

# Improving the Lithographic Process

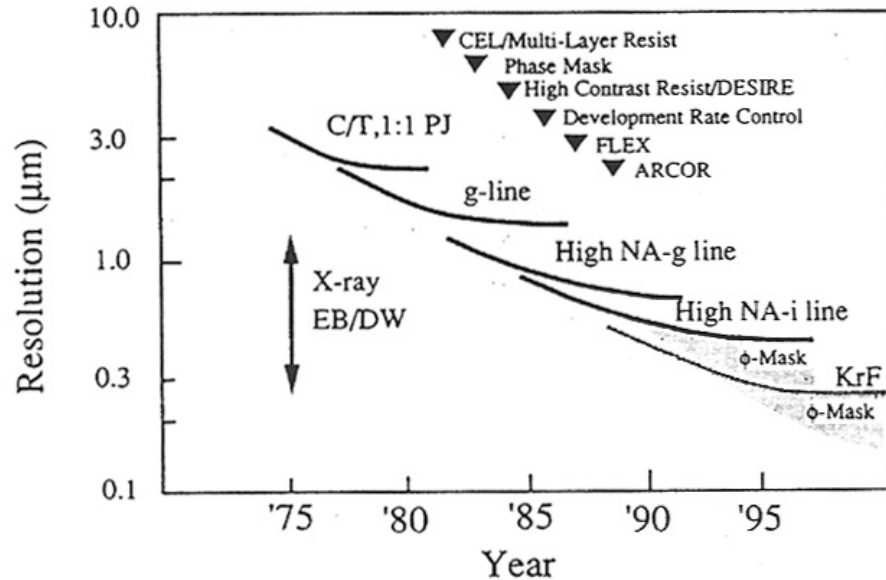


FIG. 1. Evolution of developments in optical lithography.

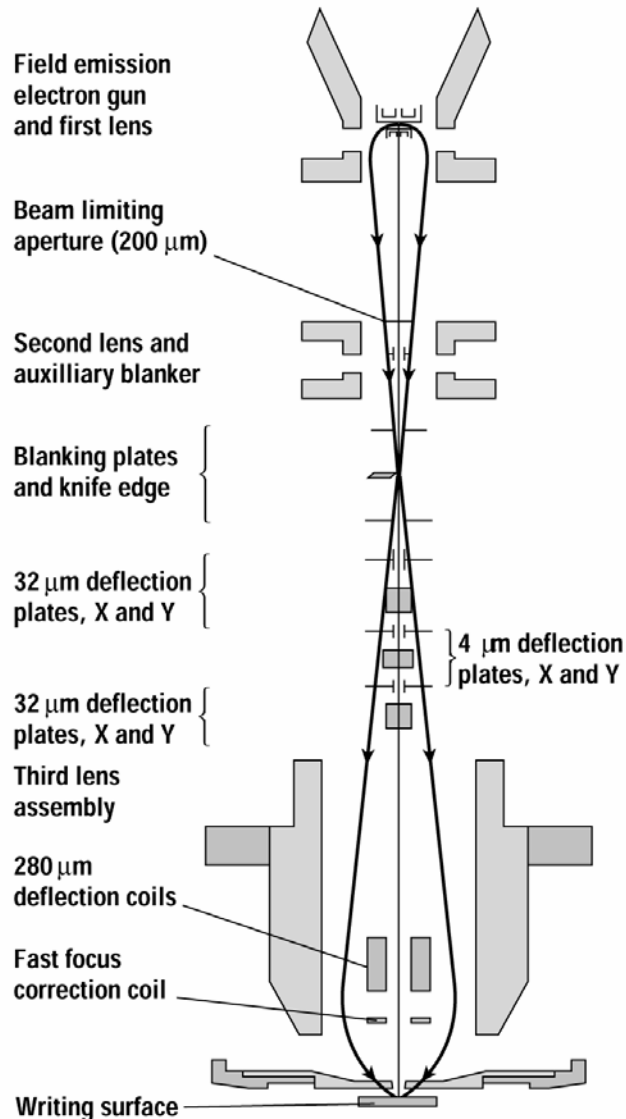
Okazaki, 'Resolution Limits of Optical Lithography',  
J. Vac. Sci. Technol. **B9**(6) 1991

Writing with electrons

Imprint lithography

- Decreasing the minimum feature size that can be patterned
- Improving *alignment* accuracy
- Maintaining *low cost, high throughput*

# Electron Beam Writer



- Photons  $\leftrightarrow$  electrons
- Dielectric lenses  $\leftrightarrow$  magnetic lenses
- 'shutters' to blank beams, deflectors to move beams


- What determines the spatial resolution (minimum feature size) of e-beam lithography?
- focus of the beam?
  - energy of the beam?
  - other?

Figure 9.5 System schematic of an early EBES system. The basic column is similar in current generation EBL systems (after Herriot et al., reprinted by permission, © 1975 IEEE).



## JEOL JBX-6300FS

- "Hi-brightness" Thermal Field Emitter Source (ZnO/W)
- 25, 50, and 100 kV operation
- Minimum Spotsize ~ 2nm @ 100kV



The wavelength of the electrons in a 10 kV SEM is then  $12.3 \times 10^{-12}$  m  
(12.3 pm)  
while in a 200 kV TEM the wavelength is 2.5 pm.

# Electron Scattering and the Proximity Effect

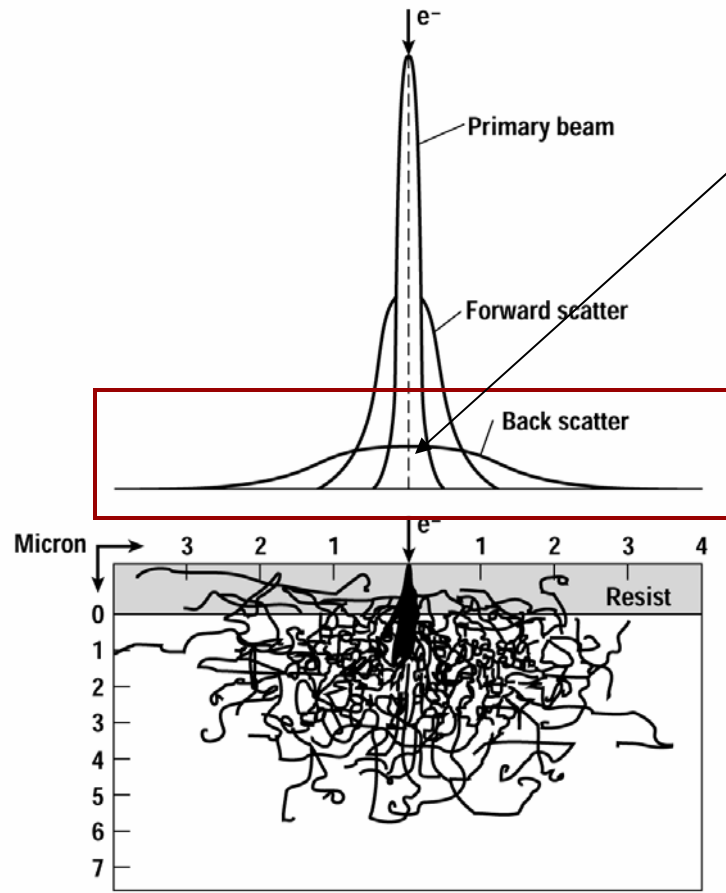


Figure 9.10 Monte Carlo simulation of electron trajectories during an EBL exposure. The upper curve indicates the forward and backscattered components of the beam (after Hohn, reprinted by permission, SPIE).

