

Digital Speech Processing— Lecture 4

Speech Perception- Auditory Models, Sound Perception Models, MOS Methods

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Topics to be Covered

- Range of human hearing
- Auditory mechanisms—the human ear and how it converts sound to auditory representations
- The Ensemble Interval Histogram (EIH) model of hearing
- Speech perception and what we know about physical and psychophysical measures of sound
- Auditory masking
- Sound and word perception in noise

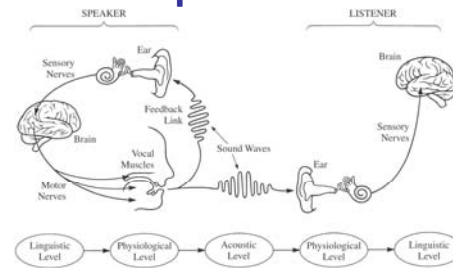
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Speech Perception

- understanding *how we hear sounds* and *how we perceive speech* leads to better design and implementation of robust and efficient systems for analyzing and representing speech
- the better we understand signal processing in the human auditory system, the better we can (at least in theory) design practical speech processing systems
 - speech coding
 - speech recognition
- try to understand speech perception by looking at the *physiological models of hearing*

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The Speech Chain



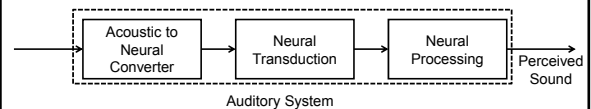
- The Speech Chain comprises the processes of:
 - speech production,
 - auditory feedback to the speaker,
 - speech transmission (through air or over an electronic communication system (to the listener), and
 - speech perception and understanding by the listener.

The Speech Chain

- The message to be conveyed by speech goes through five levels of representation between the speaker and the listener, namely:
 - the linguistic level (where the basic sounds of the communication are chosen to express some thought or idea)
 - the physiological level (where the vocal tract components produce the sounds associated with the linguistic units of the utterance)
 - the acoustic level (where sound is released from the lips and nostrils and transmitted to both the speaker (sound feedback) and to the listener)
 - the physiological level (where the sound is analyzed by the ear and the auditory nerves), and finally
 - the linguistic level (where the speech is perceived as a sequence of linguistic units and understood in terms of the ideas being communicated)

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The Auditory System

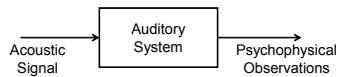


- the acoustic signal first converted to a neural representation by processing in the ear
 - the conversion takes place in stages at the outer, middle and inner ear
 - these processes can be measured and quantified
- the neural transduction step takes place between the output of the inner ear and the neural pathways to the brain
 - consists of a statistical process of nerve firings at the hair cells of the inner ear, which are transmitted along the auditory nerve to the brain
 - much remains to be learned about this process
- the nerve firing signals along the auditory nerve are processed by the brain to create the perceived sound corresponding to the spoken utterance
 - these processes not yet understood

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The Black Box Model of the Auditory System

- researchers have resorted to a "black box" behavioral model of hearing and perception
 - model assumes that an acoustic signal enters the auditory system causing behavior that we record as psychophysical observations
 - psychophysical methods and sound perception experiments determine how the brain processes signals with different loudness levels, different spectral characteristics, and different temporal properties
 - characteristics of the physical sound are varied in a systematic manner and the psychophysical observations of the human listener are recorded and correlated with the physical attributes of the incoming sound
 - we then determine how various attributes of sound (or speech) are processed by the auditory system



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The Black Box Model Examples

Physical Attribute	Psychophysical Observation
Intensity	Loudness
Frequency	Pitch

- Experiments with the "black box" model show:
 - correspondences between sound intensity and loudness, and between frequency and pitch are complicated and far from linear
 - attempts to extrapolate from psychophysical measurements to the processes of speech perception and language understanding are, at best, highly susceptible to misunderstanding of exactly what is going on in the brain

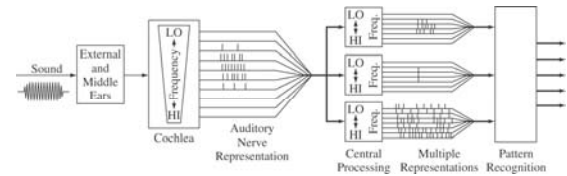
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Why Do We Have Two Ears

- Sound localization** – spatially locate sound sources in 3-dimensional sound fields
- Sound cancellation** – focus attention on a 'selected' sound source in an array of sound sources – 'cocktail party effect'
- Effect of **listening over headphones** => localize sounds inside the head (rather than spatially outside the head)

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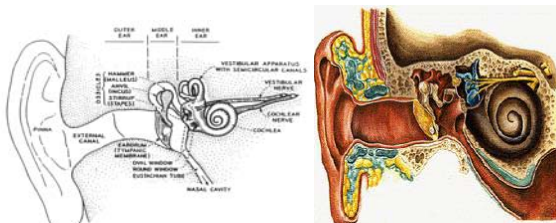
Overview of Auditory Mechanism



- begin by looking at ear models including processing in cochlea
- give some results on speech perception based on human studies in noise

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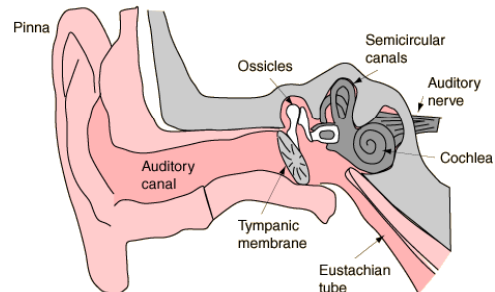
The Human Ear



- Outer ear:** pinna and external canal
- Middle ear:** tympanic membrane or eardrum
- Inner ear:** cochlea, neural connections

