Mixed Signal IC Design
Notes set 7:
Electrical device noise models.

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Topics

Math: distributions, random variables, expectations, pairs of RV, joint distributions, mean, variance, covariance and correlations. Random processes, description, stationarity, ergodicity, correlation functions, autocorrelation function, power spectral density.

Noise models of devices: thermal and "shot" noise. Models of resistors, diodes, transistors, antennas.

Thermal Noise

\[
\frac{d\langle E_n E_n^* \rangle}{df} = 4R^* \left[ \frac{hf}{2} + \frac{hf}{\exp(hf / kT) - 1} \right]
\]

\[
\frac{d\langle I_n I_n^* \rangle}{df} = \frac{4}{R} \left[ \frac{hf}{2} + \frac{hf}{\exp(hf / kT) - 1} \right]
\]

For \( hf << kT \) these become

\[
\frac{d\langle E_n E_n^* \rangle}{df} = 4kTR
\]

\[
\frac{d\langle I_n I_n^* \rangle}{df} = \frac{4kT}{R}
\]
Available Thermal Noise Power

Maximum power transfer: load $R$ matched to generator $R$.

With matched load, voltage across load is $E_N/2$

With matched load, current through load is $I_N/2$

Given that

$$\frac{d\langle E_nE_n^* \rangle}{df} = 4kTR \quad \text{or} \quad \frac{d\langle I_nI_n^* \rangle}{df} = \frac{4kT}{R} \quad \Rightarrow \quad \frac{d\langle P_{load} \rangle}{df} = kT$$

$P_{load}$ is the maximum (the available) noise power, hence

$$\frac{d\langle P_{available,noise} \rangle}{df} = kT$$

All resistors have equal available noise power.

Any component under thermal equilibrium (no bias) follows this law.
Rough Argument for Thermal Noise Expression

Each degree of freedom in a system at thermal equilibrium with a reservoir must have expected energy $kT/2$.

Thermal equilibrium is obtained via the resistor dissipation: circuit power $\rightarrow$ heat

thermal noise: heat $\rightarrow$ circuit power

A filter of 1 Hz bandwidth, observed for 1 second, has two degrees of freedom ($\sin(2\pi f_o)$ & $\cos(2\pi f_o)$), hence the total available power in this bandwidth must be $kT\Delta f$. 
Noise from any impedance under thermal equilibrium

For any component or complex network under thermal equilibrium (no energy supply)

\[
\frac{d\langle P_{\text{available,noise}} \rangle}{df} = kT
\]

\[
\frac{d\langle E_n E_n^* \rangle}{df} = 4kT \text{Re}(Z) \quad \text{or} \quad \frac{d\langle I_n I_n^* \rangle}{df} = 4kT \text{Re}(Y)
\]

This follows from the 2\textsuperscript{nd} law of thermodynamics.

This allows quick noise calculation of complex passive networks
This allows quick noise calculation of antennas.

Biased semiconductor devices are NOT in thermal equilibrium.
Noise from an Antenna

\[
\frac{d\langle P_{\text{available,noise}} \rangle}{df} = kT \Rightarrow \frac{d\langle E_n E_n^* \rangle}{df} = 4kT \Re(Z)
\]

The antenna has both Ohmic and radiation resistances.

The Ohmic resistance has a noise voltage of spectral density

\[4kT_{\text{ambient}} R_{\text{Ohmic}}, \text{ where } T_{\text{ambient}} \text{ is the physical antenna temperature}\]

By the 2\textsuperscript{nd} law, the radiation resistance has a noise voltage of spectral density

\[4kT_{\text{field}} R_{\text{rad}}, \text{ where } T_{\text{field}} \text{ is the average temperature of the region from which the antenna receives signal power}\]