## Back-scattered electrons

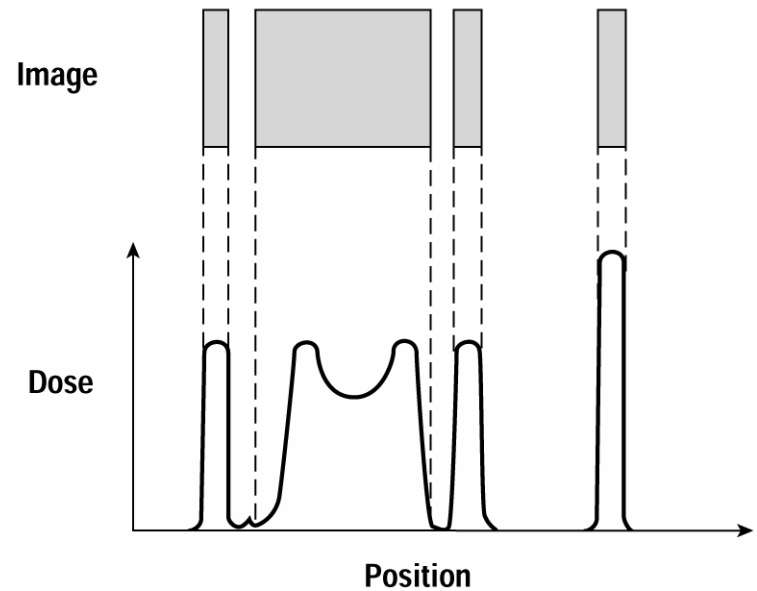
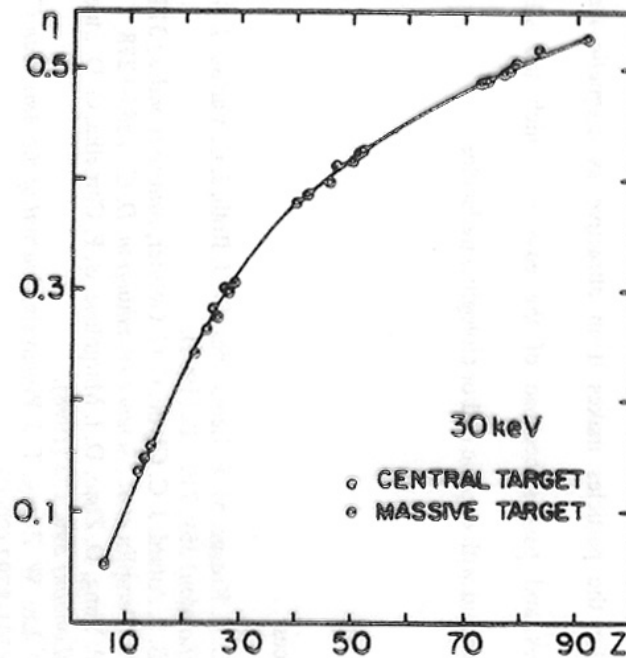


Figure 9.11 Small and large figures to be patterned with EBL requires position-dependent dosage to compensate for proximity effects.

# Back-scattered Electrons: strong dependence on substrate material

Backscattered electrons:  
dependence on  $Z$ : sensitive to composition

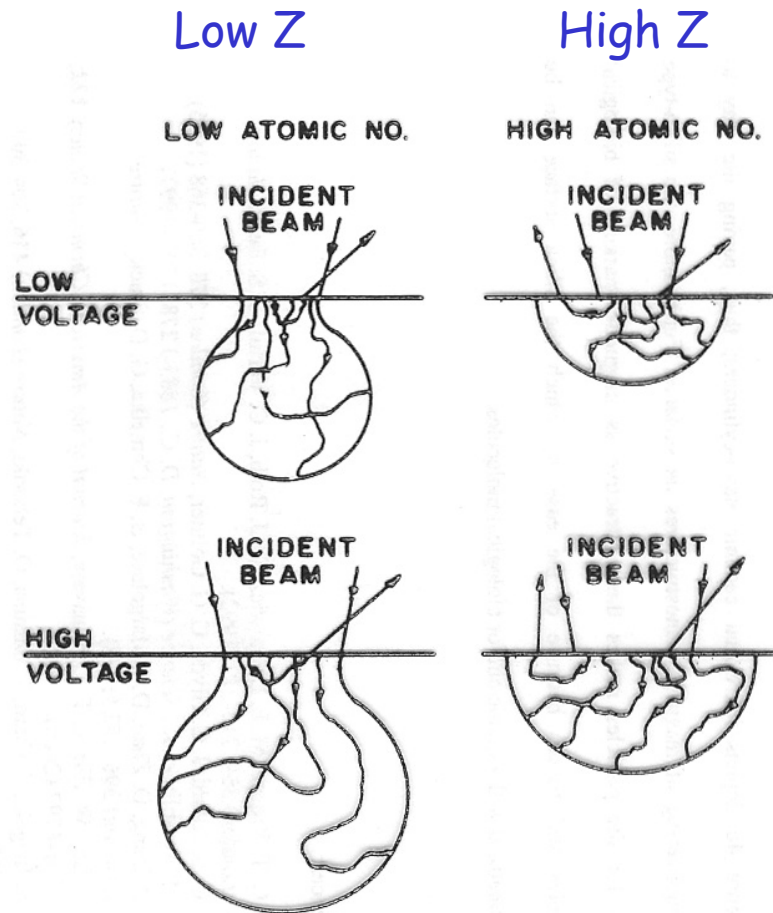


Electron backscatter coefficient  $\eta$ ,  $E_0 = 30$  keV as a function of the atomic number  $Z$  of the target (Heinrich<sup>(12)</sup>).

# The 'volume' of electrons in the substrate

Low accelerating  
voltage  
(electron energy)

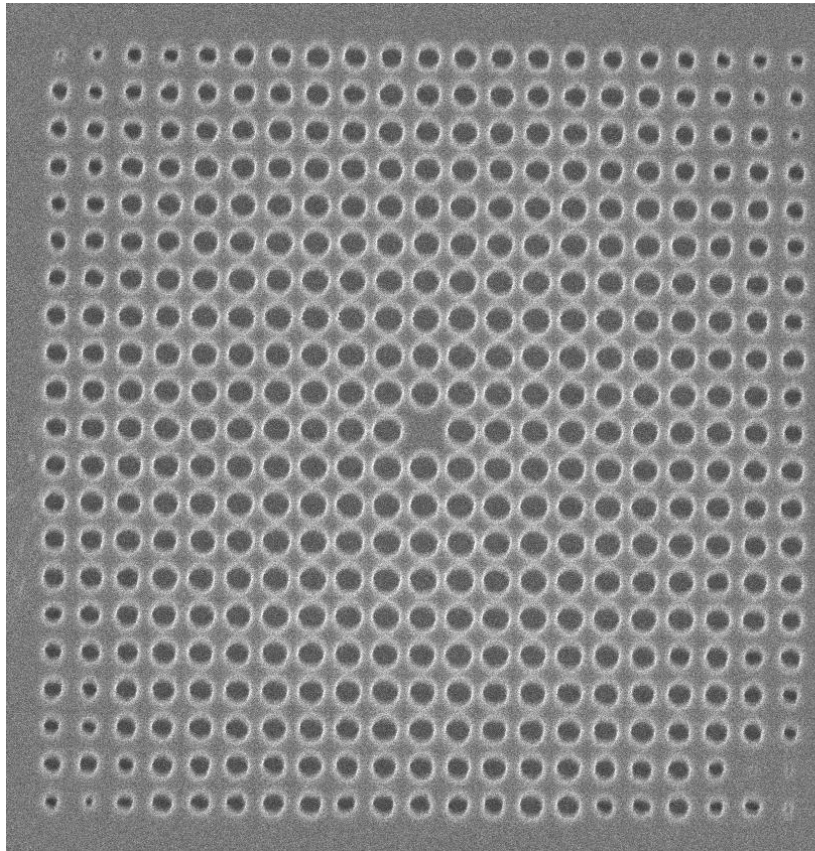
High voltage



**FIGURE 1.**

Section through specimen surface illustrating the variation of electron scattering with voltage and atomic number (from Duncumb and Shields<sup>(9)</sup>).

# How 'bad' is the proximity effect?



Lattice constant = 280 nm

Photonic Crystal Pattern  
E-beam written into GaAs  
50 kV accelerating voltage

What can be done?