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Ear and Hearing



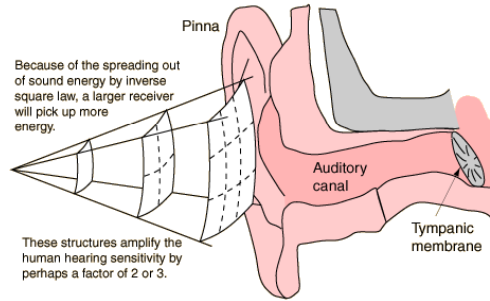
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Human Ear

- **Outer ear:** funnels sound into ear canal
- **Middle ear:** sound impinges on tympanic membrane; this causes motion
 - middle ear is a mechanical transducer, consisting of the hammer, anvil and stirrup; it converts acoustical sound wave to mechanical vibrations along the inner ear
- **Inner ear:** the cochlea is a fluid-filled chamber partitioned by the basilar membrane
 - the auditory nerve is connected to the basilar membrane via inner hair cells
 - mechanical vibrations at the entrance to the cochlea create standing waves (of fluid inside the cochlea) causing basilar membrane to vibrate at frequencies commensurate with the input acoustic wave frequencies (formants) and at a place along the basilar membrane that is associated with these frequencies

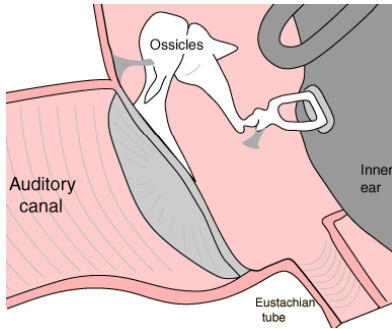
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The Outer Ear



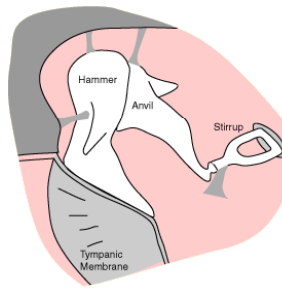
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The Outer Ear



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The Middle Ear

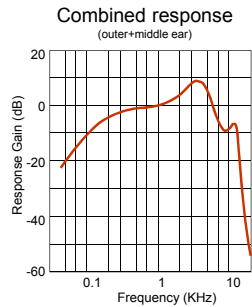
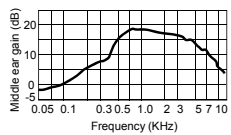
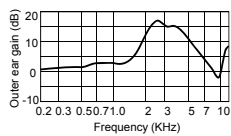


The Hammer (Malleus), Anvil (Incus) and Stirrup (Stapes) are the three tiniest bones in the body. Together they form the coupling between the vibration of the eardrum and the forces exerted on the oval window of the inner ear.

These bones can be thought of as a compound lever which achieves a multiplication of force—by a factor of about three under optimum conditions. (They also protect the ear against loud sounds by attenuating the sound.)

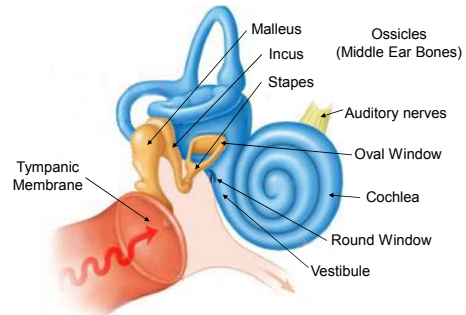
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Transfer Functions at the Periphery



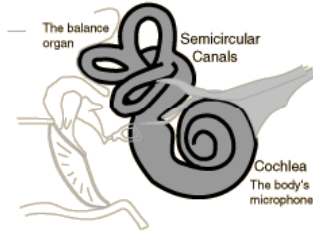
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The Cochlea



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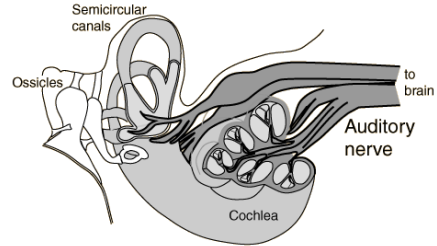
The Inner Ear



The inner ear can be thought of as two organs, namely the semicircular canals which serve as the body's balance organ and the cochlea which serves as the body's microphone, converting sound pressure signals from the outer ear into electrical impulses which are passed on to the brain via the auditory nerve.

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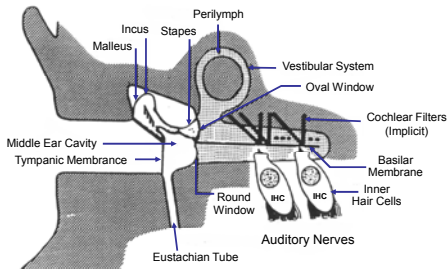
The Auditory Nerve



Taking electrical impulses from the cochlea and the semicircular canals, the auditory nerve makes connections with both auditory areas of the brain.

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Middle and Inner Ear

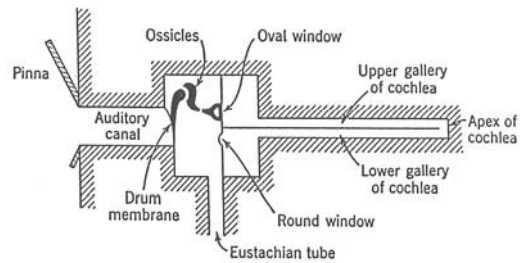


Expanded view of middle and inner ear mechanics

- cochlea is 2 1/2 turns of a snail-like shape
- cochlea is shown in linear format

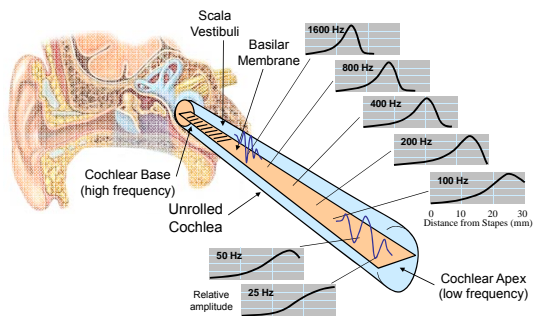
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Schematic Representation of the Ear



