ECE160 / CMPS182 Multimedia

Lecture 4: Spring 2008 Color in Image and Video

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Color Science

Light and Spectra

- Light is an electromagnetic wave. Its color is characterized by the wavelength content of the light.
 - (a) Laser light consists of a single wavelength: e.g., a ruby laser produces a bright, scarlet-red beam.
 - (b) Most light sources produce contributions over many wavelengths.
 - (c) However, humans cannot detect all light, just contributions that fall in the "visible wavelengths" in the range 400 nm to 700 nm (where nm stands for nanometer, 10−9 meters).
 - (d) Short wavelengths produce a blue sensation, long wavelengths produce a red one.
- **Spectrophotometer**: device used to measure visible light, by reflecting light from a diffraction grating (a ruled surface) that spreads out the different wavelengths.



- This shows the relative power in each wavelength interval for typical outdoor light on a sunny day. This type of curve is called a Spectral Power Distribution (SPD) or a spectrum.
- The symbol for wavelength is λ . This curve is called $E(\lambda)$. ECE160 Lecture 4 Spring 2008 Color in Image and Video

Human Vision

- The eye works like a camera, with the lens focusing an image onto the retina (upside-down and left-right reversed).
- The retina consists of an array of *rods* and three kinds of cones.
- The rods come into play when light levels are low and produce a image in shades of gray ("all cats are gray at night!").
- For higher light levels, the cones each produce a signal. Because of their differing pigments, the three kinds of cones are most sensitive to red (R), green (G), and blue (B) light.
- It seems likely that the brain makes use of differences R-G, G-B, and B-R, as well as combining all of R, G, and B into a high-light-level achromatic channel. **ECE160** Lecture 4 4

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- The eye is most sensitive to light in the middle of the visible spectrum.
- The sensitivity of our *receptors* is also a function of wavelength.



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- The Blue receptor sensitivity is not shown to scale because it is much smaller than the curves for Red or Green
- The overall sensitivity is shown as a dashed line

 this important curve is called
 the luminous-efficiency function.
 It is denoted V(λ) and is the sum of the
 response curves for Red, Green, and Blue.
- The rod sensitivity curve looks like the luminous-efficiency function V(λ) but is shifted to the red end of the spectrum.

- The achromatic channel produced by the cones is approximately proportional to 2*R*+*G*+*B*/20.
- These spectral sensitivity functions are usually denoted by letters other than "*R*, *G*, *B*"; here let's use a vector function $\boldsymbol{q}(\lambda)$, with components $\boldsymbol{q}(\lambda) = (\ q_R(\lambda), \ q_G(\lambda), \ q_B(\lambda))^T$

- The response in each color channel in the eye is proportional to the number of neurons firing.
- A laser light at wavelength would result in a certain number of neurons firing. An SPD is a combination of single-frequency lights (like "lasers"), so we add up the cone responses for all wavelengths, weighted by the eye's relative response at that wavelength.

$$R = \int E(\lambda) q_R(\lambda) d\lambda$$
$$G = \int E(\lambda) q_G(\lambda) d\lambda$$
$$B = \int E(\lambda) q_B(\lambda) d\lambda$$

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Image Formation

- Surfaces reflect different amounts of light at different wavelengths, and dark surfaces reflect less energy than light surfaces.
- We show the surface spectral reflectance from orange sneakers and faded blue jeans. The reflectance function is denoted $S(\lambda)$.



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Color Signal



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Camera Systems

- Camera systems are made in a similar fashion; a studioquality camera has three signals produced at each pixel location (corresponding to a retinal position).
- Analog signals are converted to digital, truncated to integers, and stored. If the precision used is 8-bit, then the maximum value for any of *R*,*G*,*B* is 255, and the minimum is 0.
- However, the light entering the eye of the computer user is that which is emitted by the screen - the screen is essentially a self-luminous source. Therefore we need to know the light *E*(λ) entering the eye.

Gamma Correction

- The light emitted is in fact roughly proportional to the voltage raised to a power; this power is called gamma, with symbol γ.
- (a) Thus, if the file value in the red channel is R, the screen emits light proportional to R^{γ} , with SPD equal to that of the red phosphor paint on the screen that is the target of the red channel electron gun. The value of gamma is around 2.2.