Inter-galactic space is at 3.8 Kelvin....
Noise on a capacitor

From
\[
\frac{d\langle E_n E_n^* \rangle}{df} = 4kTR \quad \text{or} \quad \frac{d\langle I_n I_n^* \rangle}{df} = \frac{4kT}{R}
\]
We find that
\[
\frac{d\langle V_c V_c^* \rangle}{df} = \left( \frac{1}{1 + j2\pi f RC} \right) \left( \frac{1}{1 + j2\pi f RC} \right)^* \frac{d\langle E_n E_n^* \rangle}{df}
\]
\[
= \left( \frac{1}{1 + 4\pi^2 f^2 R^2 C^2} \right) \frac{d\langle E_n E_n^* \rangle}{df}
\]
So the mean stored Capacitor energy is
\[
(1/2)C\langle V_c V_c \rangle = \int_0^\infty \left( \frac{1}{1 + 4\pi^2 f^2 R^2 C^2} \right) \frac{d\langle E_n E_n^* \rangle}{df} df = kT / 2
\]
This also follows directly from the Boltzmann law.
**Shot noise**

The proof (not given) extends upon our earlier discrete - time shot - noise calculation.

*If *, given a DC current $I$, the arrival of each electron is statistically independent of every other electron,

* then * the current has a noise power spectral density at lower frequencies of

$$\frac{d\langle I_n I_n^* \rangle}{df} = 2qI$$

Most DC currents in circuits are * not * a statistically independent flow of electrons.

The electron motion in a resistor generates local fields which influence the flow of all other electrons. Classical resistors do not exhibit shot noise.
Shot Noise Example: Heavily Attenuated Light

An optical fiber is illuminated by a noiseless source of optical power $P_{in}$ and produces a flux of photons per unit time of $P_{in} / h \nu_{optical}$.

The fiber has attenuation $\alpha$, hence the received optical power is $F_{in} = P_{out} = \alpha P_{in}$ and the received flux of photons is $F_{out} = P_{out} / h \nu_{optical}$. Because each photon passes through the fiber with probability $\alpha$, the process again has shot noise with

$$\frac{d\langle P_{out} P_{out}^* \rangle}{df} = 2h \nu P_{out}$$

This produces on a photocurrent with quantum efficiency $\eta$ a photocurrent $I_{ph} = (\eta q / h \nu) P_{out}$ with a shot noise of

$$\frac{d\langle I_{out} I_{out}^* \rangle}{df} = 2q I_{out}$$
Shot noise Example: Reverse Biased Schottky Diode

In the metal, electrons have a Fermi - Dirac energy distribution. Some will have sufficient energy to cross over the barrier.

This produces reverse leakage current.

These events are (almost) independent, hence the resulting current has a noise spectral density of

\[
\frac{d\langle I_{\text{leak}} I_{\text{leak}}^* \rangle}{df} = 2qI_{\text{leak}}
\]
Shot noise* in PN junctions

The diode current is

\[
I_{\text{diode}} = I_s \left( e^{qV/kT} - 1 \right) = I_s e^{qV/kT} - I_s = I_{\text{forward}} + I_{\text{reverse}}
\]

Both the forward and reverse currents have shot noise, hence

\[
\frac{d\langle I_{\text{diode}}^* I_{\text{diode}} \rangle}{df} = 2qI_{\text{forward}} + 2qI_{\text{reverse}} = 2q(I_{\text{diode}} + 2I_s)
\]

Under strong forward bias, \(d\langle I_{\text{diode}}^* I_{\text{diode}} \rangle/df = 2qI_{\text{diode}}\)

under strong reverse bias, \(d\langle I_{\text{diode}}^* I_{\text{diode}} \rangle/df = 2qI_s\)

Under zero bias, \(d\langle I_{\text{diode}}^* I_{\text{diode}} \rangle/df = 4kT / r_{\text{diode}}\), as required by the 2nd law.

* Van der Zeil derives noise in PN junctions from the thermal noise of carrier diffusion.

The noise current spectral density thus calculated is equal to that of shot noise.
Shot noise and PN junctions: another model

For a strongly forward biased junction

$$\frac{d\langle I_{\text{diode}}I_{\text{diode}}^*\rangle}{df} = 2qI_{\text{diode}} = \frac{2kT}{r_{\text{diode}}} \quad \text{where} \quad r_{\text{diode}} = \frac{kT}{qI_{\text{diode}}}$$

or

$$\frac{d\langle V_{\text{diode}}V_{\text{diode}}^*\rangle}{df} = 2kTr_{\text{diode}}$$

hence

$$\frac{d\langle P_{\text{diode}}\rangle}{df} = \frac{kT}{2}$$

A biased diode has noise 1/2 that of a resistor of equal small - signal impedance. The factor of 2 arises from one - way current flow.