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Stretched Cochlea & Basilar Membrane



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Basilar Membrane Mechanics

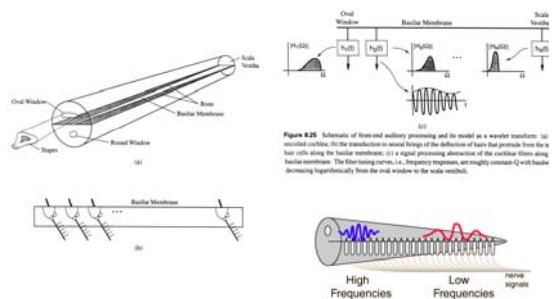


Figure 8.25 Schematic of frequency processing and its model as a waveguide. (a) unrolled cochlea; (b) the relationship in several ranges of the deflection of hair that protrude from the hair cells along the basilar membrane; (c) a equal processing distribution of the cochlear filter along basilar membrane. The filter tuning curves, i.e., frequency responses, are roughly constant Q with basilar decreasing laterally from the oval window to the scala vestibuli.

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Basilar Membrane Mechanics

- characterized by a set of **frequency responses** at different points along the membrane
- mechanical realization of a **bank of filters**
- filters are roughly **constant Q** (center frequency/bandwidth) with logarithmically decreasing bandwidth
- distributed along the Basilar Membrane is a set of sensors called **Inner Hair Cells** (IHC) which act as mechanical motion-to-neural activity converters
- mechanical motion along the BM is sensed by local IHC causing **firing activity** at nerve fibers that innervate bottom of each IHC
- each IHC connected to about 10 **nerve fibers**, each of different diameter => thin fibers fire at high motion levels, thick fibers fire at lower motion levels
- 30,000 nerve fibers link IHC to **auditory nerve**
- electrical pulses run along auditory nerve, ultimately reach higher levels of auditory processing in brain, perceived as **sound**

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Basilar Membrane Motion

- the ear is excited by the input acoustic wave which has the spectral properties of the speech being produced
 - different regions of the BM respond maximally to different input frequencies => frequency tuning occurs along BM
 - the BM acts like a bank of non-uniform cochlear filters
 - roughly logarithmic increase in BW of filters (<800 Hz has equal BW) => constant Q filters with BW decreasing as we move away from cochlear opening
 - peak frequency at which maximum response occurs along the BM is called the characteristic frequency

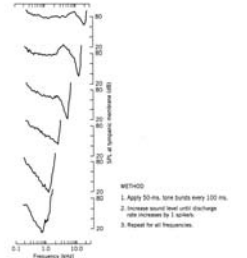


FIGURE 14.10 Tuning curves of six auditory nerve fibers. From [8].

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Basilar Membrane Motion



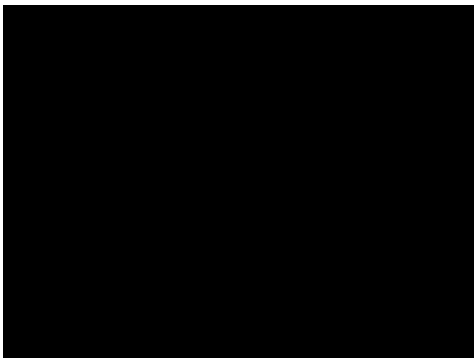
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Basilar Membrane Motion



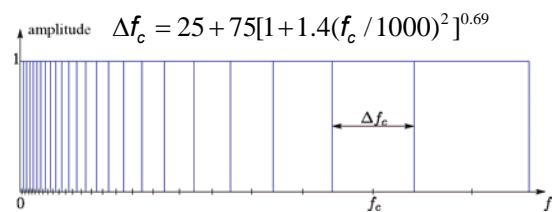
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Audience Model of Ear Processing



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Critical Bands



- Idealized basilar membrane filter bank
 - Center Frequency of Each Bandpass Filter: f_c
 - Bandwidth of Each Bandpass Filter: Δf_c
 - Real BM filters overlap significantly

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The Perception of Sound

- Key questions about sound perception:
 - what is the 'resolving power' of the hearing mechanism
 - how good an estimate of the fundamental frequency of a sound do we need so that the perception mechanism basically 'can't tell the difference'
 - how good an estimate of the resonances or formants (both center frequency and bandwidth) of a sound do we need so that when we synthesize the sound, the listener can't tell the difference
 - how good an estimate of the intensity of a sound do we need so that when we synthesize it, the level appears to be correct

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Sound Intensity

- Intensity of a sound is a physical quantity that can be measured and quantified
- Acoustic Intensity (I) defined as the average flow of energy (power) through a unit area, measured in watts/square meter
- Range of intensities between 10^{-12} watts/square meter to 10 watts/square meter; this corresponds to the range from the threshold of hearing to the threshold of pain

Threshold of hearing defined to be:

$$I_0 = 10^{-12} \text{ watts/m}^2$$

The intensity level of a sound, IL is defined relative to I_0 as:

$$IL = 10 \log_{10} \left(\frac{I}{I_0} \right) \text{ in dB}$$

For a pure sinusoidal sound wave of amplitude P , the intensity is proportional to P^2 and the sound pressure level (SPL) is defined as:

$$SPL = 10 \log_{10} \left(\frac{P^2}{P_0^2} \right) = 20 \log_{10} \left(\frac{P}{P_0} \right) \text{ dB}$$

where $P_0 = 2 \times 10^{-5}$ Newtons/m²

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The Range of Human Hearing

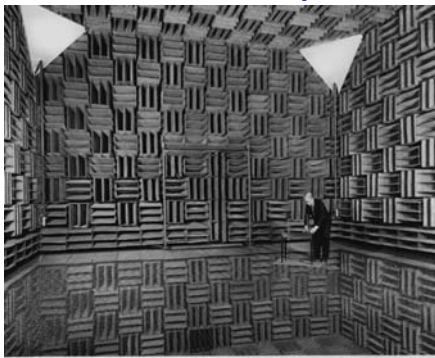
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Some Facts About Human Hearing