(b) It is customary to append a prime to signals that are **gamma-corrected** by raising to the power (1/ γ) before transmission. Thus we arrive at **linear signals**: $R \rightarrow R' = R^{1/\gamma} \rightarrow (R')^{\gamma} \rightarrow R$

Gamma Correction

- Top left shows light output with no gamma-correction applied. We see that darker values are displayed too dark. This is also shown bottom left which displays a linear ramp from left to right.
- Top right shows the effect of pre-correcting signals by applying power law $R^{1/\gamma}$; it is customary to normalize voltage to [0,1].



Gamma Correction

- A more careful definition of gamma recognizes that a simple power law would result in an infinite derivative at zero voltage – which makes constructing a circuit to accomplish gamma correction difficult to devise in analog.
- In practice a more general transform, such as $R \rightarrow R' = a x R^{1/\gamma} + b$

is used, along with special care at the origin: 4.5 x Vin; Vin < 0.018 Vout =

Color-Matching Functions

- Even without knowing the eye-sensitivity curves, a technique evolved in psychology for matching a combination of basic *R*, *G*, and *B* lights to a given shade.
- The particular set of three basic lights used in an experiment are called the set of **color primaries**.
- To match a given color, a subject is asked to separately adjust the brightness of the three primaries using a set of controls until the resulting spot of light most closely matches the desired color.
- A device for carrying out such an experiment is called a **colorimeter**.

Colorimeter



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Colorimetry

- The amounts of R, G, and B the subject selects to match each single-wavelength light forms the color-matching curves.
- These are denoted $\overline{r}(\lambda)$, $\overline{g}(\lambda)$, $\overline{b}(\lambda)$ ullet

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- - (a) The resulting curves are shown below are usually referred to as *the* colormatching functions.
 - (b) They are a 3x3 matrix away from \bar{r} ; \bar{g} ; \bar{b} curves, and are denoted $\bar{x}()$; $\bar{y}()$; $\bar{z}()$.
 - (c) The matrix is chosen such that the middle standard color-matching function $\overline{y}(\lambda)$ exactly equals the luminous-efficiency curve V(λ)



 For a general SPD E(), the essential "colorimetric" information required to characterize a color is the set of tristimulus values X, Y, Z defined luminance:

 $\overline{X} = \int \overline{E}(\lambda) \,\overline{x}(\lambda) \, d\lambda$ $\overline{Y} = \int \overline{E}(\lambda) \,\overline{y}(\lambda) \, d\lambda$ $\overline{Z} = \int \overline{E}(\lambda) \,\overline{z}(\lambda) \, d\lambda$

 3D data is difficult to visualize, so the CIE devised a 2D diagram based on the values of (X,Y,Z) triples implied by the curves

We go to 2D by factoring out the magnitude of vectors (X; Y;Z); we could divide by √(X² + Y² + Z²), but instead we divide by the sum (X+Y+Z) to make the chromaticity:

$$x = X/(X + Y + Z)$$

$$y = Y/(X + Y + Z)$$

$$z = Z/(X + Y + Z)$$

This effectively means that one value out of the set (x,y,z) is redundant since we have

$$x+y+z = \frac{X+Y+Z}{X+Y+Z} = 1$$

• so that
$$z = 1 - x - y$$

Effectively, we are projecting each tristimulus vector (X; Y;Z) onto the plane connecting points (1; 0; 0), (0; 1; 0), and (0; 0; 1).



 This figure shows the locus of points for monochromatic light



- (a) The color matching curves each add up to the same value the area under each curve is the same for each of x(); y(); z().
- (b) For an $E(\lambda) = 1$ for all λ , an "equi-energy white light" chromaticity values are (1/3; 1/3)
 - a typical actual white point is in the middle of the diagram.
- (c) Since x,y < 1 and x+y < 1, all possible chromaticity values lie below the dashed diagonal line

 The CIE defines several "white" spectra: illuminant A, illuminant C, and standard daylights D65 and D100.



