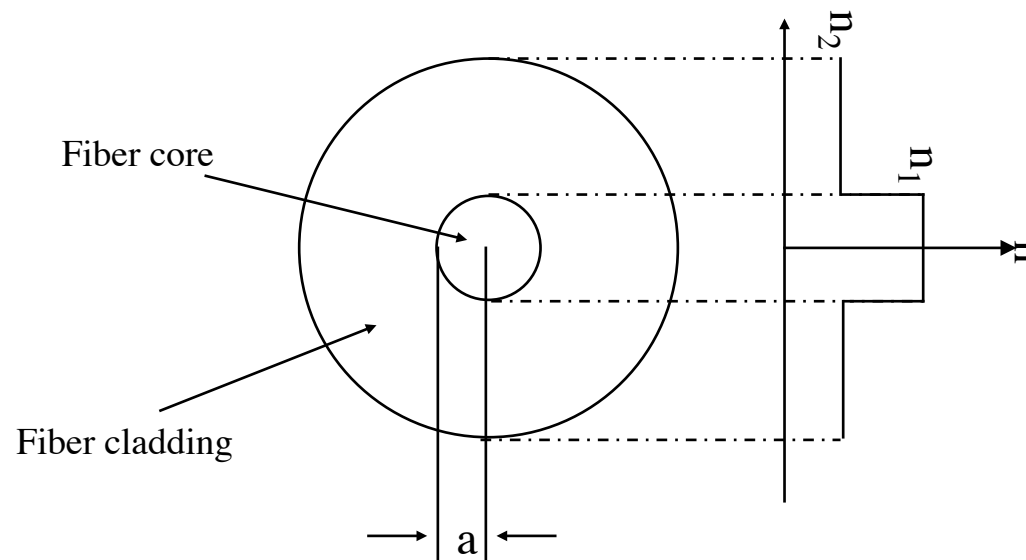




# Lecture 4 - Propagation in Optical Fibers

# Step Index Fibers



Definition: Fractional refractive index difference  $\Delta = (n_1 - n_2)/n_1$

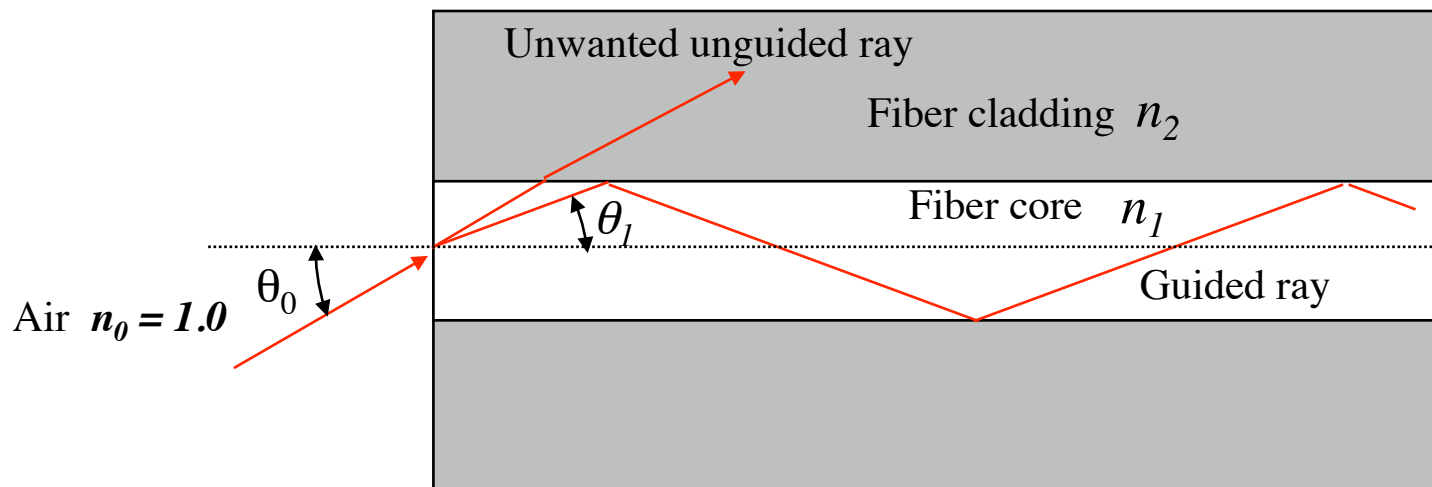
Typical value for silica (glass) fibers

$$n_1 = 1.48, n_2 = 1.46$$

$$\Delta = .0135 \approx 1\%$$

# Geometrical Optics Model

⇒ Use of total internal reflection for optical field guiding



Light rays that enter the fiber with an angle smaller than an “acceptance angle”  $\theta_0$  will be **guided** by **total internal reflection** within the fiber when:

$$\theta_0 \leq \theta_{0,\max} = \sin^{-1} \left( \frac{\sqrt{n_1^2 - n_2^2}}{n_0} \right)$$

# Numerical Aperture

**Definition:** The light collecting capacity of the optical fiber is measured by the **Numerical Aperture (NA)**

$$\begin{aligned} NA &= n_0 \sin\theta_{0,\max} \\ &= \sqrt{n_1^2 - n_2^2} \\ &\approx n_1 \sqrt{2\Delta} \end{aligned}$$

(For small  $\Delta$ )

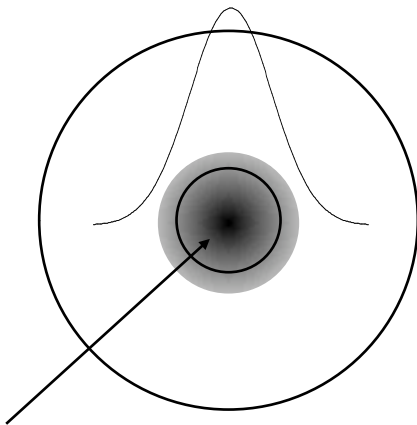
**Example:** if we couple light from air into a fiber with  $\Delta = .01$  and  $n_1 = 1.5$ , then the NA  $\approx 0.2121$  and  $\theta_{0,\max} \approx 12^\circ$

The maximum acceptable “angular error” when launching an optical beam into a fiber is consequently of the order of  $\theta_{0,\max} \approx 12^\circ$