- the **range of human hearing** is incredible
 - **threshold of hearing** — thermal limit of Brownian motion of air particles in the inner ear
 - **threshold of pain** — intensities of from 10^{12} to 10^{16} greater than the threshold of hearing
- human hearing perceives both **sound frequency** and **sound direction**
 - can detect weak spectral components in strong broadband noise
- **masking** is the phenomenon whereby one loud sound makes another softer sound inaudible
 - masking is most effective for frequencies around the masker frequency
 - masking is used to hide quantizer noise by methods of spectral shaping (similar grossly to Dolby noise reduction methods)

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Anechoic Chamber (no Echos)



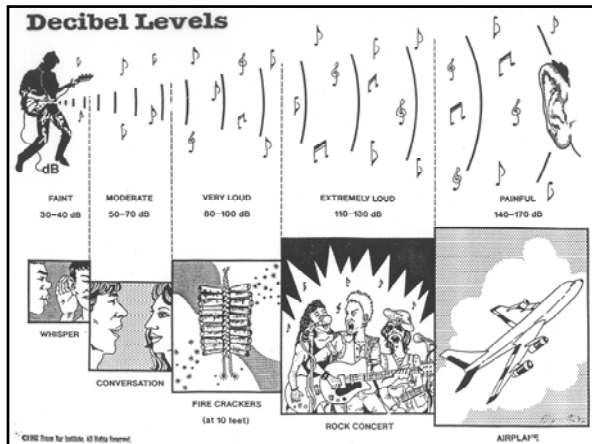
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Anechoic Chamber (no Echos)

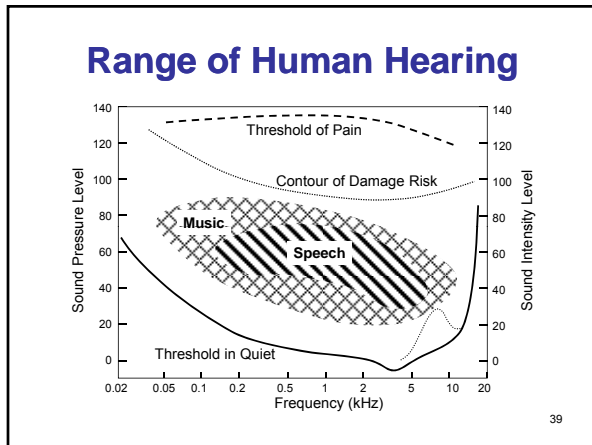


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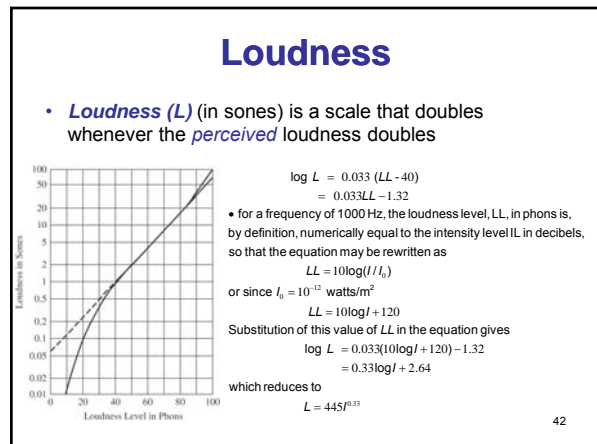
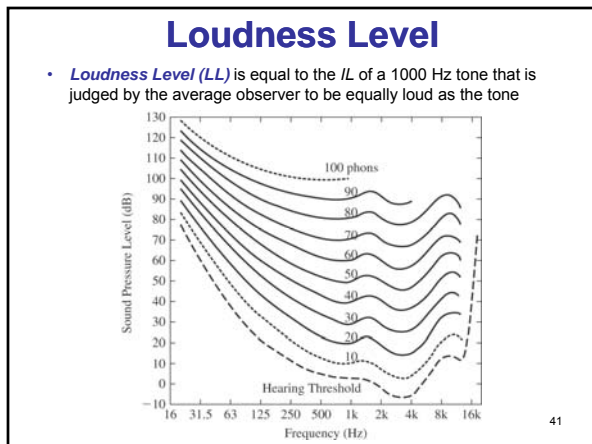


Sound Pressure Levels (dB)

SPL (dB)—Sound Source		SPL (dB)—Sound Source	
160	Jet Engine — close up	70	Busy Street; Noisy Restaurant
150	Firecracker; Artillery Fire	60	Conversational Speech — 1 foot
140	Rock Singer Screaming into Microphone; Jet Takeoff	50	Average Office Noise; Light Traffic; Rainfall
130	Threshold of Pain ; .22 Caliber Rifle	40	Quiet Conversation; Refrigerator; Library
120	Planes on Airport Runway; Rock Concert; Thunder	30	Quiet Office; Whisper
110	Power Tools; Shouting in Ear	20	Quiet Living Room; Rustling Leaves
100	Subway Trains; Garbage Truck	10	Quiet Recording Studio; Breathing
90	Heavy Truck Traffic; Lawn Mower	0	Threshold of Hearing
80	Home Stereo — 1 foot; Blow Dryer		



- ### Hearing Thresholds
- **Threshold of Audibility** is the acoustic intensity level of a pure tone that can barely be heard at a particular frequency
 - threshold of audibility ≈ 0 dB at 1000 Hz
 - threshold of feeling ≈ 120 dB
 - threshold of pain ≈ 140 dB
 - immediate damage ≈ 160 dB
 - Thresholds vary with frequency and from person-to-person
 - Maximum sensitivity is at about 3000 Hz