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- Chromaticities on the spectrum locus (the "horseshoe" of the diagram represent "pure" colors. These are the most "saturated". Colors close to the white point are more unsaturated.
- The chromaticity diagram: for a mixture of two lights, the resulting chromaticity lies on the straight line joining the chromaticities of the two lights.
- The "dominant wavelength" is the position on the spectrum locus intersected by a line joining the white point to the given color, and extended through it.

Color Monitor Specifications

- Color monitors are specified in part by the white point chromaticity that is desired if the *RGB* electron guns are all activated at their highest value (1.0, if we normalize to [0,1]).
- We want the monitor to display a specified white when R' = G' = B' = 1.
- There are several monitor specifications in current use

	Red		Green		Blue		White Point	
System	x_{τ}	y_r	x_g	$y_{\tilde{g}}$	x_b	30	x_W	ЗW
NTSC	0.67	0.33	0.21	0.71	0.14	0.08	0.3101	0.3162
SMPTE	0.630	0.340	0.310	0.595	0.155	0.070	0.3127	0.3291
EBU	0.64	0.33	0.29	0.60	0.15	0.06	0.3127	0.3291

Out-of-Gamut Colors

- For any (*x*; *y*) pair we wish to find that *RGB* triple giving the specified (*x*; *y*; *z*): We form the *z* values for the phosphors, via *z* = 1- *x*-*y* and solve for *RGB* from the phosphor chromaticities.
- We combine nonzero values of *R*, *G*, and *B* via

$$\begin{bmatrix} x_r & x_g & x_b \\ y_r & y_g & y_b \\ z_r & z_g & z_b \end{bmatrix} \begin{bmatrix} R \\ G \\ B \end{bmatrix} = \begin{bmatrix} x \\ y \\ z \end{bmatrix}$$

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Out-of-Gamut Colors

- If (*x*; *y*) [color without magnitude] is *specified*, instead of derived, we invert the matrix of phosphor (*x*; *y*; *z*) values to obtain *RGB*.
- What do we do if any of the *RGB* numbers is *negative*? that color, visible to humans, is out-of-gamut for our display.
- One method: simply use the closest in-gamut color available



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Out-of-Gamut Colors

- Grassman's Law: Additive color matching is linear. This means that if we match *color*1 with a linear combinations of lights and match *color*2 with another set of weights, the combined color *color*1+*color*2 is matched by the sum of the two sets of weights.
- Additive color results from self-luminous sources, such as lights projected on a white screen, or the phosphors glowing on the monitor glass. (Subtractive color applies for printers, and is very different).
- The figure on the last slide shows the triangular gamut for the NTSC system, drawn on the CIE diagram a monitor can display only the colors inside the triangular gamut.

(a) One deficiency in what we have done so far is that we need to be able to map tristimulus values *XY Z* to device *RGB*s including magnitude, and not just deal with chromaticity *xyz*.

(b) Table on slide 26 would produce incorrect values:

- E.g., consider the SMPTE specifications. Setting R = G = B = 1 results in a value of X that equals the sum of the x values, or 0:630 + 0:310 + 0:155, which is 1.095.
- Similarly the Y and Z values come out to 1.005 and 0.9. Dividing by (X + Y + Z) this results in a chromaticity of (0.365; 0.335), rather than the desired values of (0.3127; 0.3291).

- To correct both problems, first take the white point magnitude of Y as unity:
 Y (white point) = 1
- Now we need to find a set of three correction factors such that if the gains of the three electron guns are multiplied by these values we get exactly the white point XYZ value at R = G = B = 1.

- Suppose the matrix of phosphor chromaticity $x_r, x_g, ...$ etc. is called **M**. We can express the correction as a diagonal matrix **D** = diag(d_1 ; d_2 ; d_3) such that $XYZ_{white} = MD(1,1,1)^T$
- For the SMPTE specification, we have

(x,y,z) = (0.3127, 0.3291, 0.3582) or, dividing by the middle value - $XYZ_{white} = (0.95045, 1, 1.08892)$. Note that multiplying **D** by $(1,1,1)^T$ just gives $(d_1, d_2, d_3)^T$ so we end up with an equation *specifying* $(d_1, d_2, d_3)^T$.

