}^{-1}\right| & n=0 \\
& =\sum_{k=1}^{N_{i}} \frac{c_{k}^{n}}{n}-\sum_{k=1}^{M_{i}} \frac{a_{k}^{n}}{n} & n>0 \\
& =\sum_{k=1}^{M_{0}} \frac{b_{k}^{-n}}{n} & n<0
\end{array}
$$

$$
\log (1-Z)=-\sum_{n=1}^{\infty} \frac{Z^{n}}{n},|Z|<1
$$

## Cepstrum Properties

1.complex cepstrum is non-zero and of infinite extent for both positive and negative $n$, even though $x[n]$ may be causal, or even of finite duration ( $X(z)$ has only zeros).
2. complex cepstrum is a decaying sequence that is bounded by:

$$
|\hat{x}[n]|<\beta \frac{\alpha^{|n|}}{|n|} \text {, for }|n| \rightarrow \infty
$$

3. zero-quefrency value of complex cepstrum (and the cepstrum) depends on the gain constant and the zeros outside the unit circle. Setting $\hat{x}[0]=0$ (and therefore $c[0]=0$ ) is equivalent to normalizing the log magnitude spectrum to a gain constant of:

$$
A \prod_{k=1}^{M_{0}}\left(-b_{k}^{-1}\right)=1
$$

4. If $X(z)$ has no zeros outside the unit circle (all $b_{k}=0$ ), then:
$\hat{x}[n]=0, \quad n<0 \quad$ (minimum-phase signals)
5. If $X(z)$ has no poles or zeros inside the unit circle (all $a_{k}, c_{k}=0$ ), then:
$\hat{x}[n]=0, \quad n>0 \quad$ (maximum-phase signals)

## z-Transform Cepstrum Alanysis

- The main z-transform formula for cepstrum alanysis is based on the power series expansion:

$$
\log (1+x)=\sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n} x^{n} \quad|x|<1
$$

- Example 1--Apply this formula to the exponential sequence

$$
\begin{aligned}
& x_{1}(n)=a^{n} u(n) \Leftrightarrow X_{1}(z)=\frac{1}{1-a z^{-1}} \\
& \hat{X}_{1}(z)=\log \left[X_{1}(z)\right]=-\log \left(1-a z^{-1}\right)=-\sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n}(-a)^{n} z^{-n} \\
& \hat{X}_{1}(n)=\frac{a^{n}}{n} u(n-1) \Leftrightarrow \hat{X}_{1}(z)=-\log \left(1-a z^{-1}\right)=\sum_{n=1}^{\infty}\left(\frac{a^{n}}{n}\right) z^{-n}
\end{aligned}
$$

## z-Transform Cepstrum Alanysis

- Example 2--consider the case of a digital system with a single zero outside the unit circle $(|b|<1)$

$$
\begin{aligned}
x_{2}(n) & =\delta(n)+b \delta(n+1) \\
X_{2}(z) & =1+b z \quad(\text { zero at } z=-1 / b) \\
\hat{X}_{2}(z) & =\log \left[X_{2}(z)\right]=\log (1+b z) \\
& =\sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n}(b)^{n} z^{n} \\
\hat{x}_{2}(n) & =\frac{(-1)^{n+1} b^{n}}{n} u(-n-1)
\end{aligned}
$$

## z-Transform Cepstrum Alanysis for 2 Pulses

- Example 3--an input sequence of two pulses of the form

$$
\begin{aligned}
x_{3}(n) & =\delta(n)+\alpha \delta\left(n-N_{p}\right) \quad(0<\alpha<1) \\
X_{3}(z) & =1+\alpha z^{-N_{p}} \\
\hat{X}_{3}(z) & =\log \left[X_{3}(z)\right]=\log \left(1+\alpha z^{-N_{p}}\right) \\
& =\sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n} \alpha^{n} z^{-n N_{p}} \\
\hat{x}_{3}(n) & =\sum_{k=1}^{\infty}(-1)^{k+1} \frac{\alpha^{k}}{k} \delta\left(n-k N_{p}\right)
\end{aligned}
$$

- the cepstrum is an impulse train with impulses spaced at $N_{p}$ samples



## Cepstrum for Train of Impulses

- an important special case is a train of impulses of the form:

$$
\begin{aligned}
& x(n)=\sum_{r=0}^{M} \alpha_{r} \delta\left(n-r N_{p}\right) \\
& X(z)=\sum_{r=0}^{M} \alpha_{r} z^{-r N_{p}}
\end{aligned}
$$