# Remove most of the substrate

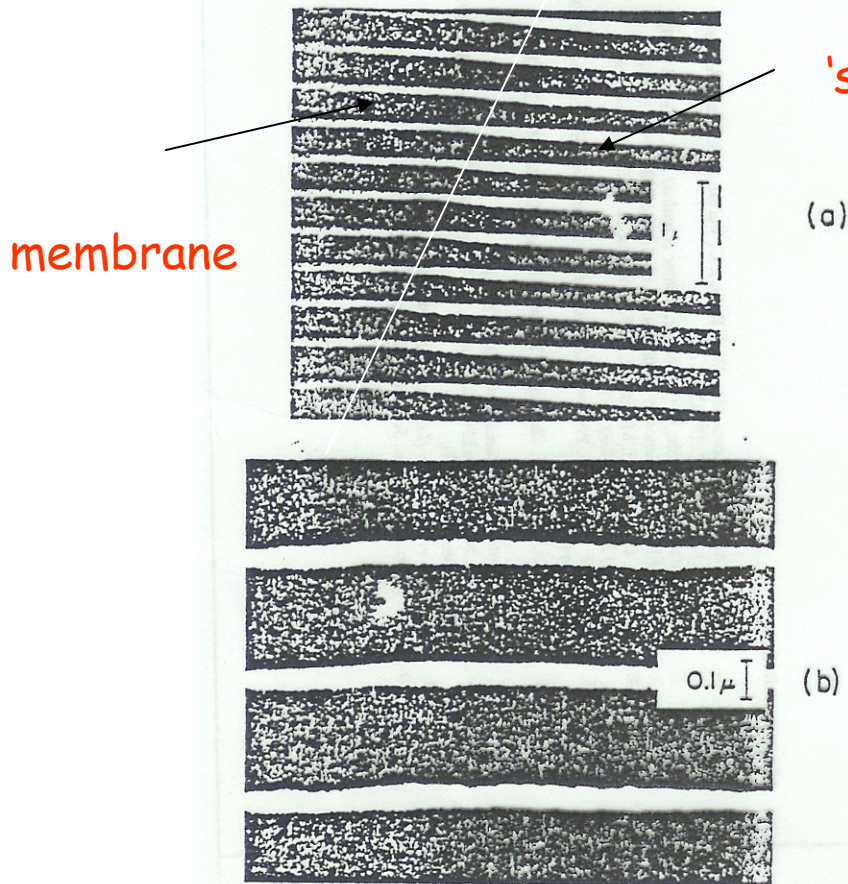
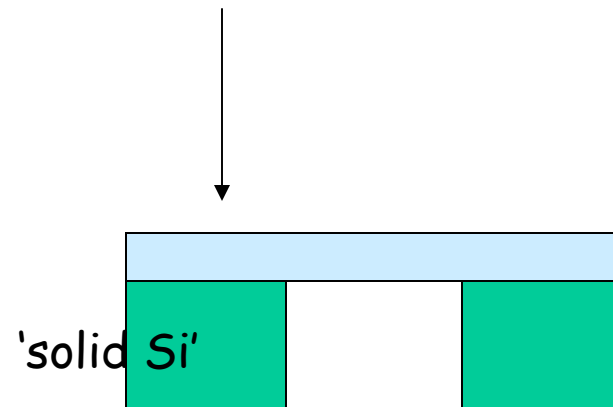


Fig. 5. (a) Metal line pattern fabricated over Si wafer (light, right portion) and over 4 mils Si<sub>3</sub>N<sub>4</sub> window (dark, left portion); (b) higher magnification view of metal lines over window.

Write over membrane

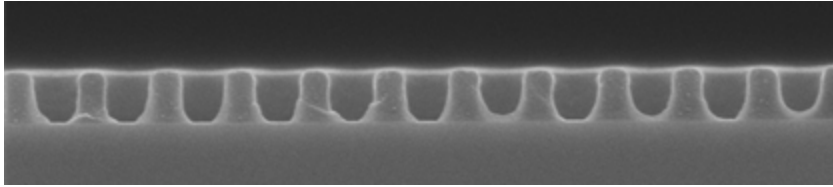


Removing the substrate removes the source of backscattering

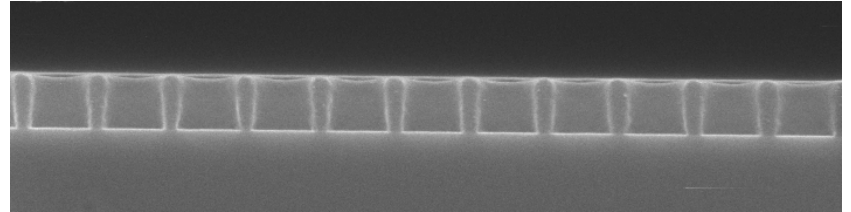
Would changing the accelerating voltage help?

# Changing Dose in e-beam exposure (ZEP resist)

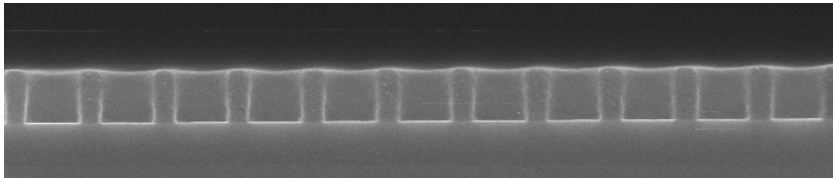
133  $\mu\text{C cm}^{-2}$



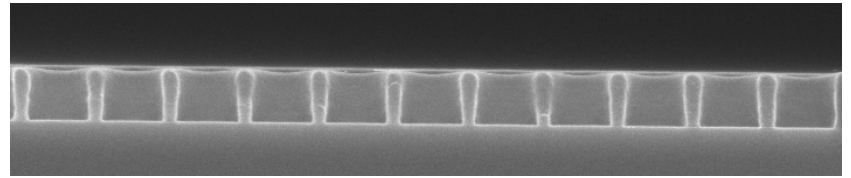
+ 87  $\mu\text{C}$



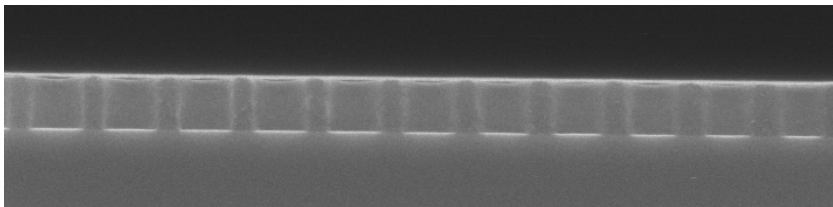
+ 43  $\mu\text{C}$



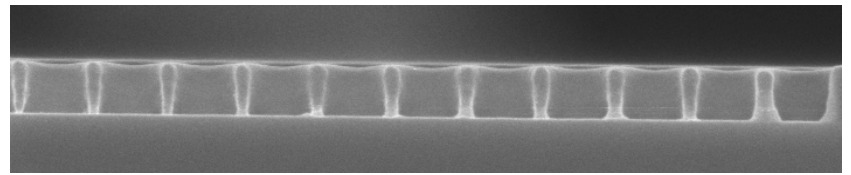
+ 101  $\mu\text{C}$



+ 72  $\mu\text{C}$



+ 115  $\mu\text{C}$

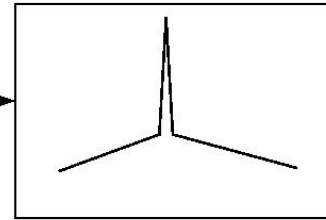
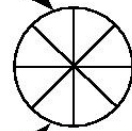
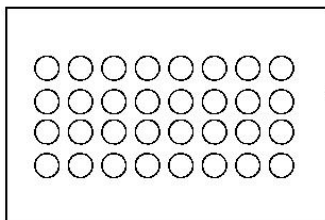
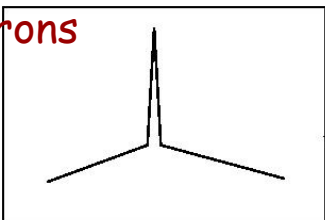
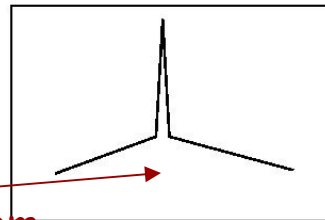
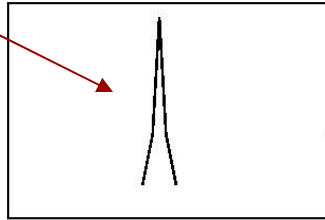