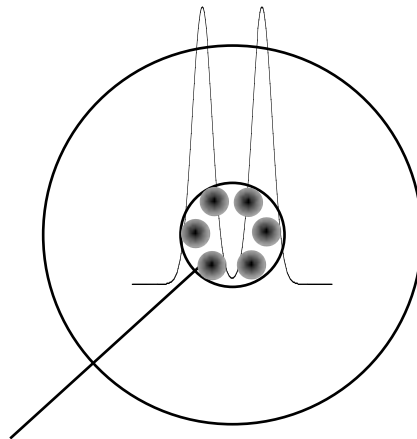
# Modes in Step Index Fibers

**Definition:** **Modes** are light intensity profiles (patterns) that propagate down the fiber maintaining their transversal field shape

- Multimode fibers can support many thousands of modes.
- Single mode fibers support one mode.



Gaussian first order mode intensity profile



Gaussian second order mode intensity profile

$$E(x, y, z, t) = J(x, y) \cos(\omega_0 t - \beta(\omega_0) z)$$

In order to accurately study optical modes, the complete Maxwell equations are to be solved.

Anyway, for multimode fibers, the following intuitive explanation can be given:

Each **mode** corresponds to a light beam traveling inside the fiber core with **different angles**

# Normalized Frequency Parameter $V$

$V$  is a design parameter that takes into account the fiber parameters ( $n_1$ ,  $n_2$  and  $a$ ) and the free space wavelength  $\lambda_0$ .

$$\begin{aligned} V &= k_0 a \left( n_1^2 - n_2^2 \right)^{1/2} \\ &= \left( \frac{2\pi}{\lambda} \right) a n_1 \sqrt{2\Delta} \end{aligned}$$

It can be shown that:

In order to have a Single Mode Fibers:  $V \leq 2.405$

In order to have a Multimode Fibers:  $V > 2.405$

Important consequence:

Given the parameters  $n_1$ ,  $n_2$  and a fixed wavelength, a fiber is single mode if the core radius  $a$  is smaller than a given value (of the order of 10  $\mu\text{m}$  at 1550 nm)

# Multimode Fibers

For large  $V$ , the number of modes propagating in a multimode fiber is approximately

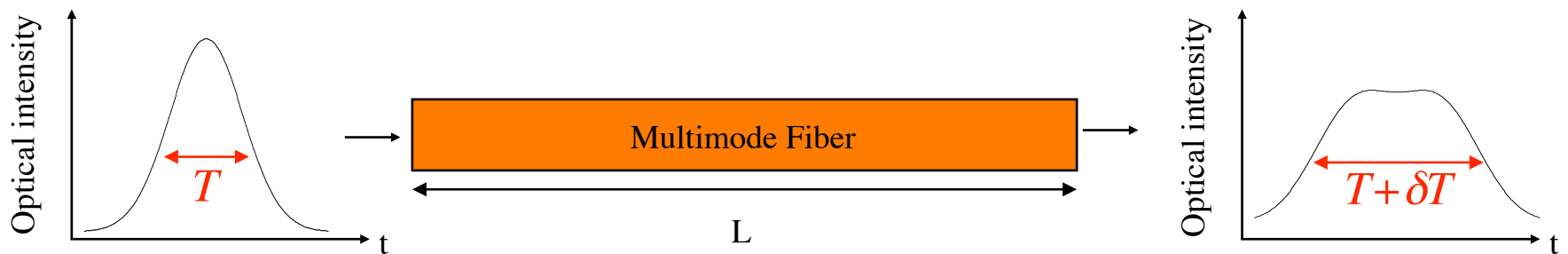
$$N = \frac{V^2}{2}$$

**Example:** A multimode fiber with core diameter  $2a=50\mu m$ ,  $\Delta=5\times 10^{-3}$  and  $\lambda=1.3\mu m$  supports about 160 modes

- ⇒ Each mode will propagate in the fiber as if it had its own index of refraction  $n$ .
  - ⇒ The index of refraction for each mode  $n$  lies between  $n_1$  and  $n_2$  (from the solution of the Maxwell equations)
  - ⇒ Intuitive explanation: each mode has different portions of the field overlap with different amounts of the core and cladding
- ⇒ Consequence: each mode will travel along the fiber at slightly different speeds, giving rise to **multimode fiber dispersion**

# Multimode Fiber Dispersion

Since each mode travels at a different velocity on the fiber, an optical bit launched into the fiber will distort as it propagates.



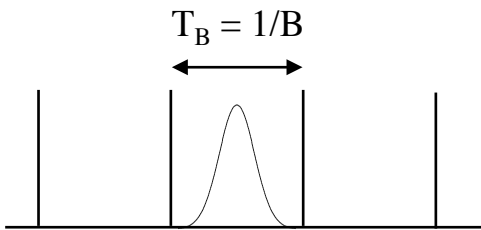
- The resulting distortion is actually a pulse broadening
- The amount of pulse broadening in a multimode fiber is given by:

$$\delta T = \frac{Ln_1^2}{cn_2} \Delta$$

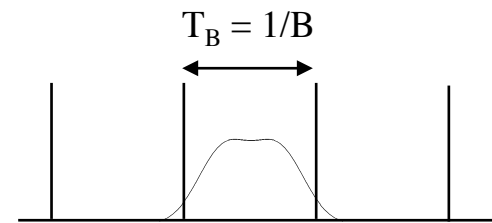


# Bit Rate Limit for Multimode Fibers

- ⇒ When dealing with digital transmission, each pulse represent a bit
- ⇒ A pulse spreading leads to intersymbol interference (ISI)
- ⇒ Let's assume a bit cannot spread by more than half the allocated bit period in order to have an acceptable ISI level



$$\Delta T < \frac{1}{2B}$$
$$\frac{L}{c} \frac{n_1^2}{n_2} \Delta < \frac{1}{2B}$$