- clearly $X(z)$ is a polynomial in $z^{-N_{p}}$ rather than $z^{-1}$; thus $X(z)$ can be expressed as a product of factors of the form $\left(1-a z^{-N_{p}}\right)$ and $\left(1-b z^{N_{p}}\right)$, giving a complex cepstrum, $\hat{x}(n)$, that is non-zero only at integer multiples of $N_{p}$


## z-Transform Cepstrum Alanysis for Convolution of 2 Sequences

- Example 4--consider the convolution of sequences 1 and 3, i.e.,

$$
\begin{aligned}
x_{4}(n) & =x_{1}(n) * x_{3}(n)=\left[a^{n} u(n)\right] *\left[\delta(n)+\alpha \delta\left(n-N_{p}\right)\right] \\
& =a^{n} u(n)+\alpha a^{n-N_{p}} u\left(n-N_{p}\right)
\end{aligned}
$$

- The complex cepstrum is therefore the sum of the complex cepstra of the two sequences (since convolution in the time domain is converted to addition in the cepstral domain)

$$
\begin{aligned}
\hat{x}_{4}(n) & =\hat{x}_{1}(n)+\hat{x}_{3}(n) \\
& =\frac{a^{n}}{n} u(n-1)+\sum_{k=1}^{\infty} \frac{(-1)^{k+1} \alpha^{k}}{k} \delta\left(n-k N_{p}\right)
\end{aligned}
$$

## z-Transform Cepstrum Alanysis for Convolution of 3 Sequences

- Example 5--consider the convolution of sequences 1, 2 and 3, i.e.,

$$
\begin{aligned}
x_{5}(n) & =x_{1}(n) * x_{2}(n) * x_{3}(n) \\
& =\left[a^{n} u(n)\right] *[\delta(n)+b \delta(n+1)] *\left[\delta(n)+\alpha \delta\left(n-N_{p}\right)\right] \\
& =a^{n} u(n)+\alpha a^{n-N_{p}} u\left(n-N_{p}\right)+b a^{n} u(n+1)+\alpha b a^{n-N_{p}+1} u\left(n-N_{p}+1\right)
\end{aligned}
$$

- The complex cepstrum is therefore the sum of the complex cepstra of the three sequences

$$
\begin{aligned}
\hat{x}_{5}(n) & =\hat{x}_{1}(n)+\hat{x}_{2}(n)+\hat{x}_{3}(n) \\
& =\frac{a^{n}}{n} u(n-1)+\sum_{k=1}^{\infty} \frac{(-1)^{k+1} \alpha^{k}}{k} \delta\left(n-k N_{p}\right)+\frac{(-1)^{n+1} b^{n}}{n} u(-n-1)
\end{aligned}
$$

## Example: $\mathrm{a}=.9, \mathrm{~b}=.8, \mathrm{a}=.7, \mathrm{~Np}=15$

Input signal waveform



## Homomorphic Analysis of Speech Model



## Homomorphic Analysis of Speech Model

- the transfer function for voiced speech is of the form

$$
H_{V}(z)=A_{V} \cdot G(z) V(z) R(z)
$$

- with effective impulse response for voiced speech

$$
h_{V}[n]=A_{V} \cdot g[n] * v[n] * r[n]
$$

- similarly for unvoiced speech we have

$$
H_{U}(z)=A_{U} \cdot V(z) R(z)
$$

- with effective impulse response for unvoiced speech

$$
h_{U}[n]=A_{U} \cdot v[n] * r[n]
$$

## Complex Cepstrum for Speech

- the models for the speech components are as follows:

1. vocal tract: $V(z)=\frac{A z^{-M} \prod_{k=1}^{M_{i}}\left(1-a_{k} z^{-1}\right) \prod_{k=1}^{M_{0}}\left(1-b_{k} z\right)}{\prod_{k=1}^{N_{i}}\left(1-c_{k} z^{-1}\right)}$
--for voiced speech, only poles $=>a_{k}=b_{k}=0$, all $k$
--unvoiced speech and nasals, need pole-zero model but all poles are inside the unit circle $=>c_{k}<1$
--all speech has complex poles and zeros that occur in complex conjugate pairs
2. radiation model: $R(z) \approx 1-z^{-1}$ (high frequency emphasis)
3. glottal pulse model: finite duration pulse with transform

$$
G(z)=B \prod_{k=1}^{L_{i}}\left(1-\alpha_{k} z^{-1}\right) \prod_{k=1}^{L_{0}}\left(1-\beta_{k} z\right)
$$

with zeros both inside and outside the unit circle

## Complex Cepstrum for Voiced Speech

- combination of vocal tract, glottal pulse and radiation will be non-minimum phase => complex cepstrum exists for all values of $n$
- the complex cepstrum will decay rapidly for large $n$ (due to polynomial terms in expansion of complex cepstrum)
- effect of the voiced source is a periodic pulse train for multiples of the pitch period