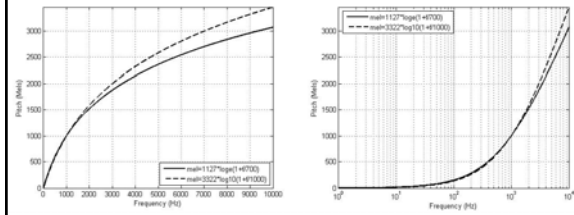


Pitch

- **pitch** and **fundamental frequency** are not the same thing
- we are quite sensitive to changes in pitch
 - $F < 500$ Hz, $\Delta F \approx 3$ Hz
 - $F > 500$ Hz, $\Delta F/F \approx 0.003$
- relationship between pitch and fundamental frequency is not simple, even for pure tones
 - the tone that has a pitch half as great as the pitch of a 200 Hz tone has a frequency of about 100 Hz
 - the tone that has a pitch half as great as the pitch of a 5000 Hz tone has a frequency of less than 2000 Hz
- the pitch of complex sounds is an even more complex and interesting phenomenon

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Pitch-The Mel Scale



Pitch (mels) = $3322 \log_{10}(1 + f / 1000)$
 Alternatively, we can approximate curve as:
 Pitch (mels) = $1127 \log_e(1 + f / 700)$

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Perception of Frequency

- Pure tone
 - **Pitch** is a perceived quantity while **frequency** is a physical one (cycle per second or Hertz)
 - **Mel** is a scale that doubles whenever the **perceived pitch** doubles; start with 1000 Hz = 1000 mel, increase frequency of tone until listener perceives twice the pitch (or decrease until half the pitch) and so on to find mel-Hz relationship
 - The relationship between pitch and frequency is non-linear
- Complex sound such as speech
 - **Pitch** is related to **fundamental frequency** but not the same as fundamental frequency; the relationship is more complex than pure tones
- **Pitch period** is related to time.

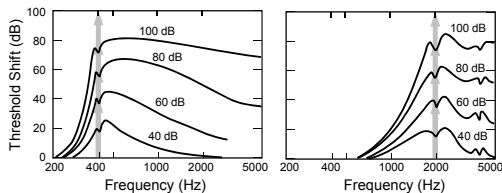
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Tone Masking

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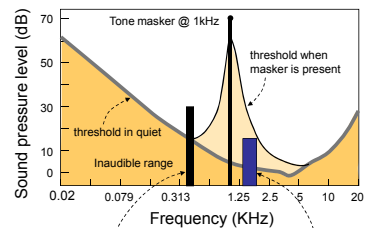
Pure Tone Masking

- **Masking** is the effect whereby some sounds are made less distinct or even inaudible by the presence of other sounds
- Make threshold measurements in presence of masking tone; plots below show shift of threshold over non-masking thresholds as a function of the level of the tone masker



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Auditory Masking



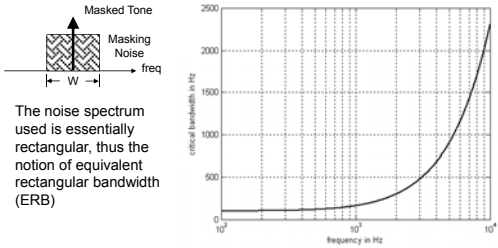
Signal perceptible even in the presence of the tone masker

Signal not perceptible due to the presence of the tone masker

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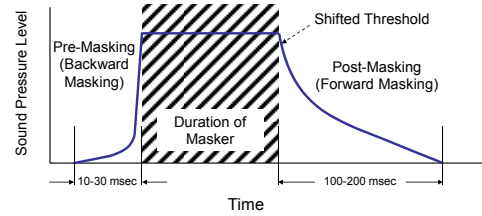
Masking & Critical Bandwidth

- Critical Bandwidth** is the bandwidth of masking noise beyond which further increase in bandwidth has little or no effect on the amount of masking of a pure tone at the center of the band



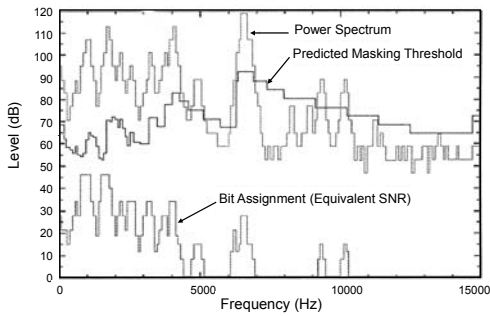
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Temporal Masking



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Exploiting Masking in Coding



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Parameter Discrimination

JND – Just Noticeable Difference
Similar names: differential limen (DL), ...

Parameter	JND/DL
Fundamental Frequency	0.3-0.5%
Formant Frequency	3-5%
Formant bandwidth	20-40%
Overall Intensity	1.5 dB

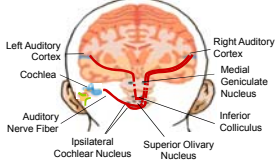
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Different Views of Auditory Perception

- Functional: based on studies of psychophysics – relates stimulus (*physics*) to perception (*psychology*): e.g. frequency in Hz. vs. Mel/Bark scale.



