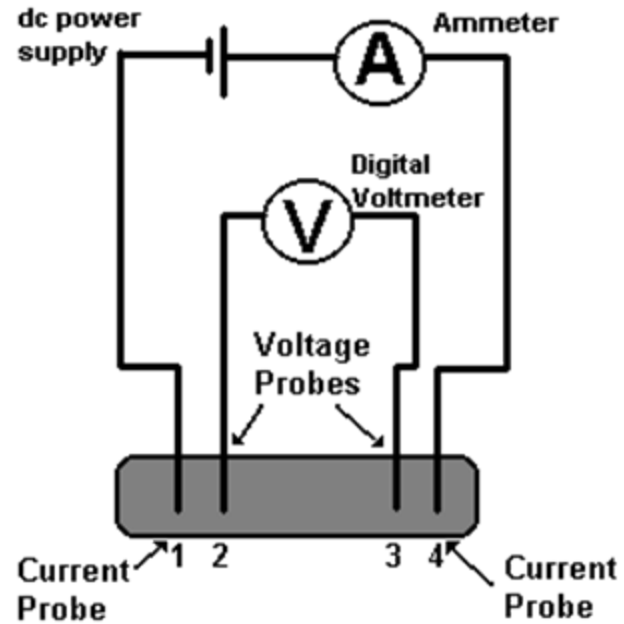
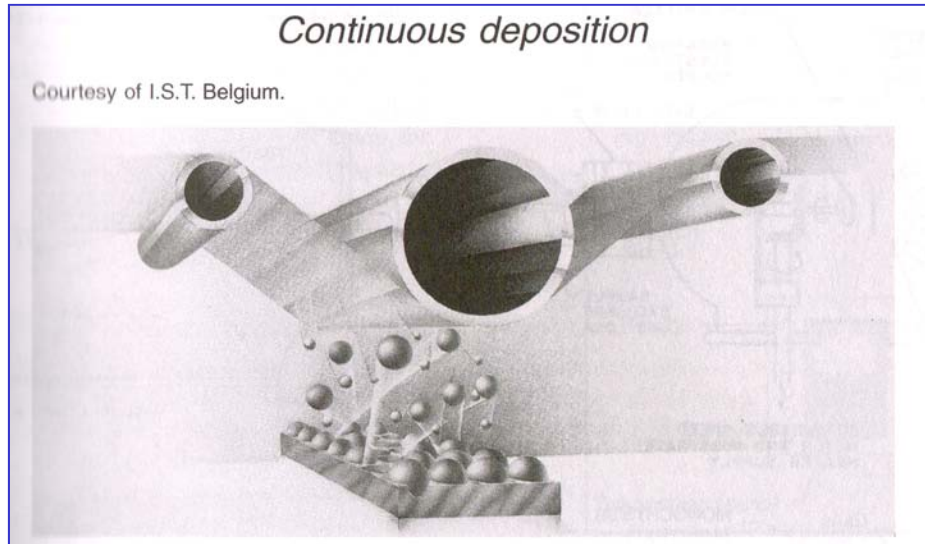


# 4-point probe



*Figure 2: Schematic of Four-Point Probe*

# Placing Thin Films onto a Substrate Surface



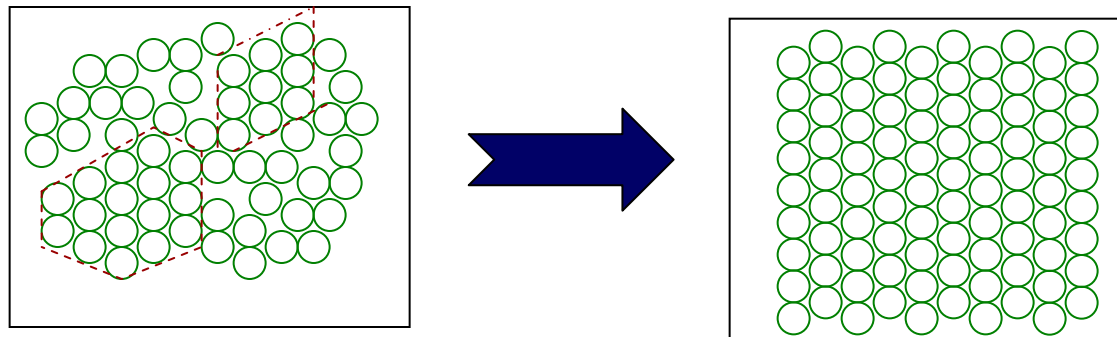
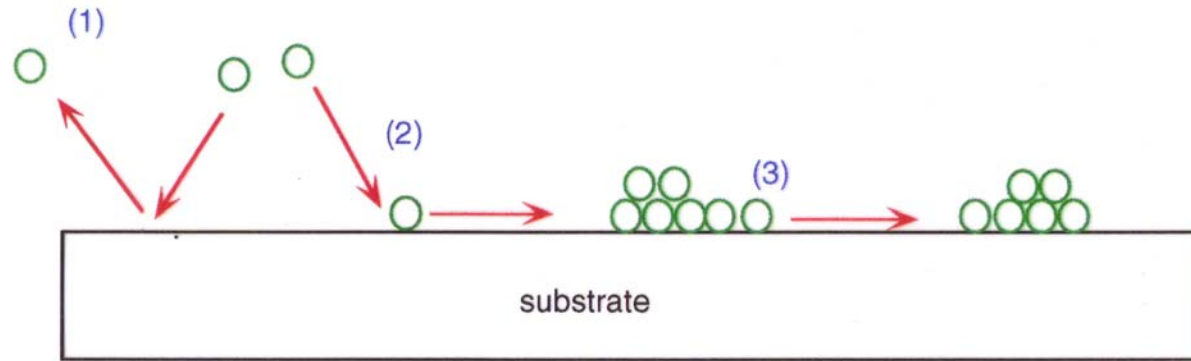
Madou, Fundamentals of Microfabrication, p. 141

The Ultimate in Physical Vapor Deposition:  
Molecular Beam Epitaxy



Oxford Instruments MBE 2008

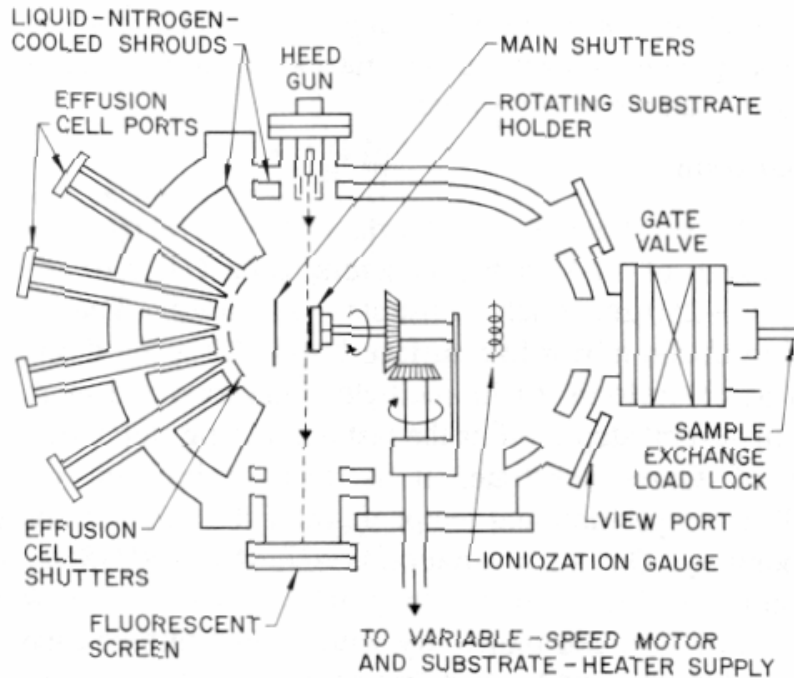
What if you could control the placement of *every* atom in the film you were forming?



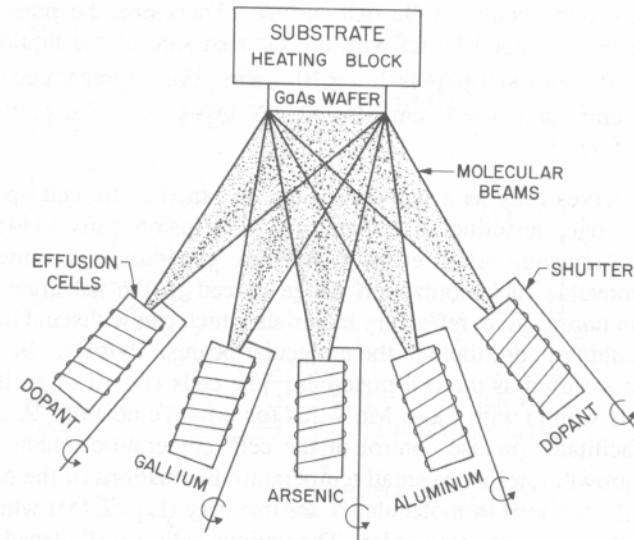
- control of the atoms impinging on the substrate (wafer)
- controlled relationship between substrate and the atoms in the film (epitaxy)

# Molecular Beam Epitaxy

## 2.6 Molecular Beam Epitaxy



**Figure 2.80** Schematic of a typical MBE system viewed from the top. The rotating sample holder has a variable speed from 0.1 to 5 rpm [338].



**Figure 2.79** Schematic of the basic evaporation process for MBE of intentionally doped GaAs and  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  [335].