## Simplified Speech Model

- short-time speech model

$$
\begin{aligned}
x[n] & =w[n] \cdot[p[n] * g[n] * v[n] * r[n]] \\
& \approx p_{w}[n] * h_{v}[n]
\end{aligned}
$$

- short-time complex cepstrum

$$
\hat{x}[n]=\hat{p}_{w}[n]+\hat{g}[n]+\hat{v}[n]+\hat{r}[n]
$$

## Analysis of Model for Voiced Speech

- Assume sustained /AE/ vowel with fundamental frequency of 125 Hz
- Use glottal pulse model of the form:

$$
g[n]=\left\{\begin{array}{cc}
0.5\left[1-\cos \left(\pi(n+1) / N_{1}\right)\right] & 0 \leq n \leq N_{1}-1 \\
\cos \left(0.5 \pi\left(n+1-N_{1}\right) / N_{2}\right) & N_{1} \leq n \leq N_{1}+N_{2}-2 \\
0 & \text { otherwise }
\end{array}\right.
$$

$N_{1}=25, \quad N_{2}=10 \Rightarrow 34$ sample impulse response, with transform

$$
G(z)=z^{-33} \prod_{k=1}^{33}\left(-b_{k}^{-1}\right) \prod_{k=1}^{33}\left(1-b_{k} z\right) \Rightarrow \text { all roots outside unit circle } \Rightarrow \text { maximum phase }
$$

- Vocal tract system specified by 5 formants (frequencies and bandwidths)

$$
\begin{aligned}
& V(z)=\frac{1}{\prod_{k=1}^{5}\left(1-2 e^{-2 \pi \sigma_{k} T} \cos \left(2 \pi F_{k} T\right) z^{-1}+e^{-4 \pi \sigma_{k} T} z^{-2}\right)} \\
& \left\{F_{k}, \sigma_{k}\right\}=[(660,60),(1720,100),(2410,120),(3500,175),(4500,250)]
\end{aligned}
$$

- Radiation load is simple first difference

$$
R(z)=1-\gamma z^{-1}, \quad \gamma=0.96
$$

## Time Domain Analysis



## Pole-Zero Analysis of Model Components



## Spectral Analysis of Model



## Speech Model Output

(a) Synthetic Speech Waveform

(b) Log Spectrum of Synthetic Voiced Speech


## Complex Cepstrum of Model

- The voiced speech signal is modeled as:

$$
x[n]=A_{v} \cdot g[n] * v[n] * r[n] * p[n]
$$

- with complex cepstrum:

$$
\hat{s}[n]=\log \left|A_{v}\right| \delta[n]+\hat{g}[n]+\hat{v}[n]+\hat{r}[n]+\hat{p}[n]
$$

- glottal pulse is maximum phase $\Rightarrow \hat{g}[n]=0, n>0$
- vocal tract and radiation systems are minimum phase
$\Rightarrow \hat{v}[n]=0, n<0, \hat{r}[n]=0, n<0$

$$
\begin{aligned}
& \hat{P}(z)=-\log \left(1-\beta z^{-N_{p}}\right)=\sum_{k=1}^{\infty} \frac{\beta^{k}}{k} z^{-k N_{p}} \\
& \hat{p}[n]=\sum_{k=1}^{\infty} \frac{\beta^{k}}{k} \delta\left[n-k N_{p}\right]
\end{aligned}
$$

## Cepstral Analysis of Model



## Resulting Complex and Real Cepstra

(a) Complex Cepstrum of Synthetic Speech

(b) Cepstrum of Synthetic Speech


## Frequency Domain Representations

(a) Log Magnitude Spectrum of Synthetic Voiced Speech

(b) Continuous Phase of Synthetic Voiced Speech

(c) Principal Value Phase of Synthetic Voiced Speech


## Frequency-Domain Representation of Complex Cepstrum


(b) Cepstrum of Autocorrelation of Synthetic Unvoiced Speech


## The Complex Cepstrum-DFT Implementation



- $X_{p}[k]$ is the $N$ point DFT corresponding to $X\left(e^{j \omega}\right)$

$$
\begin{aligned}
& \hat{X}[k]=\hat{X}\left(e^{j 2 \pi k / N}\right)=\log \{X[k]\}=\log |X[k]|+j \arg \{X[k]\} \\
& \tilde{x}[n]=\frac{1}{N} \sum_{k=0}^{N-1} \hat{X}[k] e^{j \frac{j \pi}{N} k n}=\sum_{r=-\infty}^{\infty} \hat{x}[n+r N] \quad n=0,1, \ldots, N-1
\end{aligned}
$$