$a$  = lattice constant, variable,  $\sim 250$  nm  
 $r$  = radius of the hole

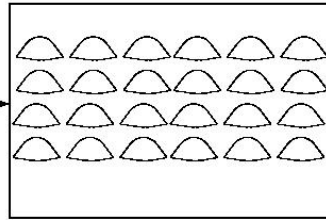
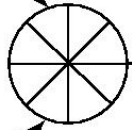
# CORRECT FOR THE PROXIMITY EFFECT

Kevin Hennessey

Intensity (energy) of the electron beam



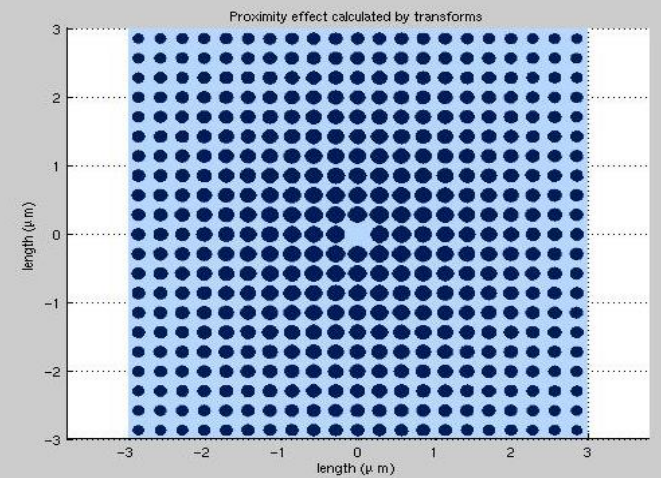
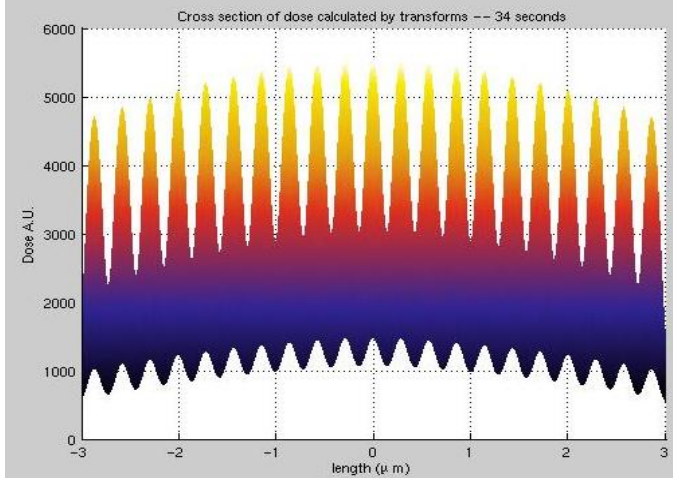
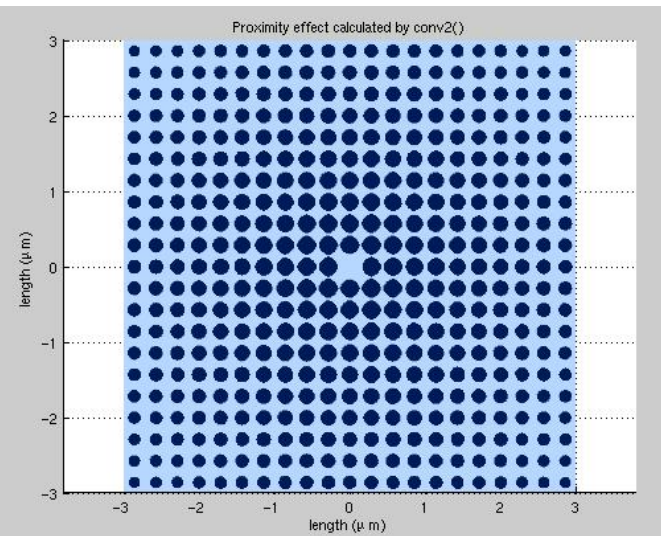
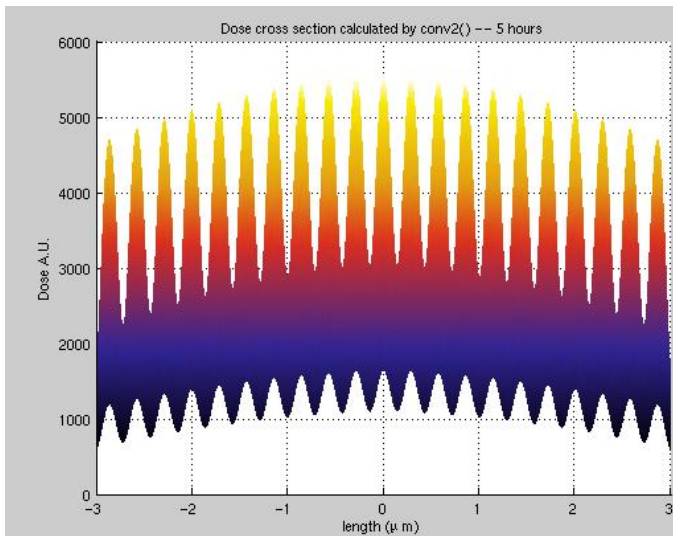
Single feature



What happens if we were to write multiple features, densely packed?

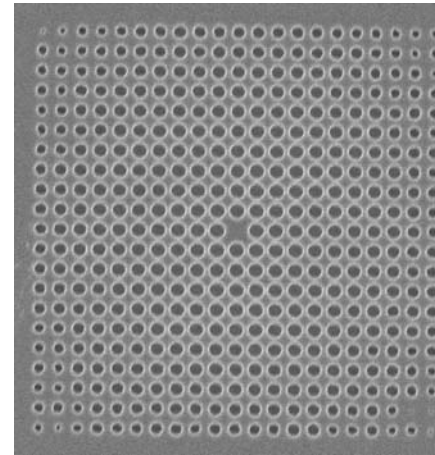
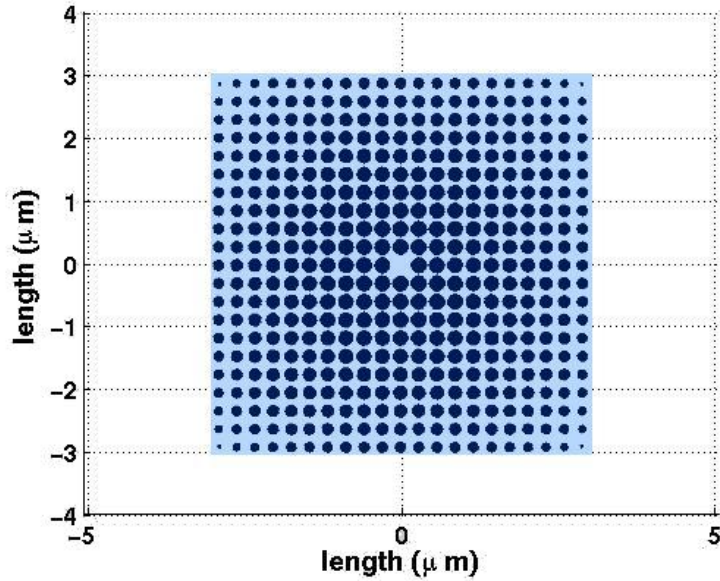
Broad background from back-scattered electrons