Makes possible in situ diagnostics

- Ultra-high vacuum, long mean free paths
- Flux =  $P_{eq} / \{2\pi k T M\}^{1/2}$

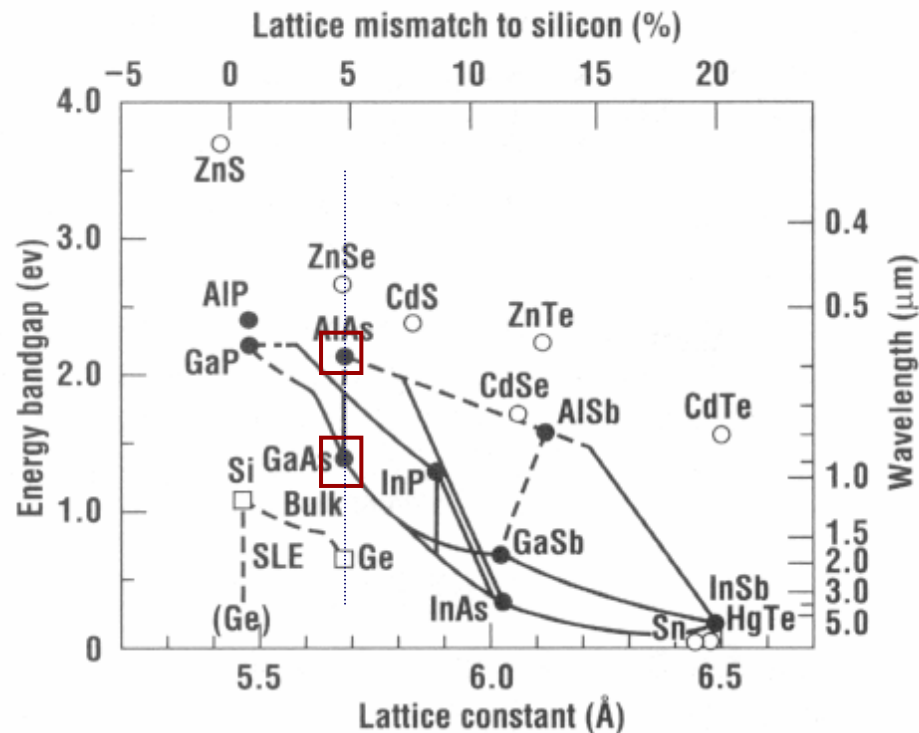
Swaminathan & Macrander  
Materials Aspects of GaAs  
and InP Based Structures

# Watching the Growth Process Carefully: what do you need to know?

- control of the atoms impinging on the substrate (wafer)
- controlled relationship between substrate and the atoms in the film (epitaxy)
  - what is the quality/cleanliness/roughness of the wafer?  
Understanding the surface: Auger spectroscopy
  - how can you be sure the atoms are 'going' to the right locations?
  - how can you monitor the growth rate?  
Monitoring structure and growth rate: electron diffraction

A short look at the material system....

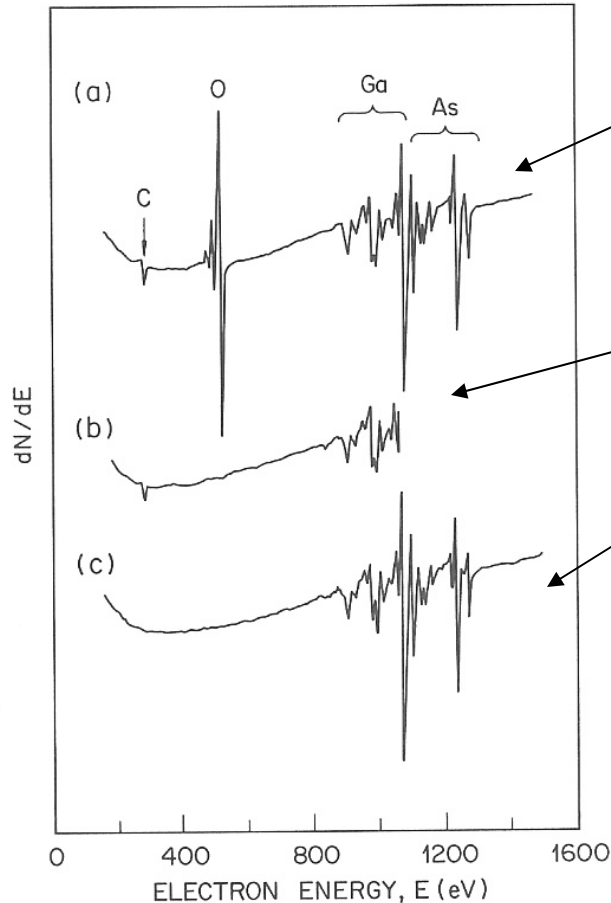
# Range of Bandgaps and Lattice Constants: Bandgap Engineering



**Figure 14.17** The bandgap and lattice parameter of a variety of semiconductor compounds and alloys.

# Seeing & Controlling The Surface

## Auger Spectroscopy



After chemical preparation of surface

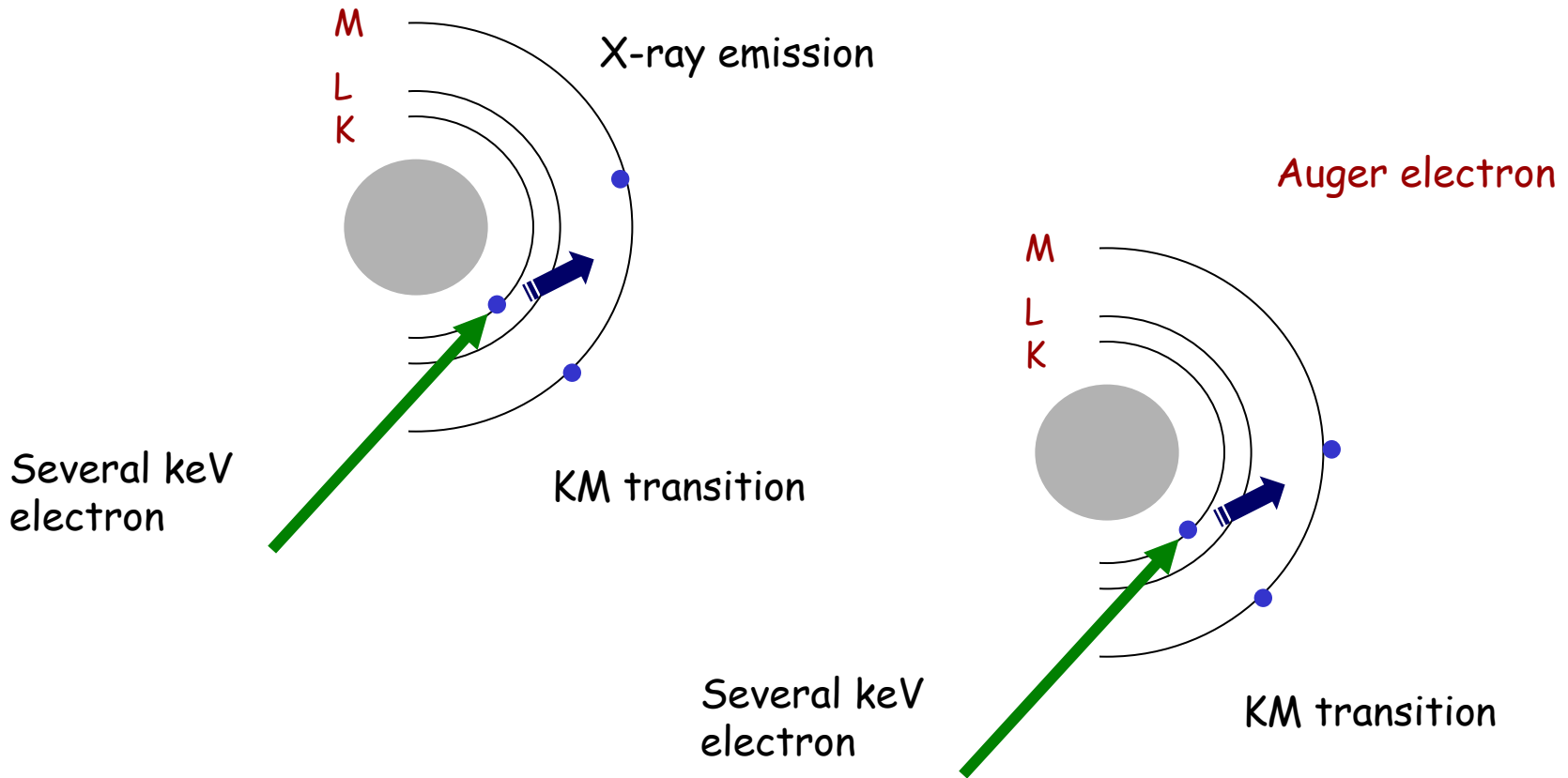
After heating substrate at 530C, 1 hour

After sputtering the surface

**Figure 2.81** Auger spectra taken with primary electron energy of 4 keV of the surface of (100) GaAs substrate: (a) after chemical etching (b) after heating at 530°C for 1 h and (c) after  $\text{Ar}^+$  sputtering at  $5 \times 10^{-5}$  Torr for 5 min. [334].

What is the basis of Auger Spectroscopy?