- $\tilde{x}[n]$ is an aliased version of $\hat{x}[n]$
$\Rightarrow \underline{\text { use as large a value of } N \text { as possible to minimize aliasing }}{ }_{50}$


## Inverse System- DFT Implementation



## The Cepstrum-DFT Implementation



- Approximation to cepstrum using DFT:
$X[k]=X\left(e^{j \frac{j \pi}{N} k}\right)=\sum_{n=-\infty}^{\infty} x[n] e^{-j \frac{2 \pi}{N} k n} k=0,1, \ldots, N-1$,
$\tilde{c}[n]=\frac{1}{N} \sum_{k=0}^{N-1} \log |X[k]| e^{j 2 \pi k n / N}, \quad 0 \leq n \leq N-1$
$\tilde{c}(n)=\sum_{r=-\infty}^{\infty} c[n+r N] \quad n=0,1, \ldots, N-1$
- $\tilde{c}[n]$ is an aliased version of $c[n] \Rightarrow$ use as large a value of $N$ as possible to minimize aliasing

$$
\tilde{c}(n)=\frac{\tilde{\hat{x}}[n]+\tilde{\hat{x}}[-n]}{2}
$$

## Cepstral Computation Aliasing

(a) Aliased Complex Cepstrum of $\delta[n]+0.8 \delta[n-75]$

(b) Aliased Cepstrum of $\delta[n]+0.8 \delta[n-75]$

$\mathrm{N}=256, \mathrm{~N}_{\mathrm{p}}=75$, $\alpha=0.8$

Circle dots are cepstrum values in correct
locations; all other dots are results of aliasing due to finite range computations

## Summary

1. Homomorphic System for Convolution:

2. Practical Case:

$$
\begin{aligned}
& z() \rightarrow D F T \\
& z^{-1}() \rightarrow I D F T \\
& X\left(e^{j \omega}\right)=\left|X\left(e^{j \omega}\right)\right| e^{j \arg \left\{X\left(e^{j / \omega}\right)\right\}} \\
& \log \left[X\left(e^{j \omega}\right)\right]=\log \left|X\left(e^{j \omega}\right)\right|+j \arg \left\{X\left(e^{j \omega}\right)\right\}
\end{aligned}
$$

## Summary

3. Complex Cepstrum:

$$
\hat{x}[n]=\frac{1}{2 \pi} \int_{-\pi}^{\pi} \hat{X}\left(e^{j \omega}\right) e^{j \omega n} d \omega
$$

## 4. Cepstrum:

$$
\begin{aligned}
& c[n]=\frac{1}{2 \pi} \int_{-\pi}^{\pi} \log \left|X\left(e^{j \omega}\right)\right| e^{j \omega n} d \omega \\
& c[n]=\frac{\hat{x}[n]+\hat{x}[-n]}{2}=\text { even part of } \hat{x}[n]
\end{aligned}
$$

## Summary


5. Practical Implementation of Complex Cepstrum:

$$
\begin{aligned}
& \left.X[k]=X\left(e^{j(2 \pi / N) k}\right)=\sum_{n=-\infty}^{\infty} x[n] e^{-j(2 \pi / N) k n}\right) \\
& \hat{X}[k]=\log \left\{X_{p}(k)\right\}=\log \left|X_{p}(k)\right|+j \arg \left\{X_{p}(k)\right\} \\
& \tilde{\hat{x}}[n]=\frac{1}{N} \sum_{k=0}^{N-1} \hat{X}[k] e^{j(2 \pi / N) k n}=\sum_{r=-\infty}^{\infty} \hat{x}[n+r N] \Rightarrow \text { aliasing } \\
& \tilde{\hat{x}}[n]=\text { aliased version of } \hat{x}[n]
\end{aligned}
$$

6. Examples:

$$
\begin{aligned}
& X(z)=\frac{1}{1-a z^{-1}} \Leftrightarrow \hat{x}[n]=\sum_{r=1}^{\infty} \frac{a^{r}}{r} \delta[n-r] \\
& X(z)=1-b z \Leftrightarrow \hat{X}[n]=-\sum_{r=1}^{\infty} \frac{b^{r}}{r} \delta[n+r]
\end{aligned}
$$

## Complex Cepstrum Without Phase Unwrapping

- short-time analysis uses finite-length windowed segments, $x[n]$

$$
X(z)=\sum_{n=0}^{M} x[n] z^{-n}, \quad M^{t h} \text {-order polynomial }
$$

- Find polynomial roots

$$
X(z)=x[0] \prod_{m=1}^{M_{i}}\left(1-a_{m} z^{-1}\right) \prod_{m=1}^{M_{0}}\left(1-b_{m}^{-1} z^{-1}\right)
$$