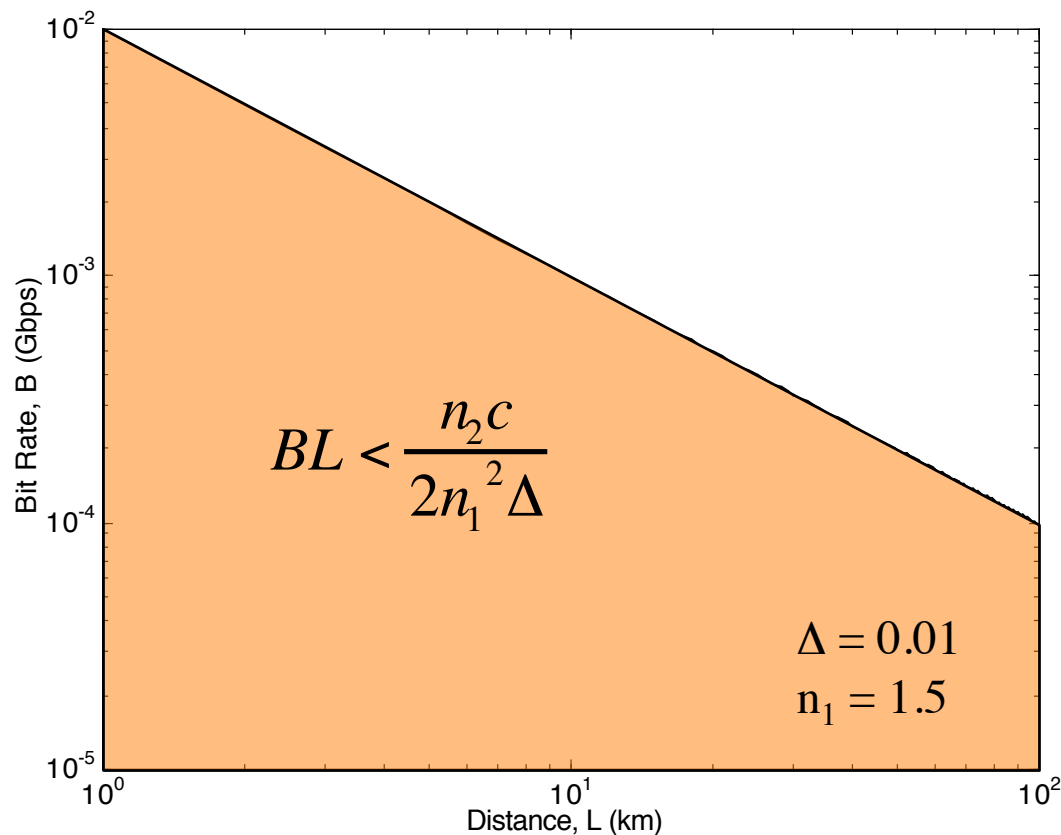


We can define the **Bandwidth-Distance** product (BL) for multimode fibers as:

$$BL < \frac{n_2 c}{2n_1^2 \Delta}$$

# Bit Rate Limit for Multimode Fibers

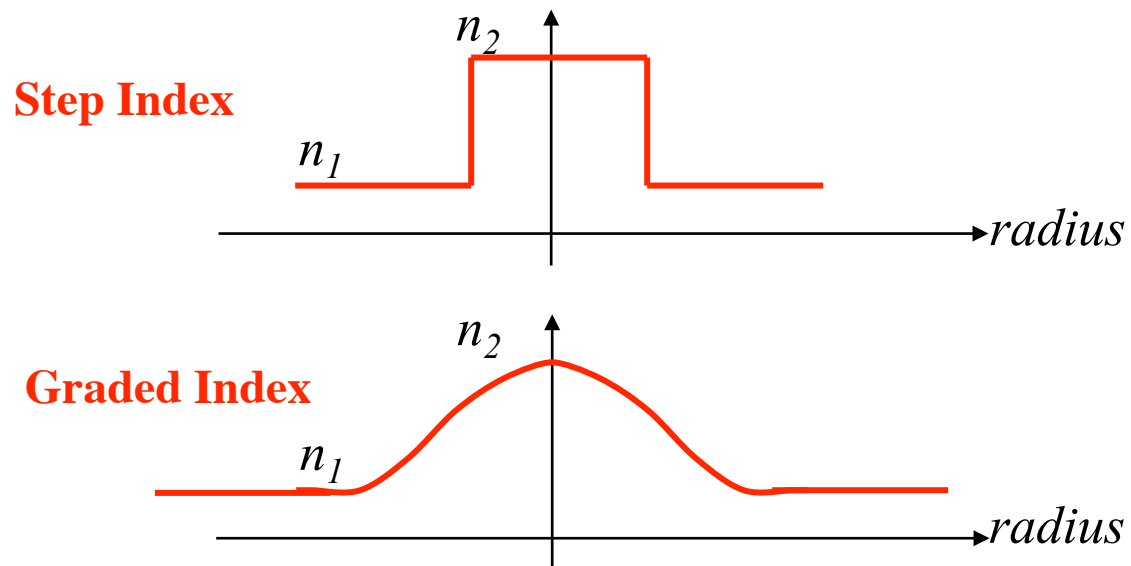
- ⇒ The previous formula give rise to the ultimate bit-rate limitation of a standard multimode fiber