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix}_{\text{white}} = \begin{bmatrix} 0.630 & 0.310 & 0.155 \\ 0.340 & 0.595 & 0.070 \\ 0.03 & 0.095 & 0.775 \end{bmatrix} \begin{bmatrix} d_1 \\ d_2 \\ d_3 \end{bmatrix}$$

- Inverting, with the new values XYZ_{white} specied as above, we arrive at $(d_1, d_2, d_3) = (0.6247, 1.1783, 1.2364)$
- These are large correction factors.



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XYZ to RGB Transform

Now the 3 3 transform matrix from XYZ to RGB is
 T = *M D*

even for points other than the white point:

$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = T \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

• For the SMPTE specification, we have:

$$T = \begin{bmatrix} 0.3935 & 0.3653 & 0.1916 \\ 0.2124 & 0.7011 & 0.0866 \\ 0.0187 & 0.1119 & 0.9582 \end{bmatrix}$$

• Thus:

X = 0.3935xR+0.3653xG+0.1916xB Y = 0.2124xR+0.7011xG+0.0866xB Z = 0.0187xR+0.1119xG+0.9582xB

Transform with Gamma Correction

- Instead of linear R; G; B we usually have nonlinear, gamma corrected R', G', B' (produced by a camcorder or digital camera).
- To transform XY Z to RGB, calculate the linear RGB required, by inverting Eq. (4.16) above; then make nonlinear signals via gamma correction.
- Nevertheless this is not often done as stated. Instead, the equation for the Y value is used as is, but applied to nonlinear signals.

(a) The only concession to accuracy is to give the new name Y' to this new Y value created from R', G', B'.

(b) The significance of Y' is that it codes a descriptor of brightness for the pixel in question.

Transform with Gamma Correction

 Following the procedure outlined above, but with the values for NTSC, we have the transform: X = 0.607 · R + 0.174 · G + 0.200 · B

 $Y = 0.299 \cdot R + 0.587 \cdot G + 0.114 \cdot B$

 $Z = 0.000 \cdot R + 0.066 \cdot G + 1.116 \cdot B$

 Thus, coding for nonlinear signals begins with encoding the nonlinear-signal correlate of luminance:

 $Y' = 0.299 \cdot R' + 0.587 \cdot G' + 0.114 \cdot B'$

L*a*b* (CIELAB) Color Model

- Weber's Law: Equally-perceived differences are proportional to magnitude. The more there is of a quantity, the more change there must be to perceive a difference.
- A rule of thumb for this phenomenon states that equallyperceived changes must be relative - changes are about equally perceived if the ratio of the change is the same, whether for dark or bright lights, etc.
- Mathematically, with intensity I, change is equally perceived so long as the change AF is a constant. If it's quiet, we can hear a small change in sound. If there is a lot of noise, to experience the same difference the change has to be of the same proportion.

L*a*b* (CIELAB) Color Model

- For human vision, the CIE arrived at a different version of this kind of rule - CIELAB space. What is being quantified in this space is differences perceived in color and brightness.
- Fig. 4.14 shows a cutaway into a 3D solid of the coordinate space associated with this color difference metric.



L = 100

L*a*b* (CIELAB) Color Model

$$\Delta E = \sqrt{(L_*)^2 + (a_*)^2 + (b_*)^2}$$

$$L^* = 116 \left(\frac{Y}{Y_n}\right)^{(1/3)} - 16$$

$$a^* = 500 \left[\left(\frac{X}{X_n} \right)^{(1/3)} - \left(\frac{Y}{Y_n} \right)^{(1/3)} \right]$$

$$b^* = 200 \left[\left(\frac{Y}{Y_n} \right)^{(1/3)} - \left(\frac{Z}{Z_n} \right)^{(1/3)} \right]$$

with *Xn; Yn; Zn* the *XY Z* values of the white point. Auxiliary definitions are:

chroma =
$$c^* = \sqrt{(a^*)^2 + (b^*)^2}$$
, hue angle = $h^* = \arctan \frac{b^*}{a^*}$

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More Color Coordinate Schemes

- Beware: gamma correction may not be included.
- Schemes include:
 - a) CMY Cyan (C), Magenta (M) and Yellow (Y) color model;
 - b) HSL Hue, Saturation and Lightness;
 - c) HSV Hue, Saturation and Value;
 - d) HSI Hue, Saturation and Intensity;
 - e) HCI C=Chroma;
 - f) HVC V=Value;
 - g) HSD D=Darkness.