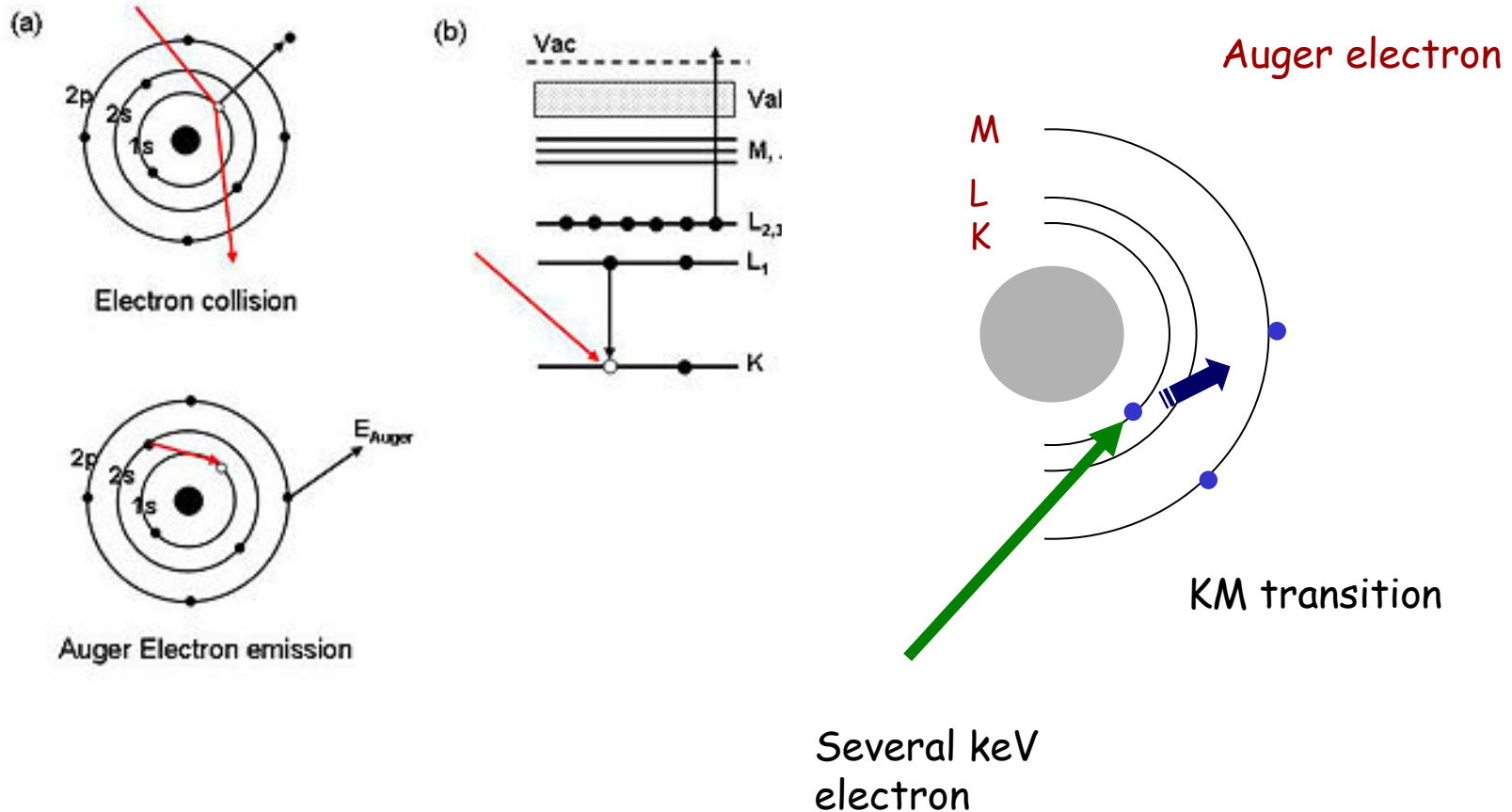
# Auger electron emission





# Auger electron emission

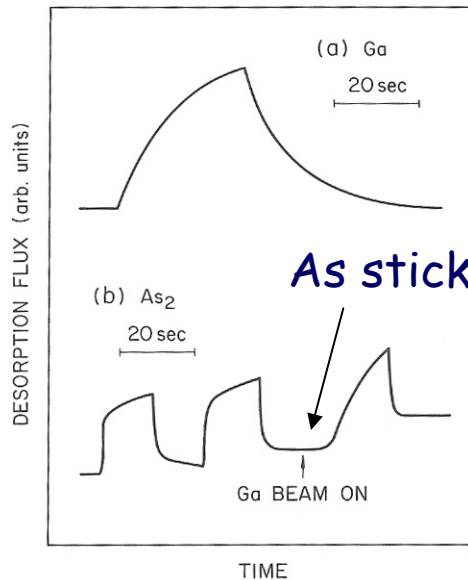
[http://en.wikipedia.org/wiki/Auger\\_electron\\_spectroscopy](http://en.wikipedia.org/wiki/Auger_electron_spectroscopy)



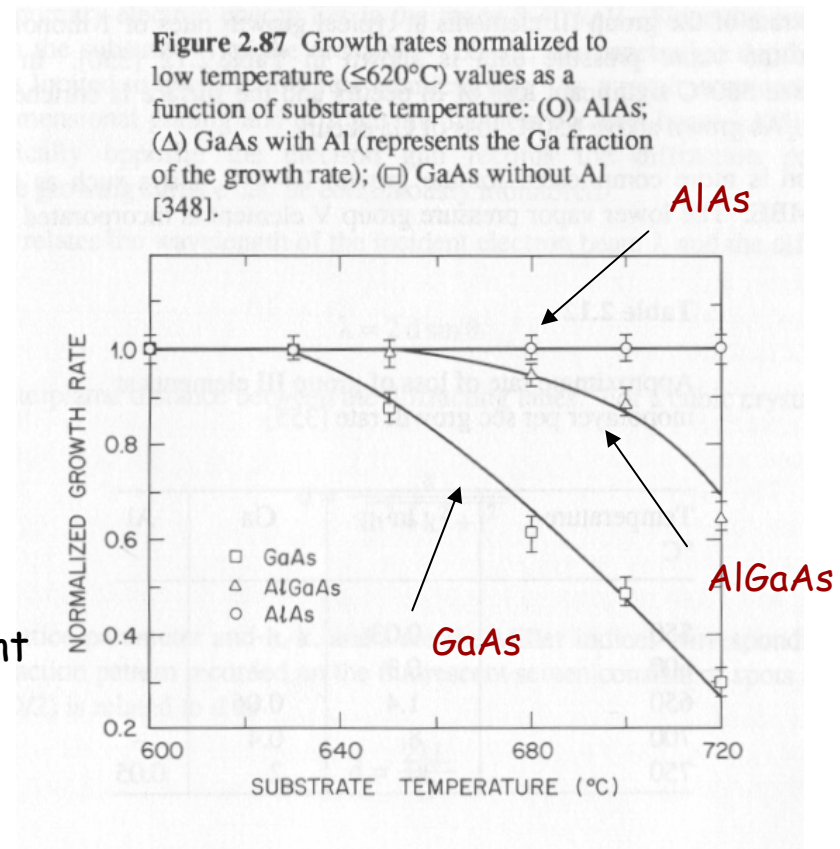
Auger electrons have energies that are *characteristic* of the orbitals from which they originate: form a fingerprint for the material

# Controlling the growth rate in GaAs MBE

Monitor the fluxes from the wafer

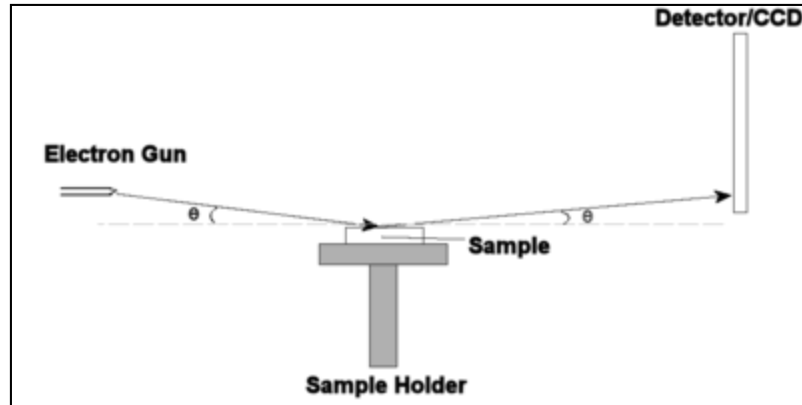


- below 750K, Ga has UNITY sticking coefficient
- (a) at 885K, Ga desorbs with 10 s surface lifetimes
- (b) at room temperature, As sticks only in the presence of Ga



Desorption at high temperatures limits growth rate

# Reflection High Energy Electron Diffraction (RHEED)

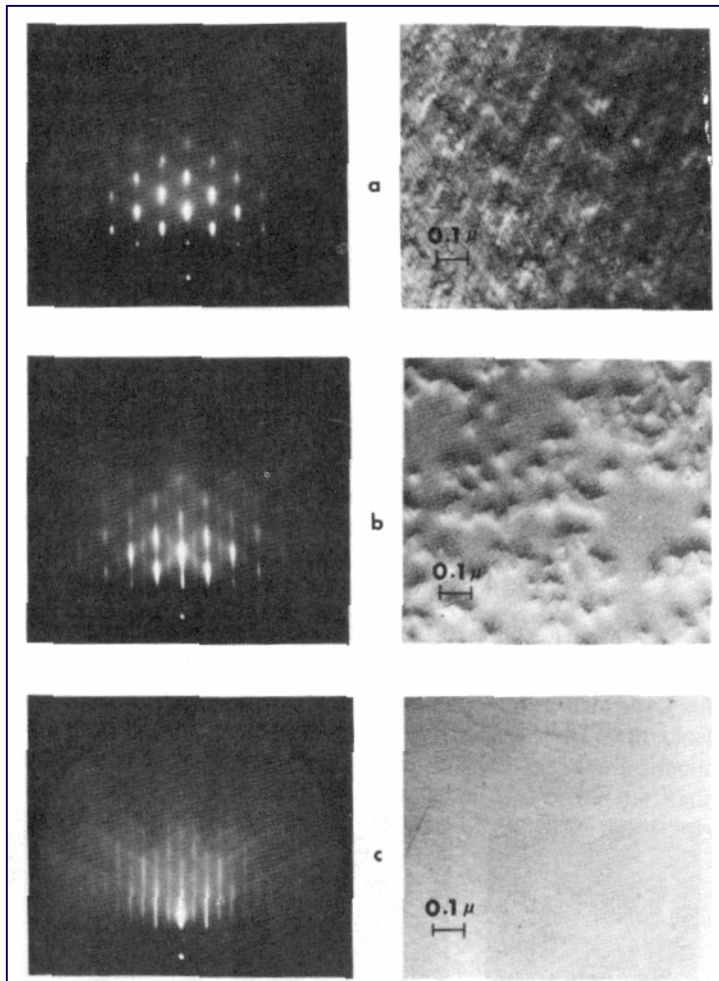


Detailed, *in situ* measurement of the growth process

<http://en.wikipedia.org/wiki/RHEED>

- Electron gun, 5- 40 keV, at an oblique angle to samples
- Electron energy perpendicular to sample  $\sim 100$  eV, samples first few atomic layers
- Bragg diffraction:  $\lambda = 2d \sin\theta$   
 $\lambda$  = wavelength of electrons,  $\theta$  = diffraction angle,  $d$  = spacing between crystal planes