# Kevin's Simulations of Full Electron Dose for a Photonic Crystal Pattern



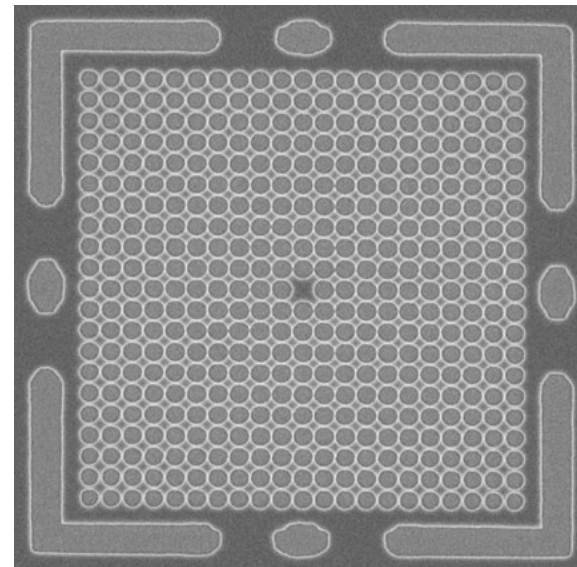
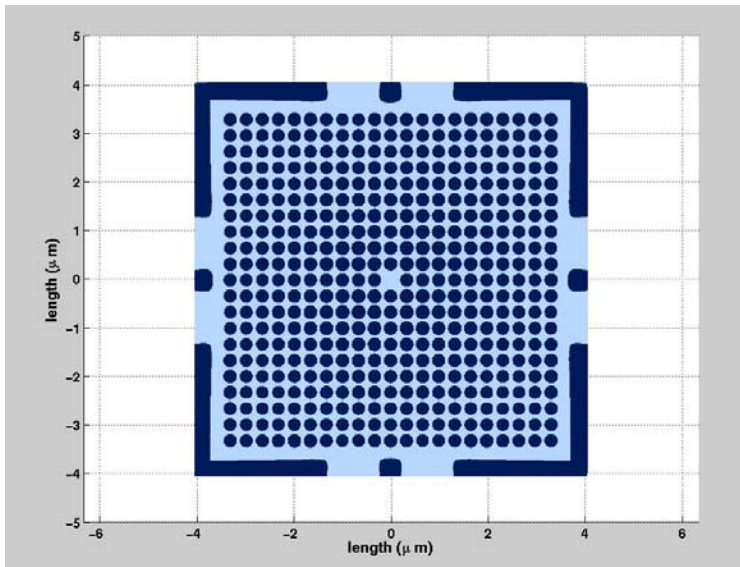
# Simple Proximity Effect Correction

simulation



E-beam written  
pattern in GaAs

Lattice constant = 280 nm



# The uses of E-beam Lithography

## Desired Features

- Decreasing the minimum feature size that can be patterned
- Improving *alignment* accuracy
- Maintaining *low cost, high throughput*

Are there disadvantages?

# Shaping Beams

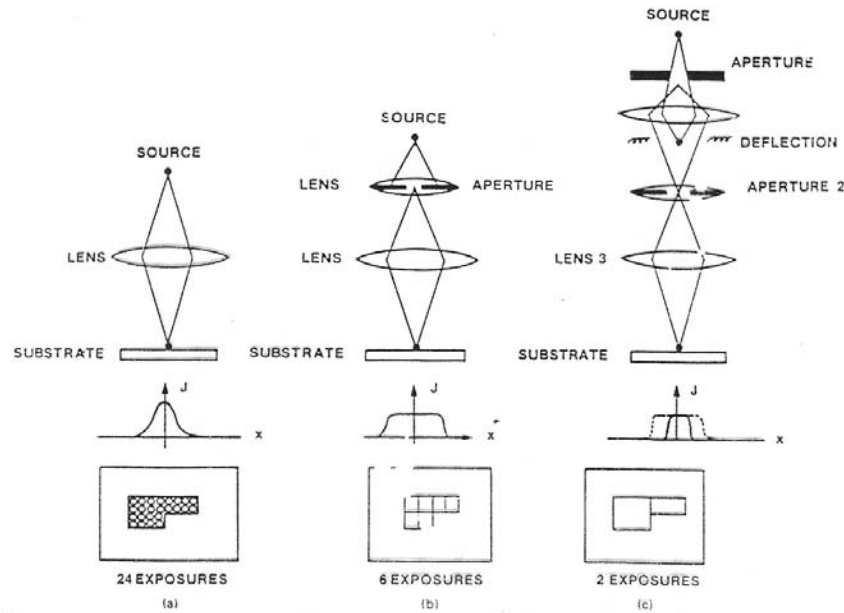


Fig. 1.43. Spot forming strategies used in scanning electron-beam lithography.

Fine Line Lithography,  
Ed. Newman, North-Holland 1980

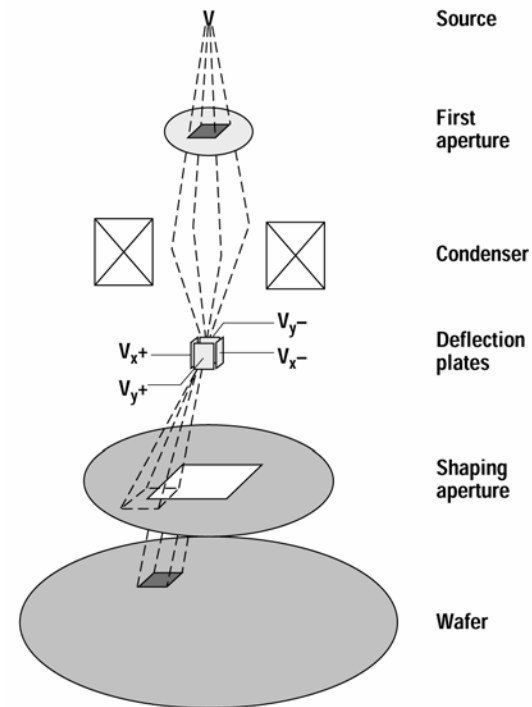


Figure 9.7 A variable shaped beam exposure system using mechanical beam stops for beam shaping. The broad beam exposes many pixels simultaneously, but dimensional control is not as reliable as standard EBL.

# Scanning Electron Beam Writing: SCALPEL

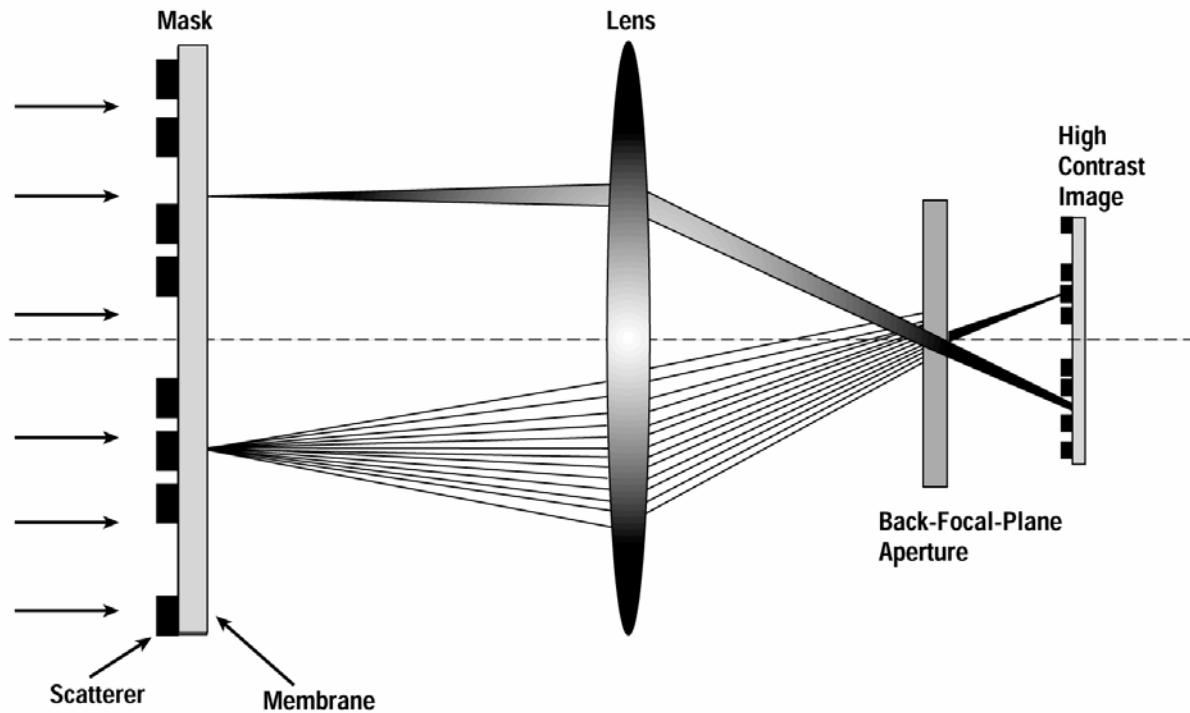
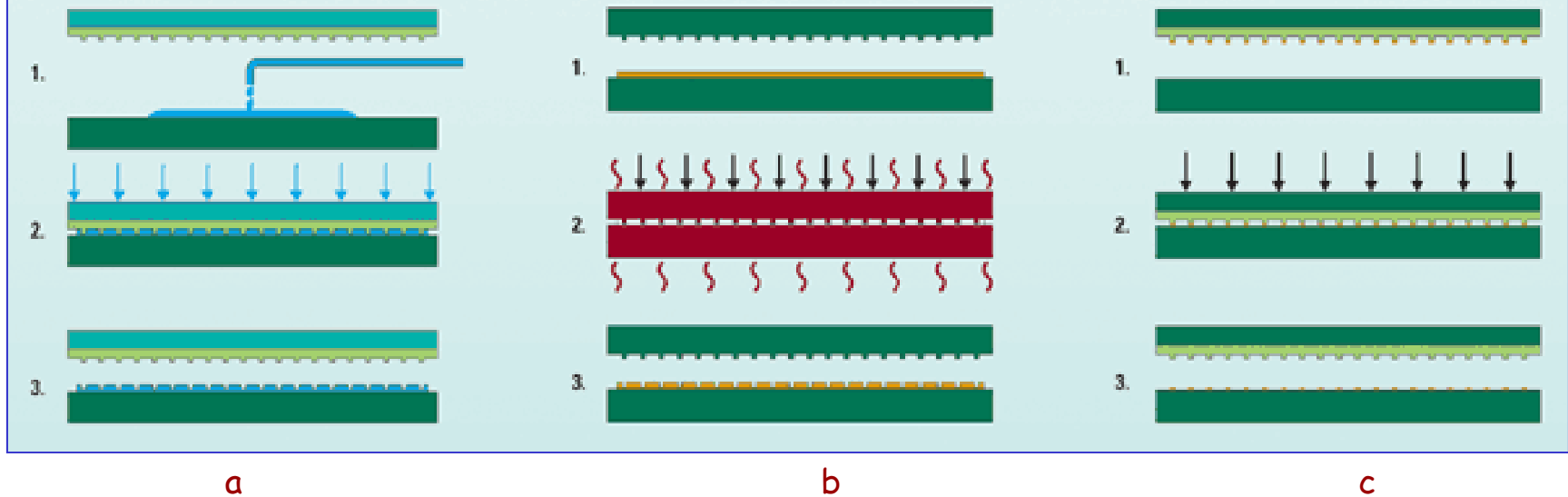