RGB Color Model for CRT Displays

1. We expect to be able to use 8 bits per color channel for color that is accurate enough.

2. However, in fact we have to use about 12 bits per channel to avoid an aliasing effect in dark image areas - contour bands that result from gamma correction.

3. For images produced from computer graphics, we store integers proportional to intensity in the frame buffer. So should have a gamma correction LUT between the frame buffer and the CRT.

4. If gamma correction is applied to floats before quantizing to integers, before storage in the frame buffer, then in fact we can use only 8 bits per channel and still avoid contouring artifacts.

Subtractive Color: CMY Color Model

- So far, we have effectively been dealing only with additive color. Namely, when two light beams impinge on a target, their colors add; when two phosphors on a CRT screen are turned on, their colors add.
- But for ink deposited on paper, the opposite situation holds: yellow ink *subtracts* blue from white illumination, but reflects red and green; it appears yellow.
- Instead of red, green, and blue primaries, we need primaries that amount to -red, -green, and blue. i.e., we need to *subtract* R, or G, or B.

• Color combinations that result from combining primary colors available in the two situations, additive color and subtractive color.



(a): RGB is used to specify additive color. (b): CMY is used to specify subtractive color ECE160 Lecture 4 43 Spring 2008 Color in Image and Video

Subtractive Color: CMY Color Model

• These subtractive color primaries are Cyan (*C*), Magenta (*M*) and Yellow (*Y*) inks.



The RGB Cube

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Transformation from RGB to CMY

 Simplest model we can invent to specify what ink density to lay down on paper, to make a certain desired RGB color:

$$\begin{bmatrix} C \\ M \\ Y \end{bmatrix} = \begin{bmatrix} \mathbf{1} \\ \mathbf{1} \\ \mathbf{1} \end{bmatrix} - \begin{bmatrix} R \\ G \\ B \end{bmatrix}$$

• The inverse transform is:

$$\begin{bmatrix} R \\ G \\ B \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} C \\ M \\ Y \end{bmatrix}$$

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Undercolor Removal: CMYK System

- Undercolor removal:. Sharper and cheaper printer colors: calculate that part of the CMY mix that would be black, remove it from the color proportions, and add it back as real black.
- The new specification of inks is thus:

$$K \equiv min\{C, M, Y\}$$



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Printer Gamuts

- Actual transmission curves overlap for the C, M, Y inks. This leads to "crosstalk" between the color channels and difficulties in predicting colors achievable in printing.
- (a) shows typical transmission curves for real "block dyes", and (b) shows the resulting color gamut for a color printer.



Color Models in Video

- (a) Largely derive from older analog methods of coding color for TV. Luminance is separated from color information.
- (b) For example, a matrix transform method, similar to chromaticity, is called YIQ and is used to transmit TV signals in North America and Japan.
- (c) This coding also makes its way into VHS video tape coding in these countries, since video tape technologies also use YIQ.
- (d) In Europe, video tape uses the PAL or SECAM codings, which are based on TV that uses a matrix transform called YUV.
- (e) Finally, digital video mostly uses a matrix transform called YCbCr, that is closely related to YUV

YUV Color Model

- (a) YUV codes a luminance signal (for gamma-corrected signals) equal to *Y'*, the "luma".
- (b) **Chrominance** refers to the difference between a color and a reference white at the same luminance. -> use color differences U, V:

$$\begin{array}{cccc} U &=& B' - Y' \,, & V \,=\, R' - Y' \\ \left[\begin{array}{c} Y' \\ U \\ V \end{array} \right] \,=\, \left[\begin{array}{cccc} 0.299 & 0.587 & 0.144 \\ -0.299 & -0.587 & 0.886 \\ 0.701 & -0.587 & -0.114 \end{array} \right] \left[\begin{array}{c} R' \\ G' \\ B' \end{array} \right] \end{array}$$

(c) For gray, R' = G' = B', the luminance Y' equals to that gray, since 0.299+0.587+0.114 = 1.0. And for a gray ("black and white") image, the chrominance (U, V) is zero.