# Monitoring the progress of GaAs growth



40 keV beam

Br<sub>2</sub>-methanol polished GaAs,  
heated to 580°C

After growth of 15 nm GaAs

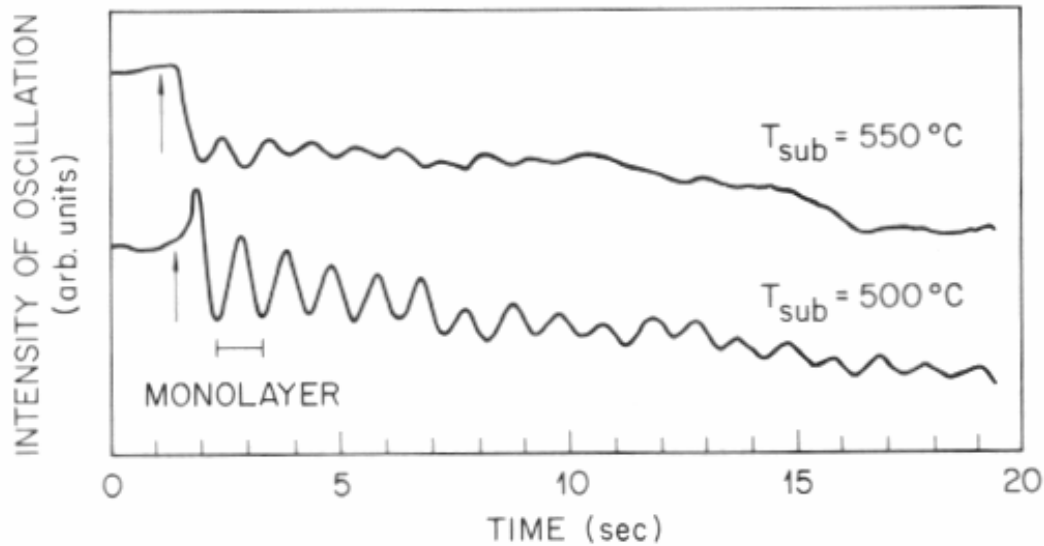
After growth of 1 micron GaAs

Cho, JVST 1971

Swaminathan & Macrander  
Materials Aspects of GaAs and  
InP Based Structures

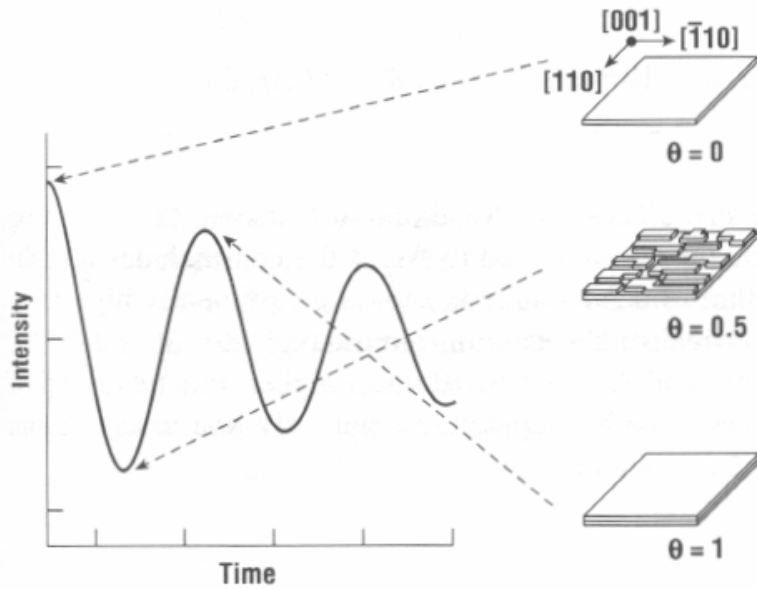
# RHEED Oscillations

**Figure 2.91** RHEED intensity oscillation of the specularly reflected beam during MBE growth of GaAs; the period of oscillation corresponds precisely to a monolayer,  $a_0/2$  for GaAs [332].

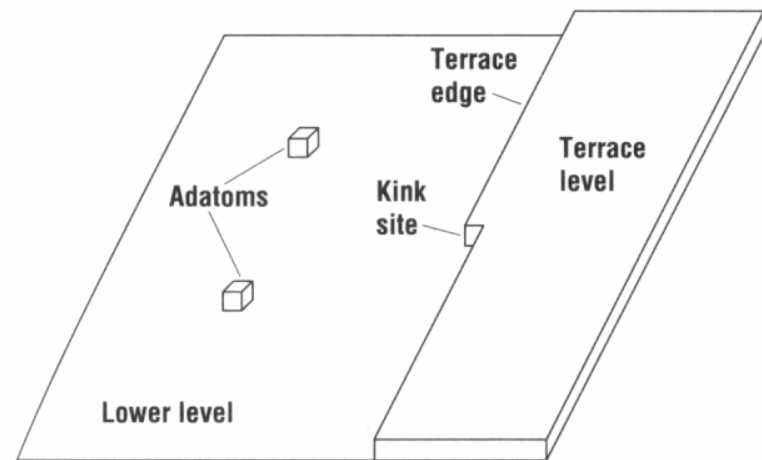


Periodic variations in the diffracted intensity

# RHEED Oscillations Delineate Layer-by-layer growth mode

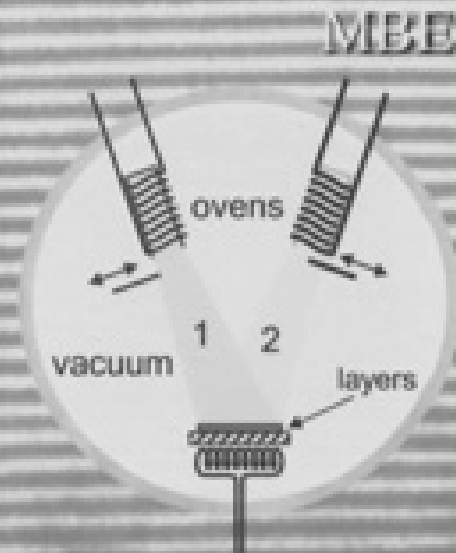
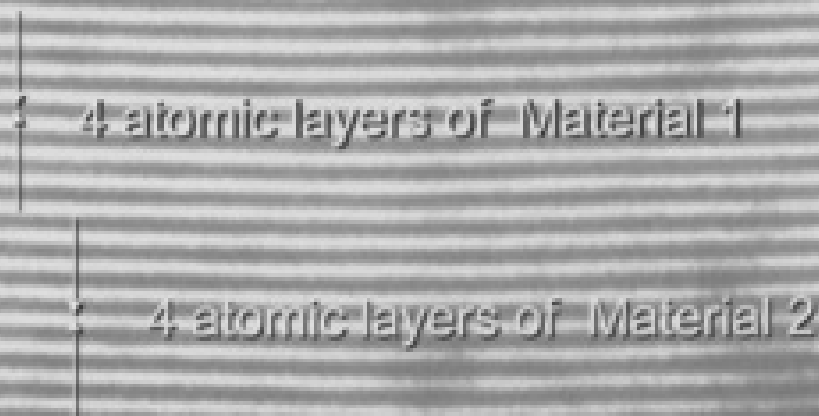