Figure 9.25 SCALPEL principle of operation.





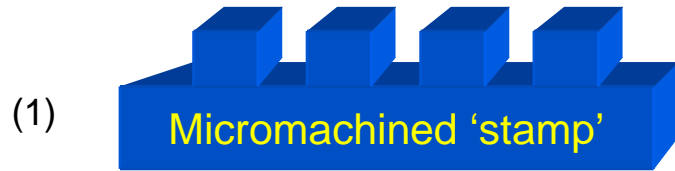
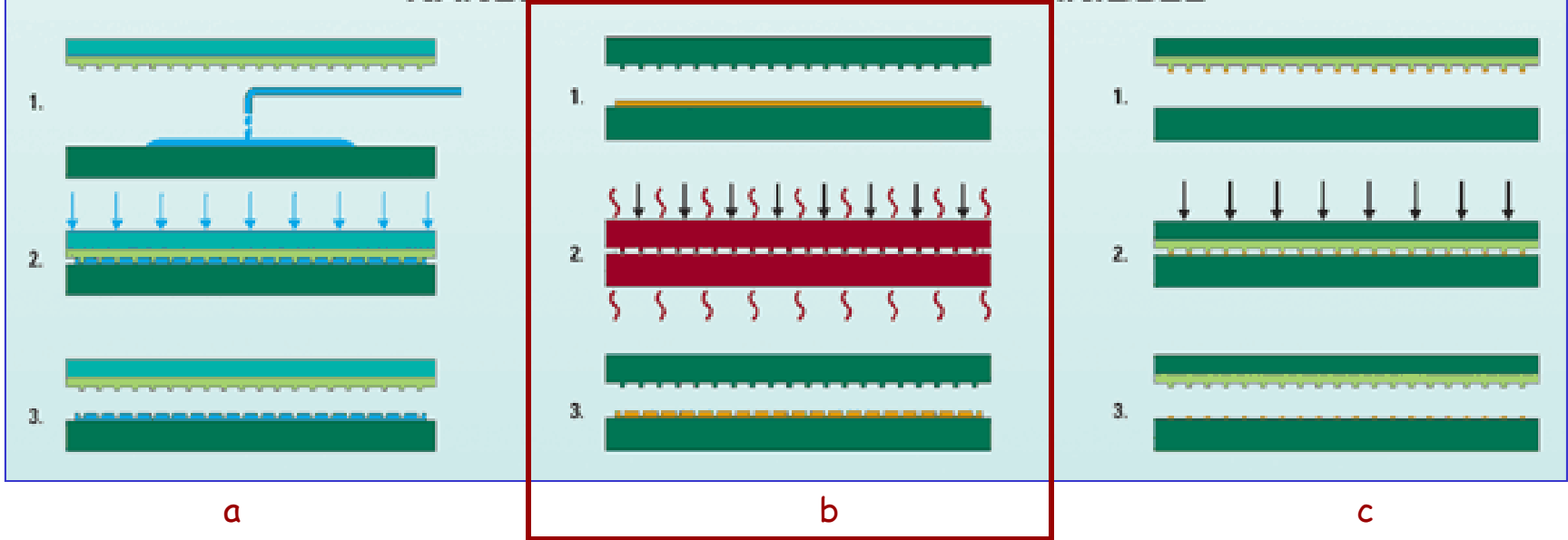
## NANOIMPRINT LITHOGRAPHY TECHNIQUES



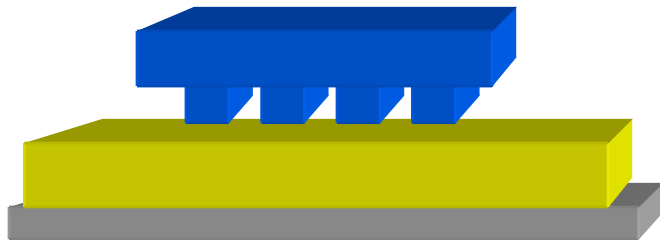
- UV-Nanoimprint Lithography** :uses low viscosity materials, which are cross-linked during a UV exposure process forming the hard polymer features.
- Hot Embossing** :uses polymer substrates to imprint structures created on a master stamp.
- Micro Contact Printing** :An inked stamp transfers a material to a novel metal surface just by a soft contact, which forms a self-assembled monolayer (SAM). In this method soft stamps like PDMS are used. The process occurs at RT and under low contact forces of below 100 N.