YUV Color Model

(d) In the actual implementation *U* and *V* are rescaled to have a more convenient maximum and minimum.

 (e) For dealing with composite video, it turns out to be convenient to contain *U*, *V* within the range −1/3 to +4/3. So *U* and *V* are rescaled:

U = 0.492111 (B' - Y')

V = 0.877283 (R' - Y')

The chrominance signal = the composite signal *C*:

 $C = U.cos(\omega t) + V.sin(\omega t)$

(f) Zero is not the minimum value for U, V.

U is approximately from blue (U > 0) to yellow (U < 0) in the RGB cube;

V is approximately from red (V > 0) to cyan (V < 0).

YUV Color Model

(g) The decomposition of a color image into its *Y*', *U*, *V* components. Since both *U* and *V* go negative, the images displayed are shifted and rescaled.



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YIQ Color Model

• YIQ is used in NTSC color TV broadcasting. Again, gray pixels generate zero (*I*;*Q*) chrominance signal.

(a) I and Q are a rotated version of U and V.

(b) Y' in YIQ is the same as in YUV; U and V are rotated by 33°:

 $I = 0.492111(R'-Y') \cos 33^{\circ} - 0.877283(B'-Y') \sin 33^{\circ}$

Q = $0.492111(R'-Y') \sin 33^{\circ} + 0.877283(B'-Y') \cos 33^{\circ}$ (c) This leads to the following matrix transform:

 $\begin{bmatrix} Y'\\I\\Q \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.144\\ 0.595879 & -0.274133 & -0.321746\\ 0.211205 & -0.523083 & 0.311878 \end{bmatrix} \begin{bmatrix} R'\\G'\\B' \end{bmatrix}$

YIQ Color Model

• The decomposition of a color image into its *Y*', *I*, *Q* components.



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YCbCr Color Model

- The Rec. 601 standard for digital video uses another color space, Y C_bC_r often simply written YCbCr closely related to the YUV transform.
- (a) YUV is changed by scaling such that C_b is U, but with a coefficient of 0.5 multiplying B'. In some software systems, C_b and C_r are also shifted such that values are between 0 and 1.

(b) This makes the equations as follows:

$$C_{b} = ((B'-Y')/1.772)+0.5$$

$$C_{r} = ((R'-Y')/1.402)+0.5$$

$$\begin{bmatrix} Y' \\ C_{b} \\ C_{r} \end{bmatrix} = \begin{bmatrix} 0.299 & 0.587 & 0.144 \\ -0.168736 & -0.331264 & 0.5 \\ 0.5 & -0.418688 & -0.081312 \end{bmatrix} \begin{bmatrix} R' \\ G' \\ B' \end{bmatrix} + \begin{bmatrix} 0 \\ 0.5 \\ 0.5 \end{bmatrix}$$

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YCbCr Color Model

(d) Recommendation 601 species 8-bit coding, with a max Y' value of 219, and a min of +16. C_b and C_r have range of 112 and offset of +128. If R',G',B' are floats in [0..+1], then we obtain Y', C_b , C_r in [0..255] via the transform:

 $\begin{bmatrix} Y' \\ C_{0} \\ C_{7} \end{bmatrix} = \begin{bmatrix} 65.481 & 128.553 & 24.966 \\ -37.797 & -74.203 & 112 \\ 112 & -93.786 & -18.214 \end{bmatrix} \begin{bmatrix} R' \\ G' \\ B' \end{bmatrix} + \begin{bmatrix} 16 \\ 128 \\ 128 \end{bmatrix}$

The YCbCr transform is used in JPEG image compression and MPEG video compression.