**Figure 14.31** Electron diffraction oscillations during MBE growth. The peaks correspond to nearly complete layers.



**Figure 14.32** A microscopic view of a semiconductor surface during MBE growth or evaporation.

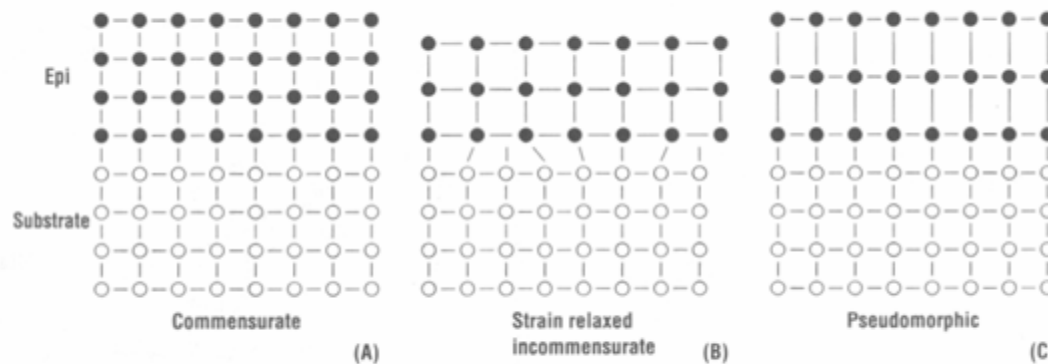
# Atomically-flat layers



10 nm

# Lattice-mismatched epitaxy: an opportunity

Campbell  
The Science and Engineering  
of Microelectronic Fabrication

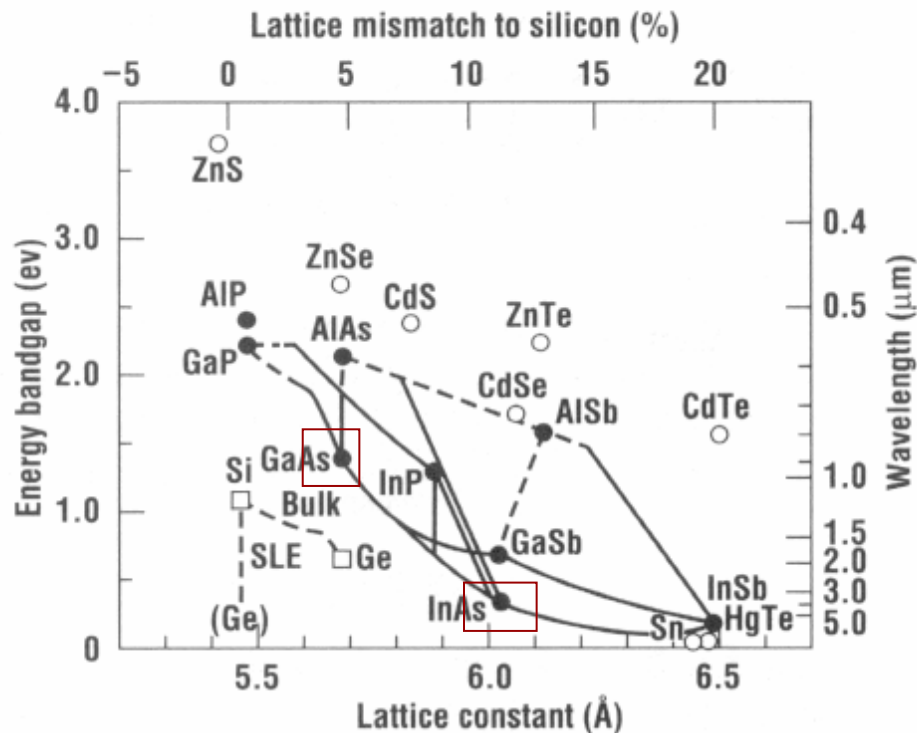


**Figure 14.15** Epitaxial growth processes can be divided into (A) commensurate, (B) strain relaxed incommensurate, and (C) incommensurate but pseudomorphic.

Campbell  
The Science and Engineering  
of Microelectronic Fabrication

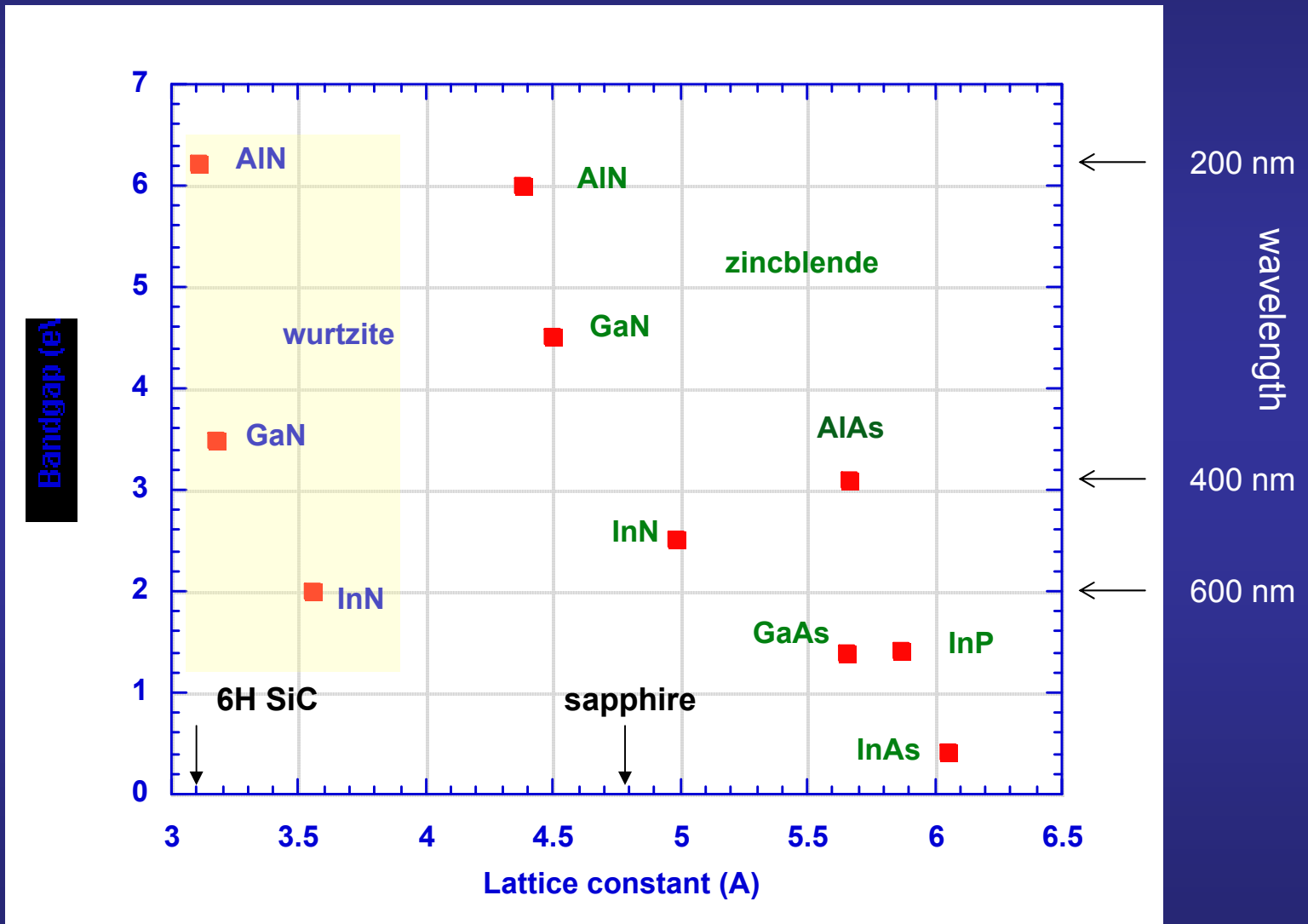


# Range of Bandgaps and Lattice Constants: Bandgap Engineering

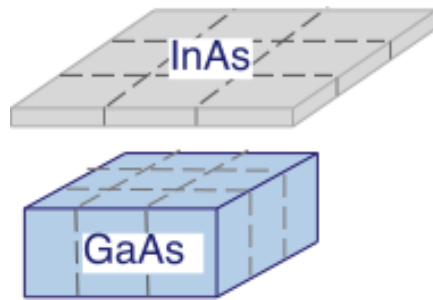


**Figure 14.17** The bandgap and lattice parameter of a variety of semiconductor compounds and alloys.

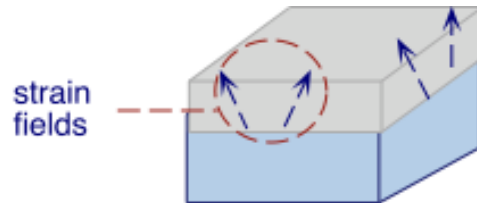
# Bandgap vs. Lattice Constant



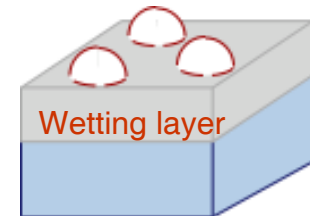
# 'Self-Assembled' semiconductor quantum dots



Begin with lattice-mismatched materials

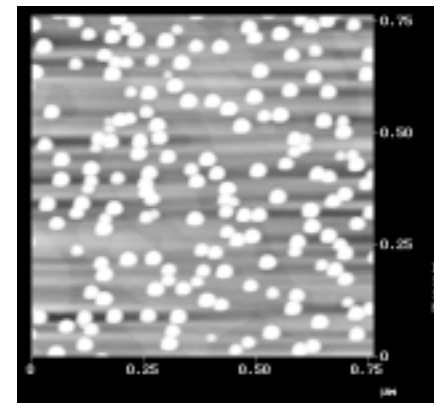


Carry out strained-layer epitaxy (Stranski-Krastonow)



Carefully form quantum dot structures

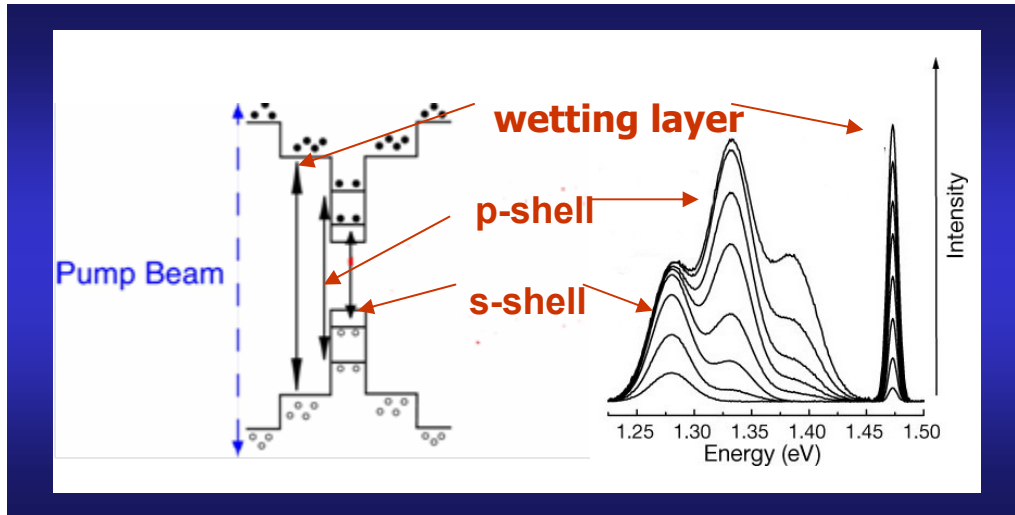
- high quality, optically efficient quantum dot arrays formed over broad areas
- 20-50 nm diameters: density and size dependent on strain, growth conditions  $\pm 10\%$  variation in size