# Hot Embossing (Thermoplastic)

## NANOIMPRINT LITHOGRAPHY TECHNIQUES



Master stamp onto thermoplastic polymer



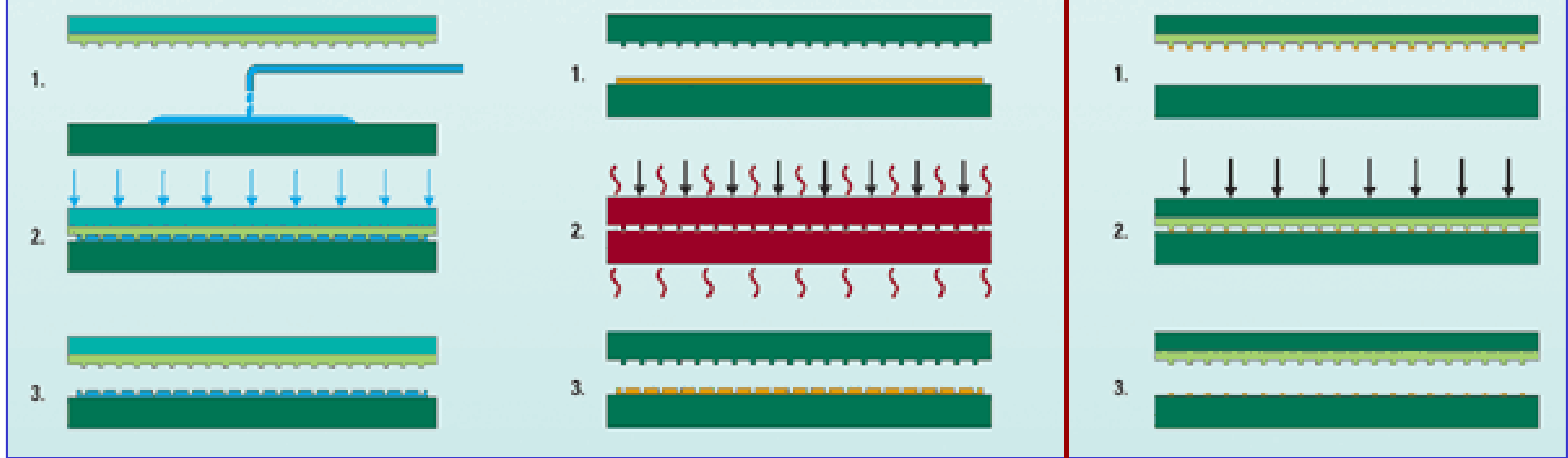
(2)



(3)

# Micro-Contact Printing (Chemical Stamping)

## NANOIMPRINT LITHOGRAPHY TECHNIQUES



a

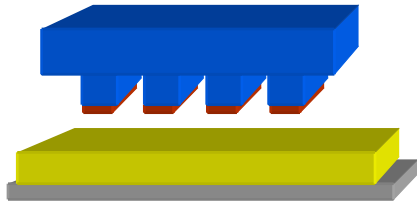
b

c

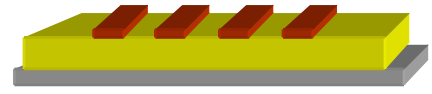


(1)

Chemically functionalized substrate



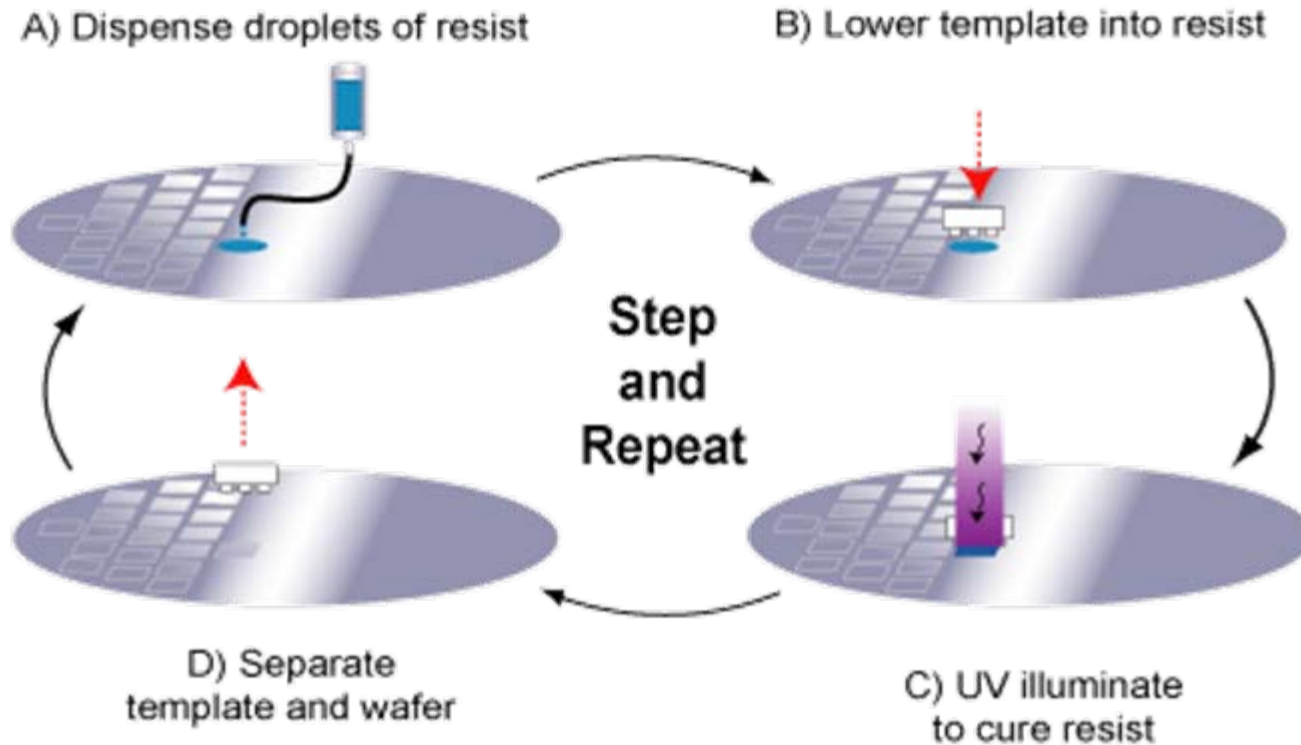
(2)



(3)

An inked stamp transfers a material to a novel metal surface just by a soft contact, which forms a self-assembled monolayer (SAM).

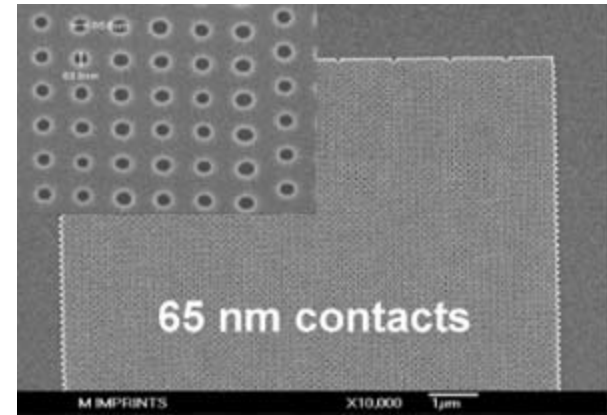
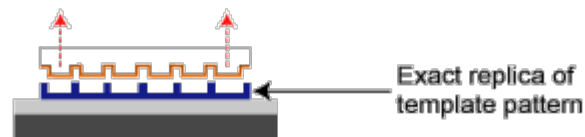
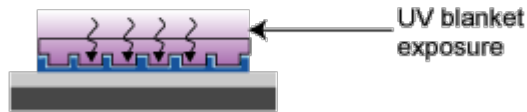
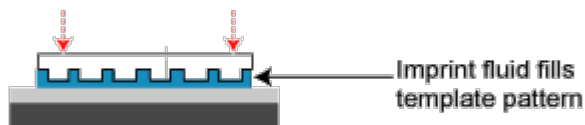
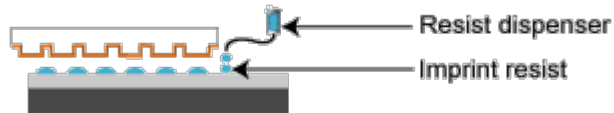
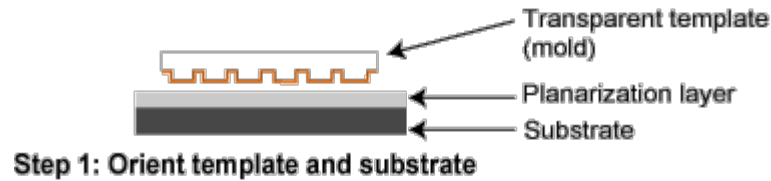
# UV Nano-imprint



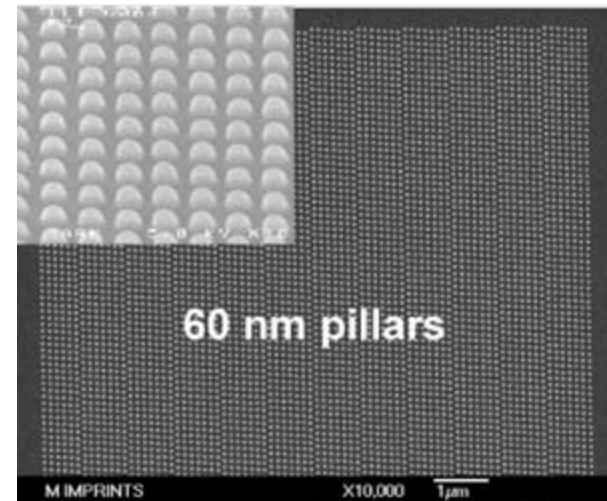
uses low viscosity materials, which are cross-linked during a UV exposure process forming the hard polymer features.