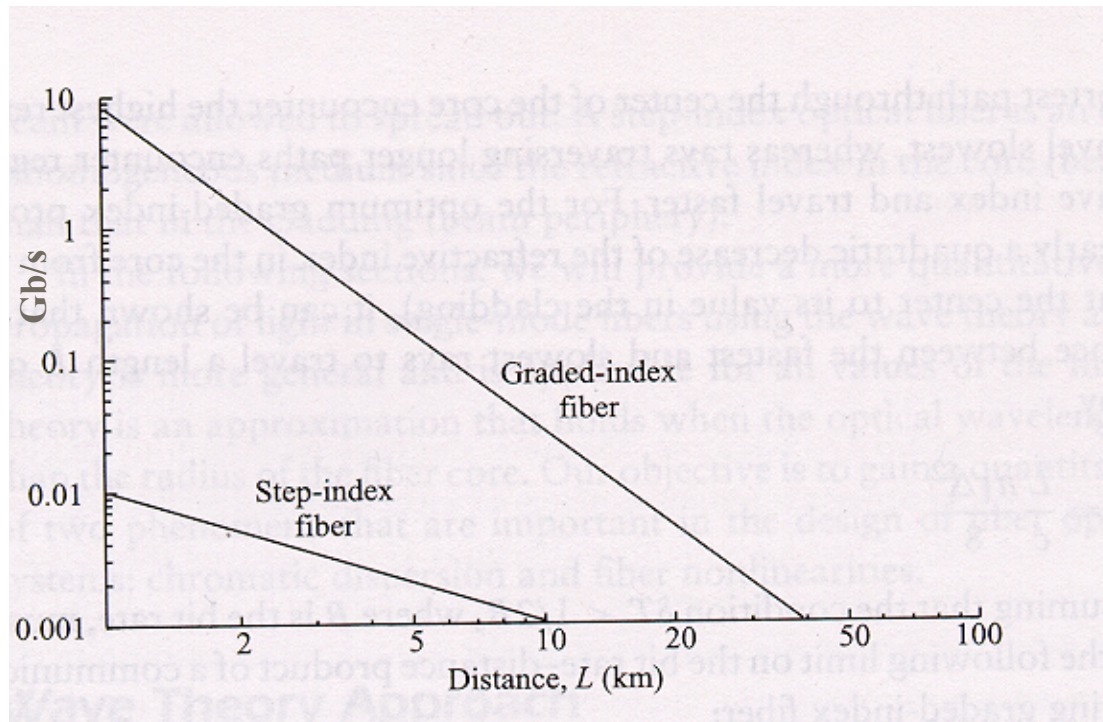
On a standard 1-km long step-index multimode fiber, the resulting maximum bit rate is 1 Mbit/s only !!