- $a_{m}$ roots are inside unit circle (minimum-phase part)
- $b_{m}$ roots are outside unit circle (maximum-phase part)
- Factor out terms of form $-b_{m}^{-1} z^{-1}$ giving:

$$
\begin{aligned}
& X(z)=A z^{-M_{0}} \prod_{m=1}^{M_{i}}\left(1-a_{m} z^{-1}\right) \prod_{m=1}^{M_{0}}\left(1-b_{m} z\right) \\
& A=x[0](-1)^{M_{0}} \prod_{m=1}^{M_{0}} b_{m}^{-1}
\end{aligned}
$$

- Use polynomial root finder to find the zeros that lie inside and outside the unit circle and solve directly for $\hat{x}[n]$.


## Cepstrum for Minimum Phase Signals

- for minimum phase signals (no poles or zeros outside unit circle) the complex cepstrum can be completely represented by the real part of the Fourier transforms
- this means we can represent the complex cepstrum of minimum phase signals by the log of the magnitude of the FT alone
- since the real part of the FT is the FT of the even part of the sequence

$$
\begin{aligned}
& \operatorname{Re}\left[\hat{X}\left(e^{j \omega}\right)\right]=F T\left[\frac{\hat{x}(n)+\hat{x}(-n)}{2}\right] \\
& F T[c(n)]=\log \left|X\left(e^{j \omega}\right)\right| \\
& c(n)=\frac{\hat{x}(n)+\hat{x}(-n)}{2}
\end{aligned}
$$

- giving

$$
\begin{aligned}
\hat{x}(n) & =0 & & n<0 \\
& =c(n) & & n=0 \\
& =2 c(n) & & n>0
\end{aligned}
$$

- thus the complex cepstrum (for minimum phase signals) can be computed by computing the cepstrum and using the equation above


## Recursive Relation for Complex Cepstrum for Minimum Phase Signals

- the complex cepstrum for minimum phase signals can be computed recursively from the input signal, $x(n)$ using the relation

$$
\begin{array}{rlrl}
\hat{x}(n) & =0 & & n<0 \\
& =\log [x(0)] & n & =0 \\
& =\frac{x(n)}{x(0)}-\sum_{k=0}^{n-1}\left(\frac{k}{n}\right) \hat{x}(k) \frac{x(n-k)}{x(0)} & n>0
\end{array}
$$

## Recursive Relation for Complex Cepstrum for Minimum Phase Signals

$$
\begin{aligned}
& x(n) \longleftrightarrow X(z) \\
& n x(n) \longleftrightarrow-z \frac{d X(z)}{d z}=-z X^{\prime}(z) \\
& \hat{x}(n) \longleftrightarrow \hat{X}(z)=\log [X(z)] \\
& \frac{d \hat{X}(z)}{d z}=\frac{d}{d z}[\log [X(z)]]=\frac{X^{\prime}(z)}{X(z)} \\
& -z \frac{d \hat{X}(z)}{d z} X(z)=-z X^{\prime}(z)
\end{aligned}
$$

1. basic z-transform
2. scale by $n$ rule
3. definition of complex cepstrum
4. differentiation of $z$-transform
5. multiply both sides of equation

## Recursive Relation for Complex Cepstrum for Minimum Phase Signals

$$
\begin{aligned}
& n \hat{x}(n) * x(n) \longleftrightarrow-z \frac{d \hat{X}(z)}{d z} X(z)=-z X^{\prime}(z) \longleftrightarrow n x(n) \\
& n x(n)=\sum_{k=-\infty}^{\infty} \hat{x}(k) x(n-k)(k)
\end{aligned}
$$

- for minimum phase systems we have $\hat{x}(n)=0$ for $n<0$,

$$
\begin{aligned}
& x(n)=0 \text { for } n<0, \text { giving: } \\
& x(n)=\sum_{k=0}^{n} \hat{x}(k) x(n-k)\left(\frac{k}{n}\right)
\end{aligned}
$$

- separating out the term for $k=n$ we get:

$$
\begin{aligned}
& x(n)=\sum_{k=0}^{n-1} \hat{x}(k) x(n-k)\left(\frac{k}{n}\right)+x(0) \hat{x}(n) \\
& \hat{x}(n)=\frac{x(n)}{x(0)}-\sum_{k=0}^{n-1} \hat{x}(k) \frac{x(n-k)}{x(0)}\left(\frac{k}{n}\right), \quad n>0 \\
& \hat{x}(0)=\log [x(0)], \quad \hat{x}(n)=0, n<0
\end{aligned}
$$