AFM of QDs

'Direct Formation of quantum-sized dots from uniform coherent islands of InGaAs on GaAs surfaces', D. Leonard, M. Krishnamurthy, C.M. Reeves, S.P. Denbaars, P.M. Petroff, Appl. Phys. Lett. **63** (23), 3203-5

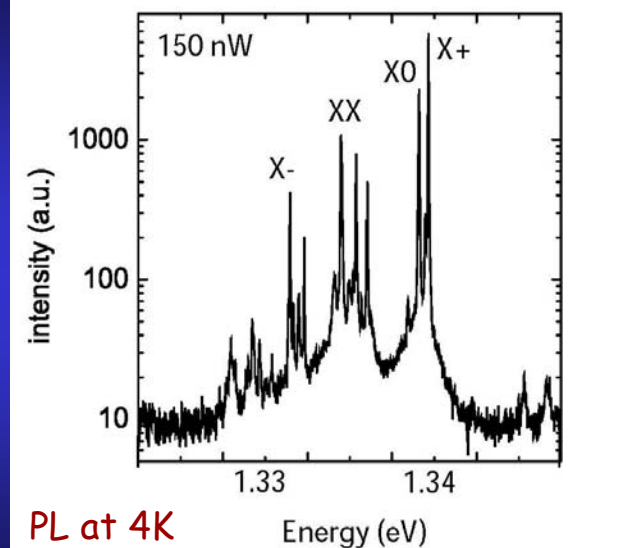
# 'atom-like' optical signatures of QDs



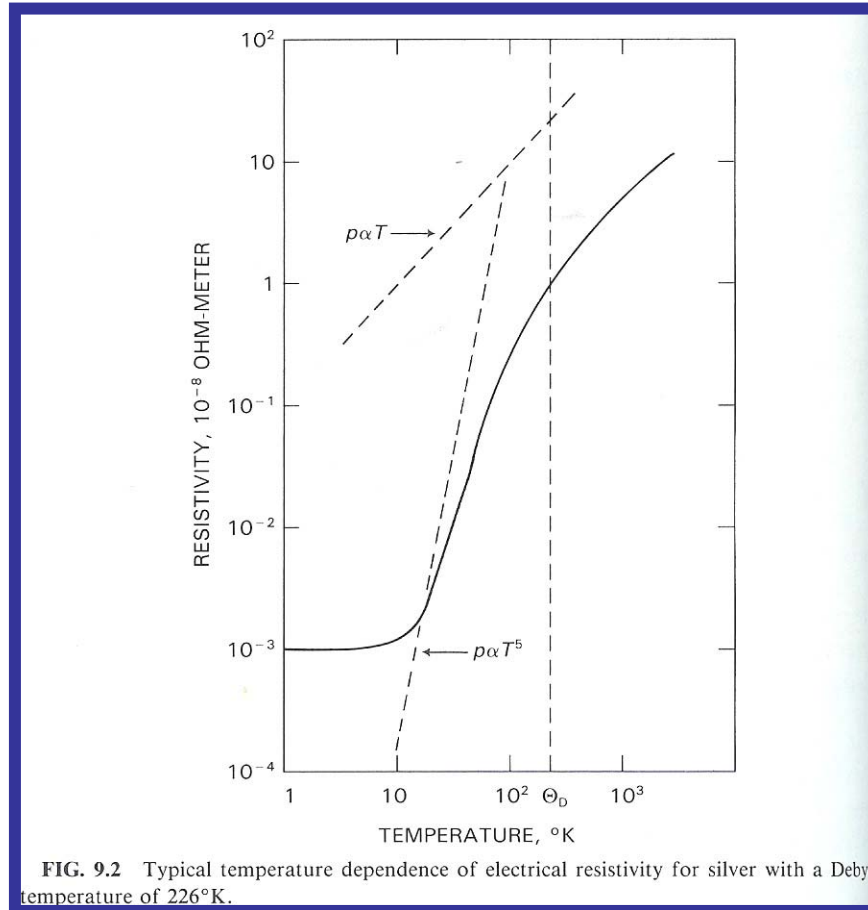
Broad luminescence peaks reflect distribution of QD size

Narrow linewidths ( $\sim$  few  $\mu\text{eV}$ ) for single QDs  
Discrete excitonic transitions observed

'high optical efficiency emitters, narrow linewidths'



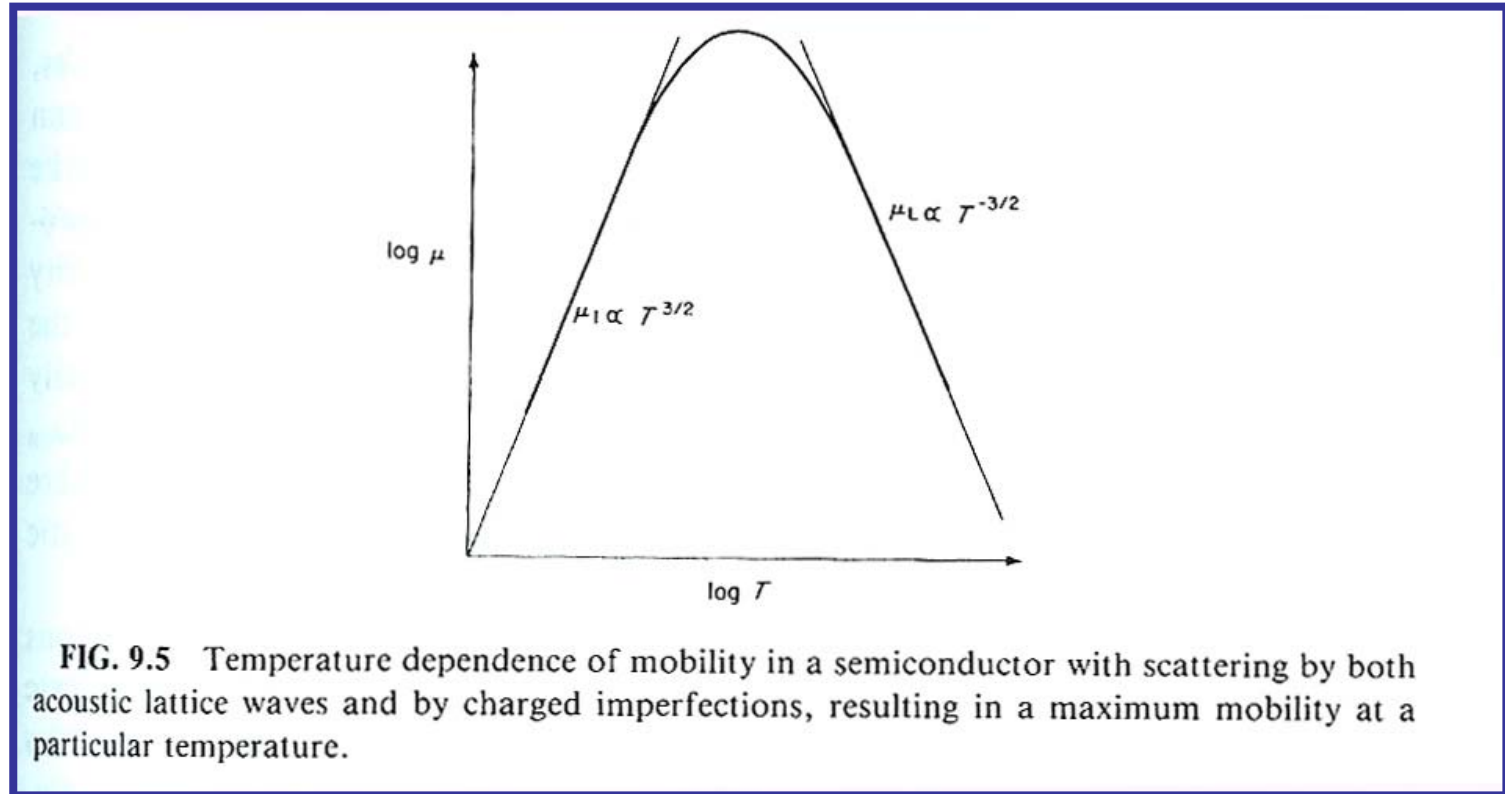
# Limitations to electron mobility in a metal



Elastic (high T) and inelastic (low T) lattice scattering  
Resistivity decreases at very low temperatures