- Structural: based on studies of physiology/anatomy – how various body parts work with emphasis on the process; e.g. neural processing of a sound

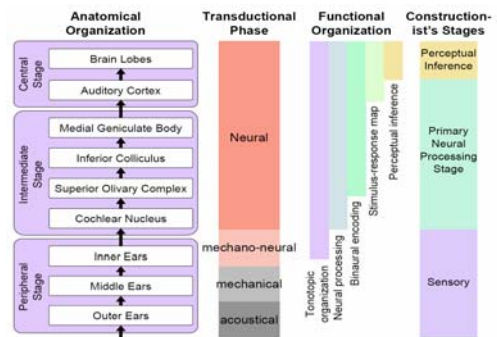


Auditory System:

- Periphery: outer, middle, and inner ear
- Intermediate: CN, SON, IC, and MGN
- Central: auditory cortex, higher processing units

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Anatomical & Functional Organizations



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Auditory Models

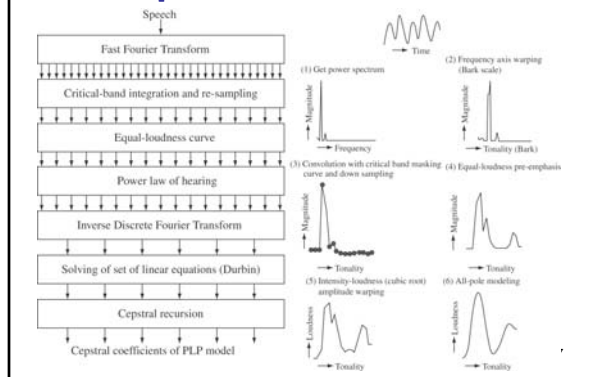
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Auditory Models

- Perceptual effects included in most auditory models:
 - spectral analysis on a non-linear frequency scale (usually mel or Bark scale)
 - spectral amplitude compression (dynamic range compression)
 - loudness compression via some logarithmic process
 - decreased sensitivity at lower (and higher) frequencies based on results from equal loudness contours
 - utilization of temporal features based on long spectral integration intervals (syllabic rate processing)
 - auditory masking by tones or noise within a critical frequency band of the tone (or noise)

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Perceptual Linear Prediction

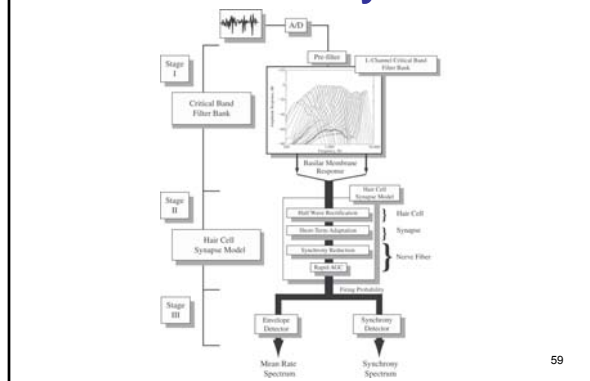


Perceptual Linear Prediction

- Included perceptual effects in PLP:
 - critical band spectral analysis using a Bark frequency scale with variable bandwidth trapezoidal shaped filters
 - asymmetric auditory filters with a 25 dB/Bark slope at the high frequency cutoff and a 10 dB/Bark slope at the low frequency cutoff
 - use of the equal loudness contour to approximate unequal sensitivity of human hearing to different frequency components of the signal
 - use of the non-linear relationship between sound intensity and perceived loudness using a cubic root compression method on the spectral levels
 - a method of broader than critical band integration of frequency bands based on an autoregressive, all-pole model utilizing a fifth order analysis

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Seneff Auditory Model

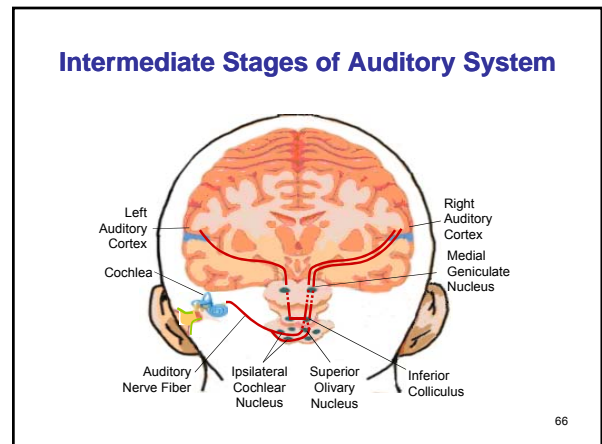
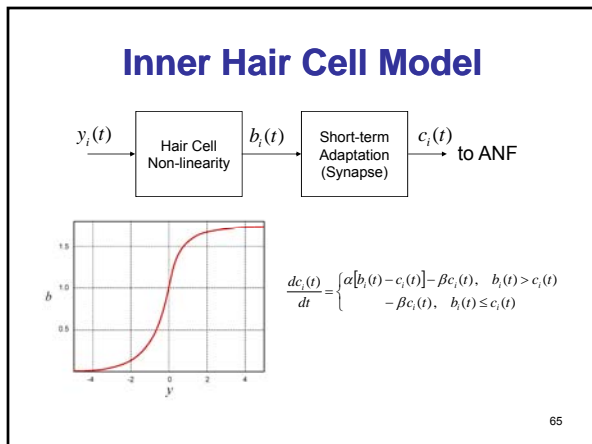
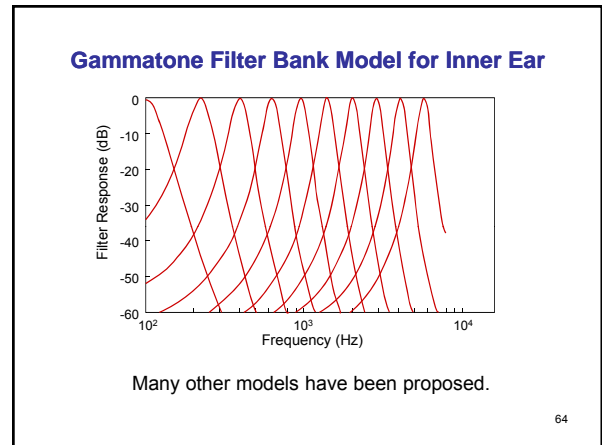
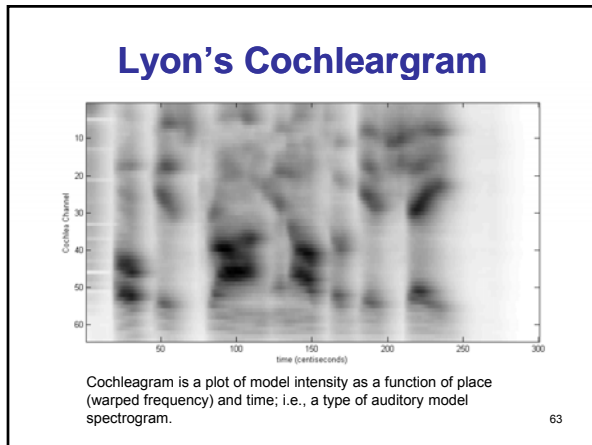
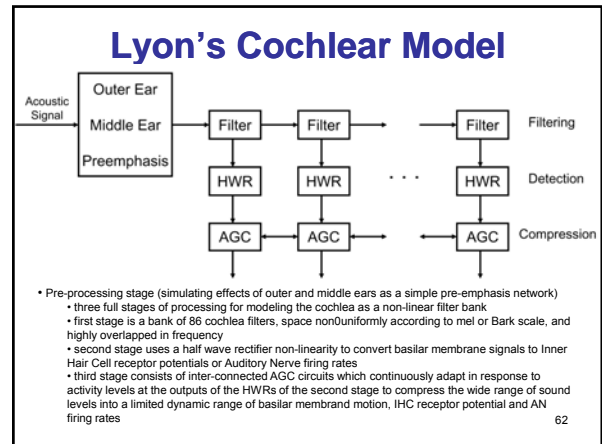
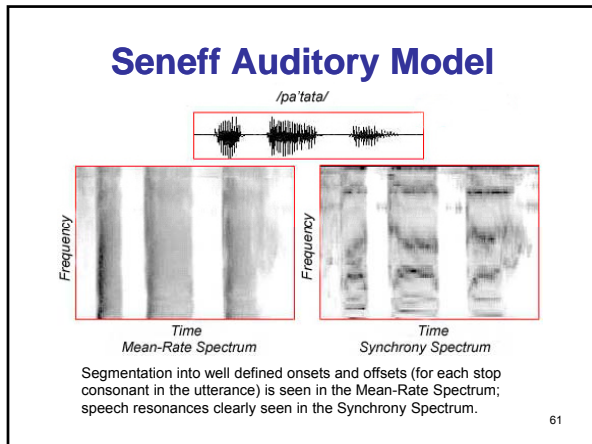