## Recursive Relation for Complex Cepstrum for Minimum Phase Signals

- why is $\hat{x}(0)=\log [x(0)]$ ?
- assume we have a finite sequence $x(n), n=0,1, \ldots, N-1$
- we can write $x(n)$ as:

$$
\begin{aligned}
x(n) & =x(0) \delta(n)+x(1) \delta(n-1)+\ldots+x(N-1) \delta(N-1) \\
& =x(0)\left[\delta(n)+\frac{x(1)}{x(0)} \delta(n-1)+\ldots+\frac{x(N-1)}{x(0)} \delta(N-1)\right]
\end{aligned}
$$

- taking $z$ - transforms, we get:

$$
X(z)=\sum_{n=0}^{N-1} x(n) z^{-n}=G \prod_{k=1}^{N z 1}\left(1-a_{k} z^{-1}\right) \prod_{k=1}^{N Z 2}\left(1-b_{k} z\right)
$$

- where the first term is the gain, $G=x(0)$, and the two product terms are the zeros inside and outside the unit circle.
- for minimum phase systems we have all zeros inside the unit circle so the second product term is gone, and we have the result that

$$
\begin{aligned}
& \hat{x}(0)=\log [G]=\log [x(0)] ; \quad \hat{x}(n)=0, n<0 \\
& \hat{x}(n)=-\sum_{k=1}^{N Z 1}\left(\frac{a_{k}^{n}}{n}\right) \quad n>0
\end{aligned}
$$

## Cepstrum for Maximum Phase Signals

- for maximum phase signals (no poles or zeros inside unit circle)

$$
c(n)=\frac{\hat{x}(n)+\hat{x}(-n)}{2}
$$

- giving

$$
\begin{aligned}
\hat{x}(n) & =0 & & n>0 \\
& =c(n) & & n=0 \\
& =2 c(n) & & n<0
\end{aligned}
$$

- thus the complex cepstrum (for maximum phase signals) can be computed by computing the cepstrum and using the equation above


## Recursive Relation for Complex Cepstrum for Maximum Phase Signals

- the complex cepstrum for maximum phase signals can be computed recursively from the input signal, $x(n)$ using the relation

$$
\begin{aligned}
\hat{x}(n) & =0 & & n>0 \\
& =\log [x(0)] & & n=0 \\
& =\frac{x(n)}{x(0)}-\sum_{k=n+1}^{0}\left(\frac{k}{n}\right) \hat{x}(k) \frac{x(n-k)}{x(0)} & & n<0
\end{aligned}
$$

## Computing Short-Time Cepstrums from Speech Using Polynomial Roots

## Cepstrum From Polynomial Roots



## Cepstrum From Polynomial Roots

(a) Complex Cepstrum Using Polynomial Roots

(b) Cepstrum Using Polynomial Roots


## Computing Short-Time Cepstrums from Speech Using the DFT

## Practical Considerations

- window to define short-time analysis
- window duration (should be several pitch periods long)
- size of FFT (to minimize aliasing)
- elimination of linear phase components (positioning signals within frames)
- cutoff quefrency of lifter
- type of lifter (low/high quefrency)


## Computational Considerations



## Voiced Speech Example



Hamming window
40 msec duration
(section beginning at sample 13000
in file test_16k.wav)


## Voiced Speech Example


wrapped phase

unwrapped phase

## Voiced Speech Example




## Characteristic System for Homomorphic Convolution

- still need to define (and design) the $L$ operator part (the linear system component) of the system to completely define the characteristic system for homomorphic convolution for speech
- to do this properly and correctly, need to look at the properties of the complex cepstrum for speech signals


## Complex Cepstrum of Speech

- model of speech:
- voiced speech produced by a quasi-periodic pulse train exciting slowly time-varying linear system => $p[n]$ convolved with $h_{v}[n]$
- unvoiced speech produced by random noise exciting slowly time-varying linear system => $u[n]$ convolved with $h_{v}[n]$
- time to examine full model and see what the complex cepstrum of speech looks like