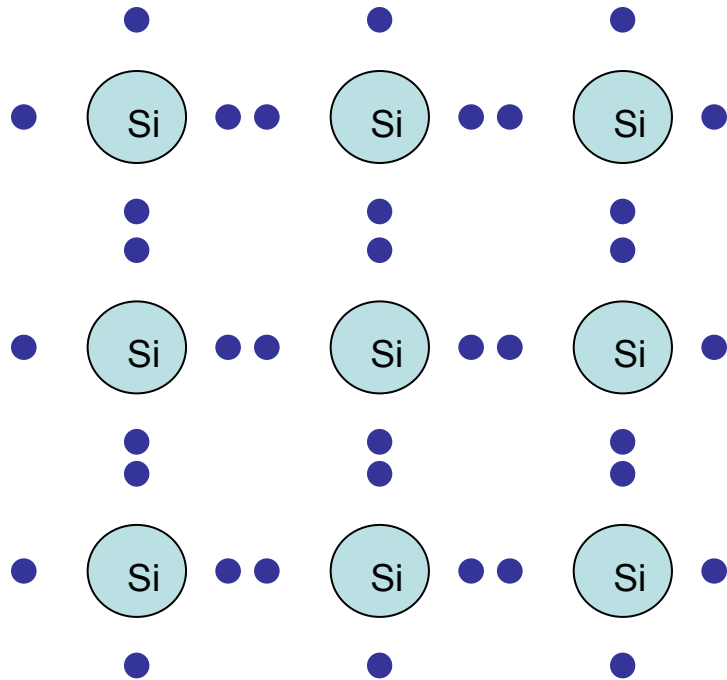
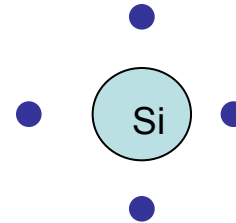
From *Electrons in Solids*  
R.H. Bube

# Limitations to Electron Mobility in (doped) Semiconductors



Note that mobility **DECREASES**  
(resistivity increases) at lowest T

Silicon atom with 4 bonding electrons

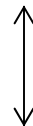


Silicon single crystal:  
2 electrons in every bond

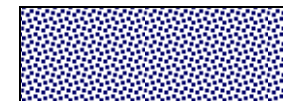
Conduction band



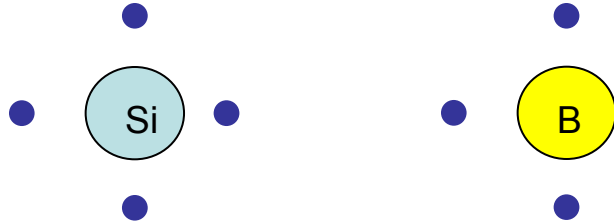
Band gap



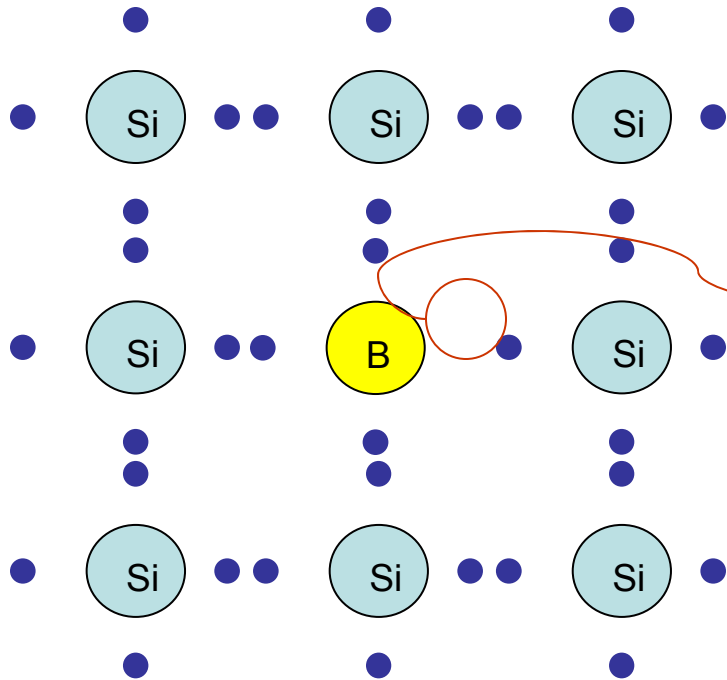
Valence band



# P-type Silicon



Add Boron, with 3 bonding electrons

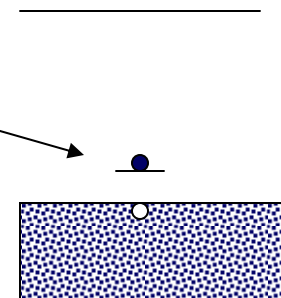


Missing electron = hole  
positively charged

Conduction band \_\_\_\_\_

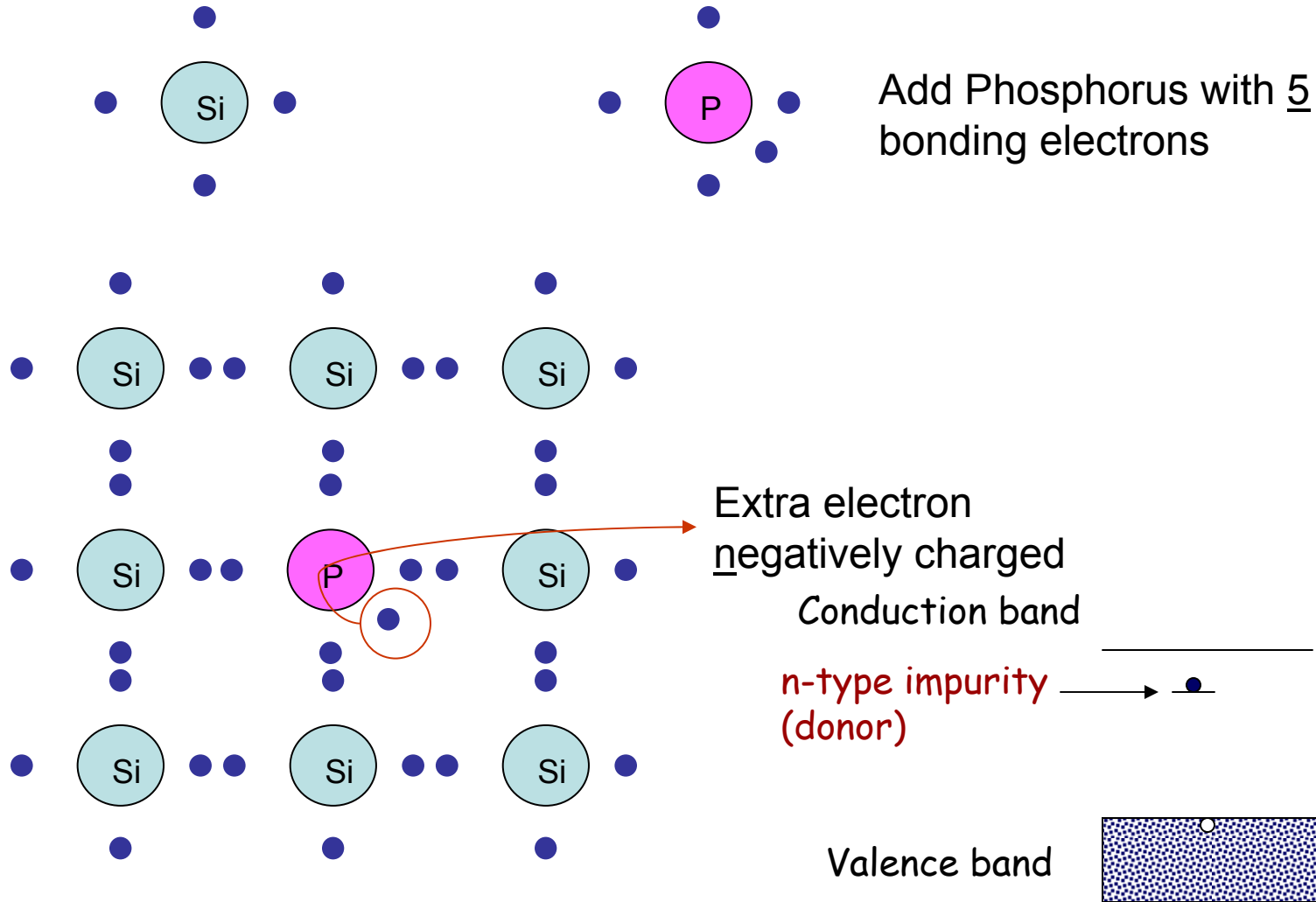
P-type impurity  
(acceptor)