# UV Nano-imprint

What kinds of patterns can be formed?

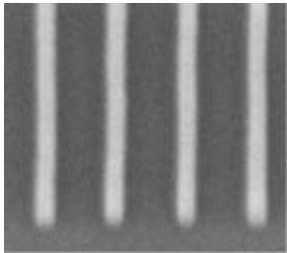
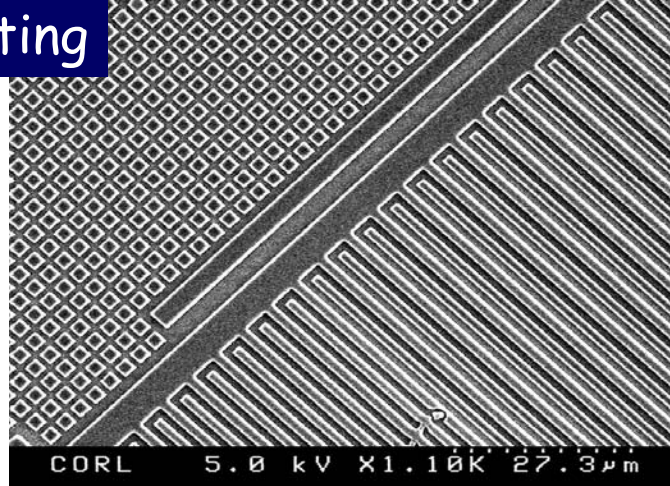


Example of imprinted 65 nm contacts

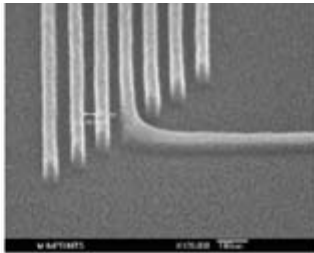


Example of imprinted 60 nm dense pillars

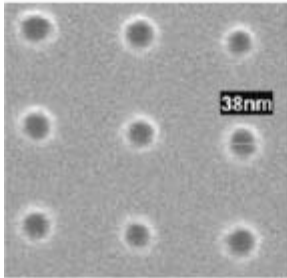
# Patterns from Nano-Imprinting



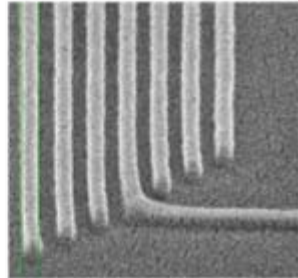
Imprinted 20 nm isolated lines



Imprinted 30 nm dense lines

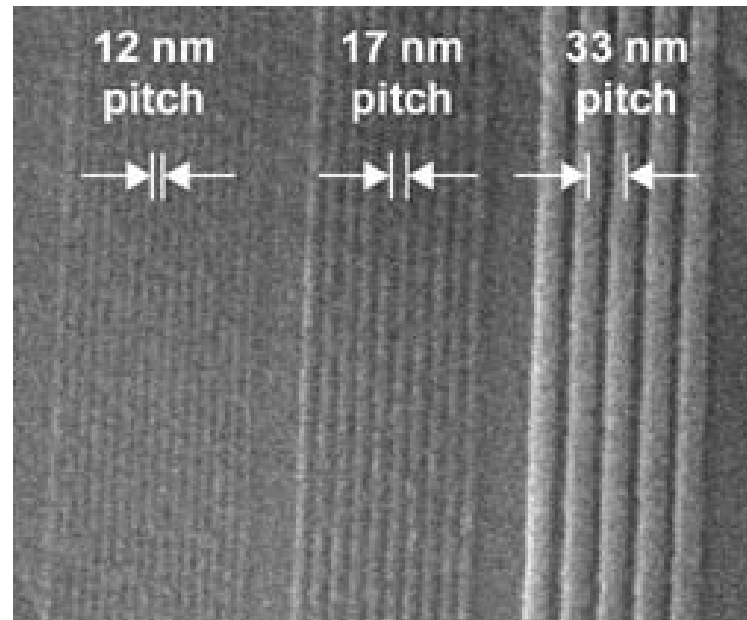


Imprinted sub-40 nm contacts



Imprinted 50 nm dense lines

Molecular Imprints, Inc. (UV)



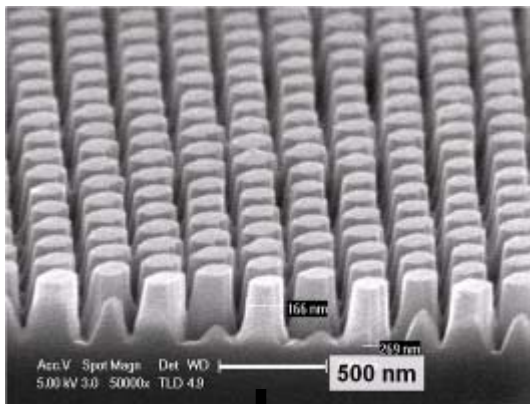
Nanonex (thermoplastic)

# Imprint Lithography for Photonic Crystal Fabrication

Needed: PhC lattice ~ 200 nm, PhC depth ~ 300 nm, Chip size ~ 1x1 cm<sup>2</sup>

*Kelly McGrody, Elison Matioli*

Si stamp



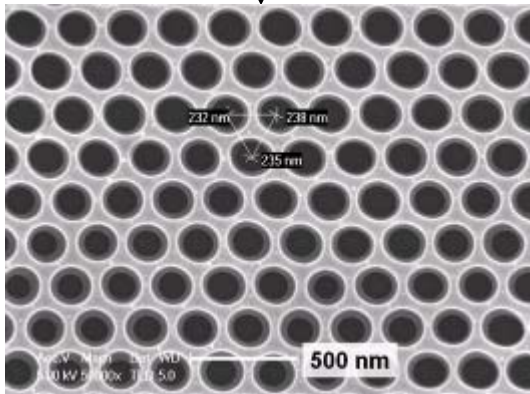
**Holographic lithography: PhC lattice ~ 240nm**

- simplest method for regular PhC pattern
- direct patterning of GaN or making Si-imprint stamp

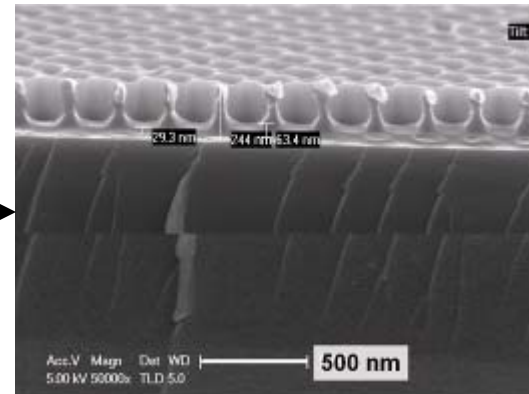
**Imprint lithography: PhC lattice ~ 240 nm**

- Home-made Si master stamp
- Process development for transferring PhC pattern from mask layers to GaN with high fidelity

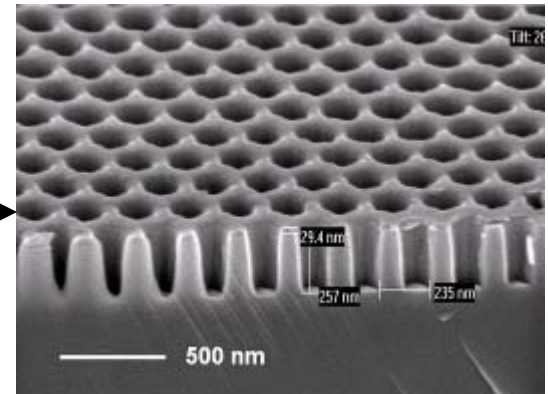
Etch mask



Etch mask



GaN PhC



*Nanonex Imprinter*