## Homomorphic Filtering of Voiced Speech

- goal is to separate out the excitation impulses from the remaining components of the complex cepstrum
- use cepstral window, l(n), to separate excitation pulses from combined vocal tract
$-\quad I(n)=1$ for $|n|<n_{0}<N_{p}$
- $I(n)=0$ for $|n| \geq n_{0}$
- this window removes excitation pulses
- $I(n)=0$ for $|n|<n_{0}<N_{p}$
- $I(n)=1$ for $|n| \geq n_{0}$
- this window removes combined vocal tract
- the filtered signal is processed by the inverse characteristic system to recover the combined vocal tract component


$$
\hat{y}(n)=\ell(n) \cdot \hat{x}(n)
$$

$$
\hat{Y}\left(e^{j \omega}\right)=\frac{1}{2 \pi} \int_{-\pi}^{\pi} \hat{X}\left(e^{j \theta}\right) L\left(e^{j(\omega-\theta)}\right) d \theta
$$

## Voiced Speech Example



Cepstrally smoothed log magnitude, 50 quefrencies cutoff


Cepstrally unwrapped phase, 50 quefrencies cutoff

## Voiced Speech Example



Combined impulse response of glottal pulse, vocal tract
system, and radiation system

## Voiced Speech Example



High quefrency liftering; cutoff quefrency=50; log magnitude and unwrapped phase

## Voiced Speech Example



Estimated excitation function for voiced speech (Hamming window weighted)

## Unvoiced Speech Example



Hamming window
40 msec duration
(section beginning at sample 3200 in file test_16k.wav)


## Unvoiced Speech Example


wrapped phase

unwrapped phase

## Unvoiced Speech Example



## Unvoiced Speech Example



Cepstrally smoothed log magnitude, 50 quefrencies cutoff


Cepstrally unwrapped phase, 50 quefrencies cutoff

## Unvoiced Speech Example



Estimated excitation source for unvoiced speech section
(Hamming window weighted)

## Short-Time Homomorphic Analysis



## Review of Cepstral Calculation

- 3 potential methods for computing cepstral coefficients, $\hat{x}[n]$, of sequence $x[n]$
- analytical method; assuming $X(z)$ is a rational function; find poles and zeros and expand using log power series
- recursion method; assuming $X(z)$ is either a minimum phase (all poles and zeros inside unit circle) or maximum phase (all poles and zeros outside unit circle) sequence
- DFT implementation; using windows, with phase unwrapping (for complex cepstra)


## Example 1—single pole sequence (computed using all 3 methods)




## Cepstral Computation Aliasing

- Effect of quefrency aliasing via a simple example

$$
x[n]=\delta[n]+\alpha \delta\left[n-N_{p}\right]
$$

- with discrete-time Fourier transform

$$
X\left(e^{j \omega}\right)=1+\alpha e^{-j \omega N_{p}}
$$

-We can express the complex logarithm as

$$
\hat{X}\left(e^{j \omega}\right)=\log \left\{1+\alpha e^{-j \omega N_{p}}\right\}=\sum_{m=1}^{\infty}\left(\frac{(-1)^{m+1} \alpha^{m}}{m}\right) e^{-j \omega m N_{p}}
$$

- giving a complex cepstrum in the form

$$
\hat{x}[n]=\sum_{m=1}^{\infty}\left(\frac{(-1)^{m+1} \alpha^{m}}{m}\right) \delta\left[n-m N_{p}\right]
$$

## Example 2-voiced speech frame




## Example 3—low quefrency liftering






## Example 3—high quefrency liftering






## Example 4-effects of low quefrency lifter




## Example 5—phase unwrapping






## Example 6—phase unwrapping

file: test 16 k , starting sample in file: 13000 , window length: 40 (msec), ft: 1024, lifter: 50





## Homomorphic Spectrum Smoothing



## Running Cepstrum

## Running Cepstrum

Section of Speech Wave and Window for Short-time Cepstrum Analysis


## Running Cepstrums

(a) Short-Time Log Spectra

(b) Short-Time Cepstra


## Cepstrum Applications

## Cepstrum Distance Measures

- The cepstrum forms a natural basis for comparing patterns in speech recognition or vector quantization because of its stable mathematical characterization for speech signals
- A typical "cepstral distance measure" is of the form:

$$
D=\sum_{n=1}^{n_{\infty}}(c[n]-\bar{c}[n])^{2}
$$

where $c[n]$ and $\bar{c}[n]$ are cepstral sequences corresponding to frames of signal, and $D$ is the cepstral distance between the pair of sequences.

- Using Parseval's theorem, we can express the cepstral distance in the frequency domain as:

$$
D=\frac{1}{2 \pi} \int_{-\pi}^{\pi}\left(\log \left|H\left(e^{j \omega}\right)\right|-\log \left|\bar{H}\left(e^{j \omega}\right)\right|\right)^{2} d \omega
$$

- Thus we see that the cepstral distance is actually a log magnitude spectral distance


## Mel Frequency Cepstral Coefficients

- Basic idea is to compute a frequency analysis based on a filter bank with approximately critical band spacing of the filters and bandwidths. For 4 kHz bandwidth, approximately 20 filters are used.
- First perform a short-time Fourier analysis, giving $X_{m}[k], k=0,1, \ldots, N F / 2$ where $m$ is the frame number and $k$ is the frequency index ( 1 to half the size of the FFT)
- Next the DFT values are grouped together in critical bands and weighted by triangular weighting functions.