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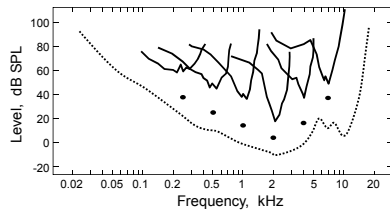
Seneff Auditory Model

- This model tried to capture essential features of the response of the cochlea and the attached hair cells in response to speech sound pressure waves
- Three stages of processing:
 - stage 1 pre-filters the speech to eliminate very low and very high frequency components, and then uses a 40-channel critical band filter bank distributed on a Bark scale
 - stage 2 is a hair cell synapse models which models the (probabilistic) behavior of the combination of inner hair cells, synapses, and nerve fibers via the processes of half wave rectification, short-term adaptation, and synchrony reduction and rapid automatic gain control at the nerve fiber; outputs are the probabilities of firing, over time, for a set of similar fibers acting as a group
 - stage 3 utilizes the firing probability signals to extract information relevant to perception; i.e., formant frequencies and enhanced sharpness of onset and offset of speech segments; an Envelope Detector estimates the Mean Rate Spectrum (transitions from one phonetic segment to the next) and a Synchrony Detector implements a phase-locking property of nerve fibers, thereby enhancing spectral peaks at formants and enabling tracking of dynamic spectral changes

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Psychophysical Tuning Curves (PTC)

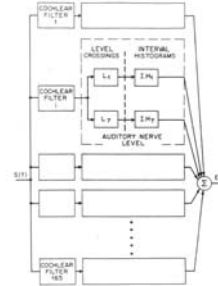


- Each of the psychophysical tuning curves (PTCs) describes the simultaneous masking of a low intensity signal by sinusoidal maskers with variable intensity and frequency.
- PTCs are similar to the tuning curves of the auditory nerve fibers (ANF).

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Ensemble Interval Histogram (EIH)

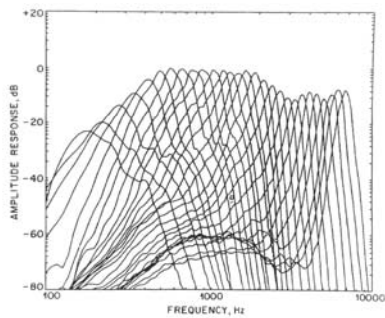
• model of cochlear and hair cell transduction => filter bank that models frequency selectivity at points along the BM, and nonlinear processor for converting filter bank output to neural firing patterns along the auditory nerve



- 165 channels, equally spaced on a log frequency scale between 150 and 7000 Hz
- cochlear filter designs match neural tuning curves for cats => minimum phase filters
- array of level crossing detectors that model motion-to-neural activity transduction of the IHCs
- detection levels are pseudo-randomly distributed to match variability of fiber diameters

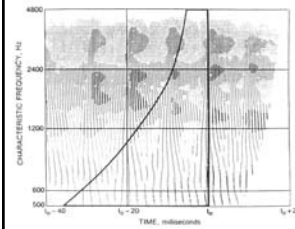
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Cochlear Filter Designs