Valence band



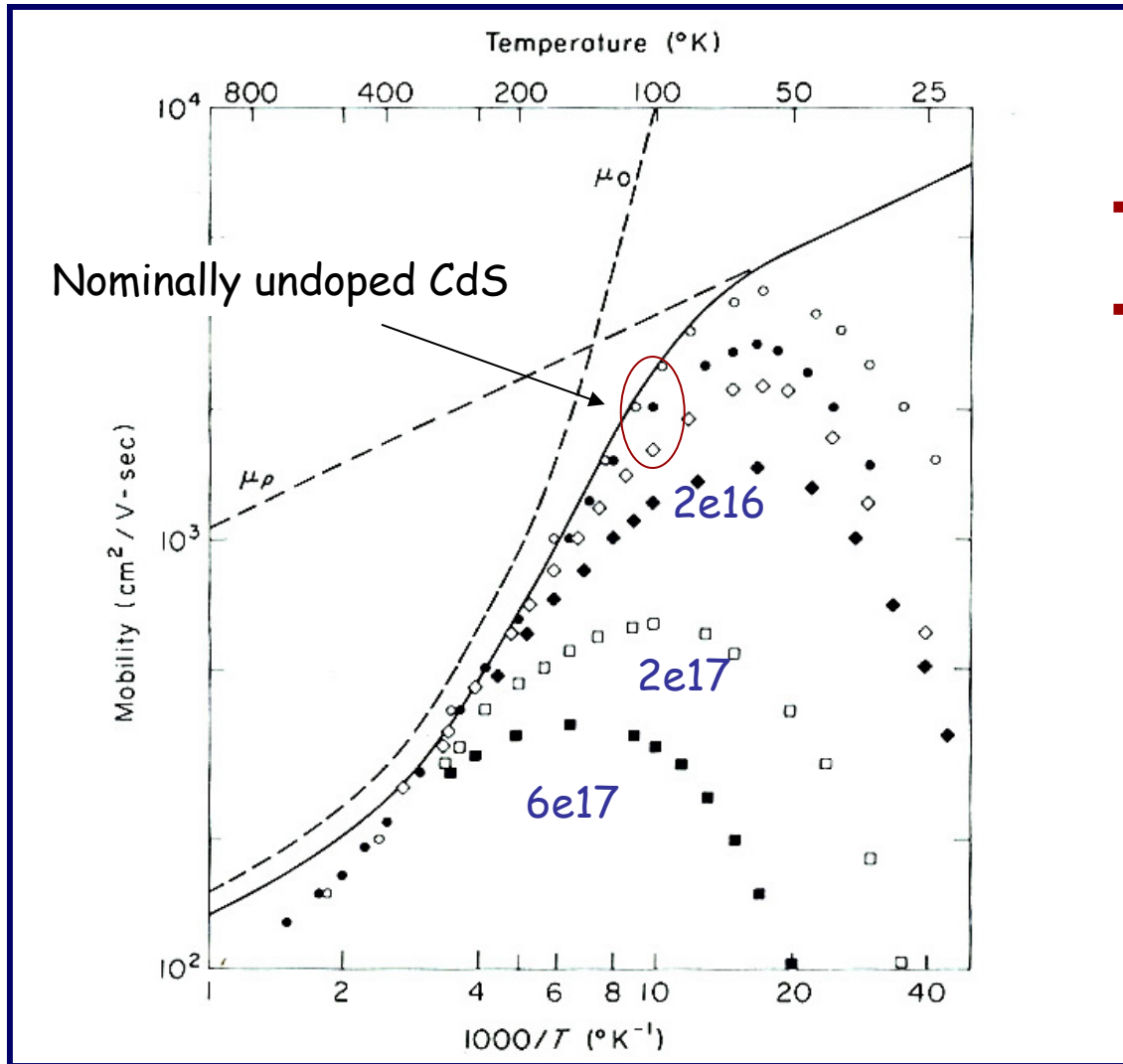


# N-type Silicon



Dopants provide mobile carriers, but leave ionized cores

# Limitations to Electron Mobility in Semiconductors



- Low mobility at low temperatures
- Dependence on dopants in the material

# Modulation Doped Semiconductors: achieving miraculous electron mobilities

## Electron mobilities in modulation-doped semiconductor heterojunction superlattices

R. Dingle, H. L. Störmer,<sup>a)</sup> A. C. Gossard, and W. Wiegmann

*Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 19 June 1978; accepted for publication 27 July 1978)

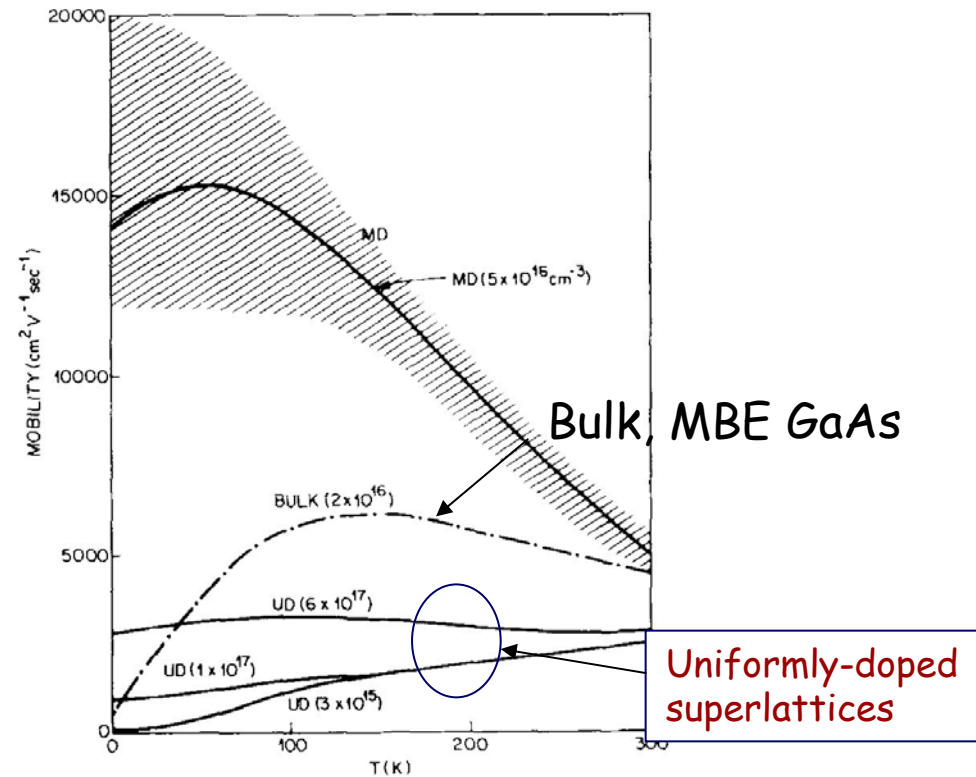
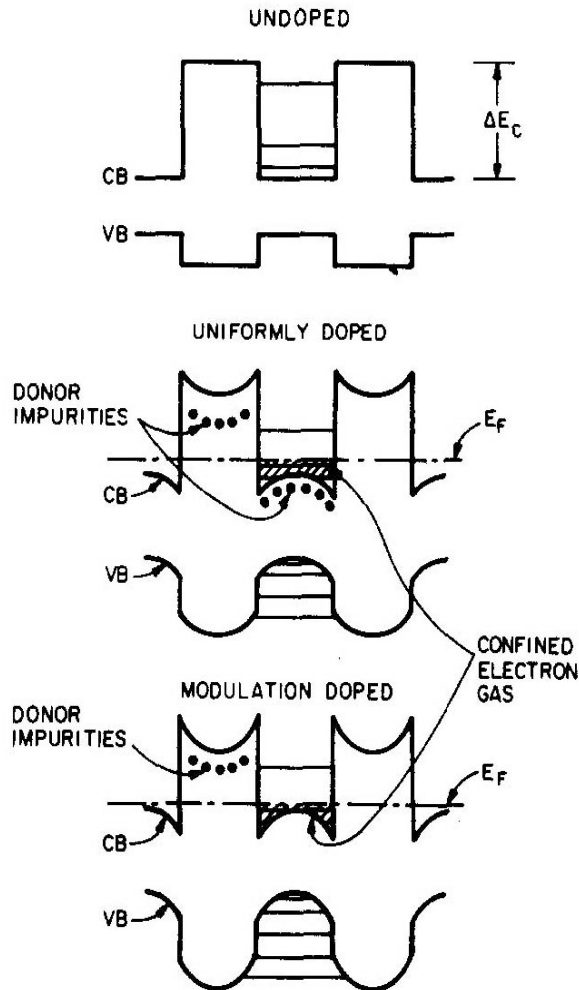


FIG. 3. Electron mobility versus temperature for bulk GaAs GaAs and several UD and MD superlattices. The crosshatched region includes most of the MD data.

# Increases in electron mobility

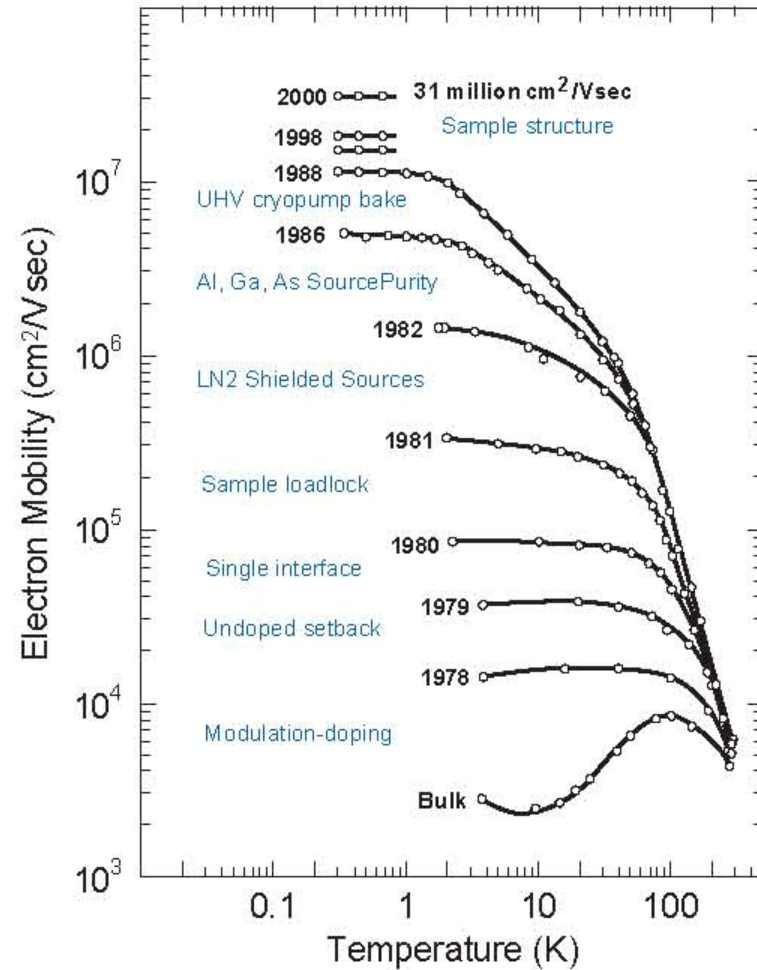


Fig. 1. History of improvements in the mobility of electrons in GaAs, annotated with the technical innovation responsible for the improvement.