* Van der Zeil derives noise in PN junctions from the thermal noise of carrier diffusion. The noise current spectral density thus calculated is equal to that of shot noise.
Bipolar Transistor Model---without Noise

\[ g_m V_{be} e^{-j \omega \tau_c} \]

\[ C_{be, \text{diff}} = g_m \tau_f \]

\[ C_{cbx} \]

\[ C_{cbi} \]

\[ R_{bb} \]

\[ R_{be} \]

\[ R_c \]

\[ R_{ex} \]
Bipolar Transistor Model---with Noise

\[ C_{cbx} \]

\[ I_{Nb} \]
\[ R_{be} \]
\[ R_{bb} \]

\[ C_{cbi} \]

\[ I_{Nc} \]

\[ E_{N,Rc} \]
\[ R_c \]

\[ V_{be} \]

\[ g_m V_{be} e^{-j\omega \tau_c} \]

\[ R_{ex} \]

\[ E_{N,Rex} \]

\[ C_{be,\text{diff}} = g_m \tau_f \]
\[ C_{je} \]

\[ E_{N,Rbb} \]
Bipolar Noise Model

Collector shot noise

\[ \frac{d\langle I_{nc}I_{nc}^* \rangle}{df} = 2qI_c = 2kT / r_e = 2kTg_m \]

Base shot noise

\[ \frac{d\langle I_{nb}I_{nb}^* \rangle}{df} = 2qI_b = 2kT / r_{be} \]

There is a slight correlation of \( I_{nb} \) and \( I_{nc} \) (a cross-spectral density) when \( 2\pi f (\tau_b + \tau_c) \) approaches 1. We will ignore this small effect.

The physical resistors \( (R_{bb}, R_{ex}, R_c) \) have thermal noise of spectral density \( d\langle V^2 \rangle / df = 4kTR \)

\( R_{be} \) and \( r_e = 1 / g_m \) are not physical resistors.

The noise of \( R_{be} \) and \( r_e \) are the base and the collector shot noise generators.

* Van der Zeil derives noise in PN junctions from the thermal noise of carrier diffusion. The noise current spectral density thus calculated is equal to that of shot noise.
FET Small-Signal Model
FET Noise Model

\[ \begin{align*}
E_{N,Rg} & \quad R_g \\
I_{Ng} & \quad C_{gd} \\
E_{N,Ri} & \quad I_{Nd} \\
E_{N,Rd} & \quad R_d
\end{align*} \]
**FET Noise model**

$R_g, R_s, R_d$ are physical resistances $\Rightarrow d\langle V^2 \rangle/df = 4kTR$

$I_{nd}$ is the thermal noise of the channel current

$$d\langle I_{nd} I_{nd}^* \rangle/df = 4kT\Gamma g_m$$

$\Gamma = 2/3$ : gradual - channel FET under constant mobility

$\Gamma \sim 1 - 1.5$ : highly scaled FET under high - field conditions

$R_i$ arises from the channel : $E_{N,Ri}$ and $I_{nd}$ have small correlation

Effect is negligible $\rightarrow$ approximate as uncorrelated.

$$d\langle E_{N,Ri} E_{N,Ri}^* \rangle/df \approx 4kTR_i$$

$I_{ng}$ is the shot noise of the gate leakage current : $d\langle I_{ng} I_{ng}^* \rangle/df = 2qI_{gate}$

$R_{ds}$ is not a physical resistor - no associated noise generator.
You will also see this in the literature. There is no new physics here at all. We have just applied the formula that for a general impedance

\[
\frac{d\langle I_n I_n^* \rangle}{df} = 2qI_{\text{gate}} + 4kT \Re(Y) = 2qI_{\text{gate}} + 4kT \left(2\pi f C_{gs}\right)^2 R_i
\]

...to get the input in terms of a current.
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Noise models of devices: thermal and "shot" noise. Models of resistors, diodes, transistors, antennas.