## Mel Frequency Cepstral Coefficients

- The mel-spectrum of the $m^{\text {th }}$ frame for the $r^{\text {th }}$ filter $(r=1,2, \ldots, R)$ is defined as:

$$
\mathrm{MF}_{m}[r]=\frac{1}{A_{r}} \sum_{k=L_{r}}^{U_{r}}\left|V_{r}[k] X_{m}[k]\right|^{2}
$$

where $V_{r}[k]$ is the weighting function for the $r^{\text {th }}$ filter, ranging from DFT index $L_{r}$ to $U_{r}$, and

$$
A_{r}=\sum_{k=L_{r}}^{U_{r}}\left|V_{r}[k]\right|^{2}
$$

is the normalizing factor for the $r^{\text {th }}$ mel-filter. (Normalization guarantees that if the input spectrum is flat, the mel-spectrum is flat).

- A discrete cosine transform of the log magnitude of the filter outputs is computed to form the function $\operatorname{mfcc}[n]$ as:

$$
\operatorname{mfcc}_{m}[n]=\frac{1}{R} \sum_{r=1}^{R} \log \left(\mathrm{MF}_{m}[r]\right) \cos \left[\frac{2 \pi}{R}\left(r+\frac{1}{2}\right) n\right], \quad n=1,2, \ldots, N_{\text {mfcc }}
$$

- Typically $N_{\text {mfcc }}=13$ and $R=24$ for 4 kHz bandwidth speech signals.


## Delta Cepstrum

- The set of mel frequency cepstral coefficients provide perceptually meaningful and smooth estimates of speech spectra, over time
- Since speech is inherently a dynamic signal, it is reasonable to seek a representation that includes some aspect of the dynamic nature of the time derivatives (both first and second order derivatives) of the shortterm cepstrum
- The resulting parameter sets are called the delta cepstrum (first derivative) and the delta-delta cepstrum (second derivative).
- The simplest method of computing delta cepstrum parameters is a first difference of cepstral vectors, of the form:

$$
\Delta \mathrm{mfcc}_{m}[n]=\operatorname{mfcc}_{m}[n]-\operatorname{mfcc}_{m-1}[n]
$$

- The simple difference is a poor approximation to the first derivative and is not generally used. Instead a least-squares approximation to the local slope (over a region around the current sample) is used, and is of the form:

$$
\Delta \operatorname{mfcc}_{m}[n]=\frac{\sum_{k=-M}^{M} k\left(\operatorname{mfcc}_{m+k}[n]\right)}{\sum_{k=-M}^{M} k^{2}}
$$

## Homomorphic Vocoder

- time-dependent complex cepstrum retains all the information of the time-dependent Fourier transform => exact representation of speech
- time dependent real cepstrum loses phase information -> not an exact representation of speech
- quantization of cepstral parameters also loses information
- cepstrum gives good estimates of pitch, voicing, formants => can build homomorphic vocoder


## Homomorphic Vocoder

1. compute cepstrum every $10-20 \mathrm{msec}$
2. estimate pitch period and voiced/unvoiced decision
3. quantize and encode low-time cepstral values
4. at synthesizer-get approximation to $h_{v}(n)$ or $h_{u}(n)$ from low time quantized cepstral values
5. convolve $h_{v}(n)$ or $h_{u}(n)$ with excitation created from pitch, voiced/unvoiced, and amplitude information

## Homomorphic Vocoder


(b)
-I(n) is cepstrum window that selects low-time values and is of length 26 samples

## Homomorphic Vocoder Impulse Responses

(a) Zero-Phase Impulse Response Estimate

(b) Minimum-Phase Impulse Response Estimate

(c) Maximum-Phase Impulse Response Estimate


## Summary

- Introduced the concept of the cepstrum of a signal, defined as the inverse Fourier transform of the log of the signal spectrum

$$
\hat{x}[n]=F^{-1}\left[\log X\left(e^{j \omega}\right)\right]
$$

- Showed cepstrum reflected properties of both the excitation (high quefrency) and the vocal tract (low quefrency)
- short quefrency window filters out excitation; long quefrency window filters out vocal tract
- Mel-scale cepstral coefficients used as feature set for speech recognition
- Delta and delta-delta cepstral coefficients used as indicators of spectral change over time