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EIH Responses

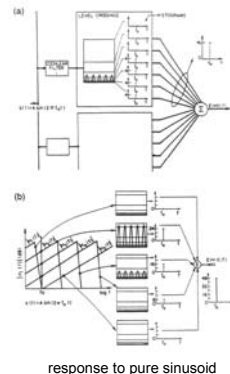


- plot shows simulated auditory nerve activity for first 60 msec of /o/ in both time and frequency of IHC channels
- log frequency scale
- level crossing occurrence marked by single dot; each level crossing detector is a separate trace
- for filter output low level—1 or fewer levels will be crossed
- for filter output high level—many levels crossed => darker region

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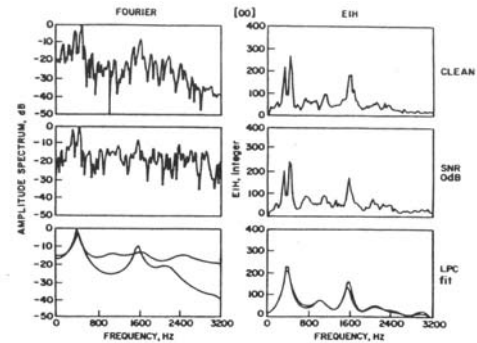
Overall EIH

- EIH is a measure of spatial extent of coherent neural activity across auditory nerve
- it provides estimate of short term PDF of reciprocal of intervals between successive firings in a characteristic frequency-time zone
- EIH preserves signal energy since threshold crossings are functions of amplitude
 - as A increases, more levels are activated



response to pure sinusoid

EIH Robustness to Noise



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Why Auditory Models

- Match human speech perception
 - Non-linear frequency scale – mel, Bark scale
 - Spectral amplitude (dynamic range) compression – loudness (log compression)
 - Equal loudness curve – decreased sensitivity at lower frequencies
 - Long spectral integration – “temporal” features

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What Do We Learn From Auditory Models

- Need both short (20 msec for phonemes) and long (200 msec for syllables) segments of speech
- Temporal structure of speech is important
- Spectral structure of sounds (formants) is important
- Dynamic (delta) features are important

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Summary of Auditory Processing

- human hearing ranges
- speech communication model — from production to perception
- black box models of hearing/perception
- the human ear — outer, middle, inner
- mechanics of the basilar membrane
- the ear as a frequency analyzer
- the Ensemble Interval Histogram (EIH) model

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Back to Speech Perception

- **Speech Perception** studies try to answer the key question of ‘what is the ‘resolving power’ of the hearing mechanism’ => how good an estimate of pitch, formant, amplitude, spectrum, V/UV, etc do we need so that the perception mechanism can’t ‘tell the difference’
 - speech is a **multidimensional signal** with a linguistic association => difficult to measure needed precision for any specific parameter or set of parameters
 - rather than talk about speech perception => use auditory discrimination to eliminate linguistic or contextual issues
 - issues of **absolute identification** versus **discrimination capability** => can detect a frequency difference of 0.1% in two tones, but can only absolutely judge frequency of five different tones => auditory system is very sensitive to differences but cannot perceive and resolve them absolutely

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Sound Perception in Noise

	p	t	k	f	θ	s	ʃ	b	d	g	v	δ	z	3	m	n	
p	240																
t	18	252															
k	41	3	219														
f				225	24												
θ				69	185												
s						232											
ʃ							236										
b								242									
d									213	22							
g										24	12						
v											3						
δ												171	30				
z													22	208	4		
3															7	238	
m																274	
n																	252

FIGURE 17.4 Confusion matrix for S/N = +12 dB and a frequency response of 200–6500 Hz. From [13].

Confusions as to sound **PLACE**, not **MANNER**

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Sound Perception in Noise

	p	t	k	f	θ	s	ʃ	b	d	g	τ	δ	z	3	m	n	
p	80	43	64	17	14	6	2	1	1								
t	71	84	55	5	9	3	8										
k	66	76	107	12	8	9	4										
f	18	12	9	175	48	11	1	7	2	1							
θ	19	17	16	104	65	32	7	5	4	5	2	2	4	4	5	2	
s	8	5	4	23	39	107	45	4	2	3							
ʃ	1	6	3	4	6	29	195										
b	1			5	4	4		136	10	9	47	16	6	1			
d								5	80	45	11	20	20	26			
g								3	63	66	3	19	37	56			
v											5	145	45	17	5		
δ											33	6	17	86	58	61	
z												20	27	16	3	8	
3													26	18	5	44	
m																	129
n	1																

FIGURE 17.5 Confusion matrix for S/N = -6 dB and a frequency response of 200–6500 Hz. From [13].

Confusions in **both** sound **PLACE** and **MANNER**

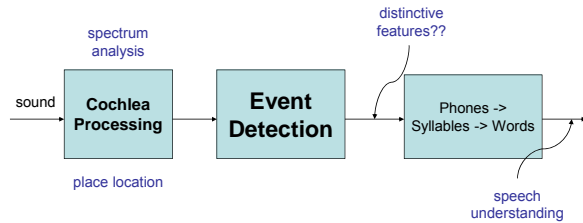
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Speech Perception Summary

- the role of speech perception
- sound measures—acoustic intensity, loudness level, pitch, fundamental frequency
- range of human hearing
- the mel scale of pitch
- masking—pure tones, noise, auditory masking, critical bandwidths, jnd
- sound perception in noise—distinctive features, word intelligibility, MOS ratings

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Speech Perception Model



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Lecture Summary

- the **ear** acts as a sound canal, transducer, spectrum analyzer
- the **cochlea** acts like a multi-channel, logarithmically spaced, constant Q filter bank
- **frequency and place** along the basilar membrane are represented by inner hair cell transduction to events (ensemble intervals) that are processed by the brain
 - this makes sound highly robust to noise and echo
- **hearing** has an enormous range from threshold of audibility to threshold of pain
 - perceptual attributes scale differently from physical attributes—e.g., loudness, pitch
- **masking** enables tones or noise to hide tones or noise => this is the basis for perceptual coding (MP3)
- **perception and intelligibility** are tough concepts to quantify—but they are key to understanding performance of speech processing systems

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