# Graded index fibers

- ⇒ The multimode dispersion limit can be drastically changed by using a proper index of refraction profile



# Dispersion limit for graded index fibers



- ⇒ The limit for graded index fibers is of the order of (for example) 1 Gbit/s at 2 Km
- ⇒ With particular techniques (misplaced launch) this limit can be somehow increased
- ⇒ Anyway, high bit rates and long haul link are NOT feasible on multimode fibers, even using graded index profiles

# Multimode vs. single mode fiber

## ⇒ Multimode fiber

- ⇒ They have a limit in terms of maximum bit rate of the order of 1Gbit/1Km, due to multimode dispersion
- ⇒ They have a relatively large core
  - ⇒ Splicing is easier
  - ⇒ Connectors are less expensive
- ⇒ Installation is simpler
- ⇒ They are intrinsically more resilient to mechanical and environmental stress
- ⇒ They are thus mostly used in LAN application

## ⇒ Single mode fibers

- ⇒ We will see that they are not affected by multimode dispersion, and their bandwidth limit is extremely higher
- ⇒ They have a small core
  - ⇒ Splicing is more difficult
  - ⇒ Connectors are more expensive
- ⇒ Installation is more difficult
- ⇒ They are thus used in all applications where the distance to be covered is significantly higher than 1Km
- ⇒ In the rest of the course, we will mostly focus on single mode fibers

# Step Index Circular Waveguide (lossless, isotropic)

- Simplest type of fiber
- (Most fiber these days is far more complex)
- Cylindrical symmetry

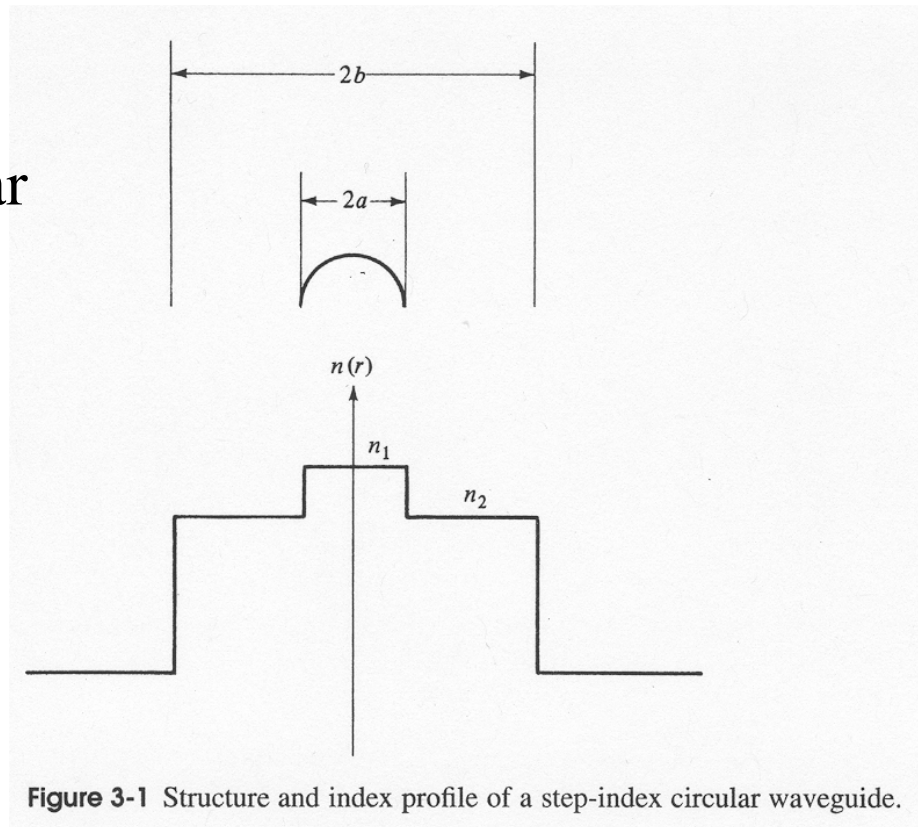


Figure 3-1 Structure and index profile of a step-index circular waveguide.

# Step Index Circular Waveguide (lossless, isotropic)

- Simplest type of fiber
- (Most fiber these days is far more complex)
- Cylindrical symmetry
- Express Laplacian operator in cylindrical coordinates

$$\nabla^2 = \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \phi^2} + \frac{\partial^2}{\partial z^2}$$

Separate variables

$$E_r = \psi(r)\Phi(\phi)e^{i(\omega t - \beta z)}$$

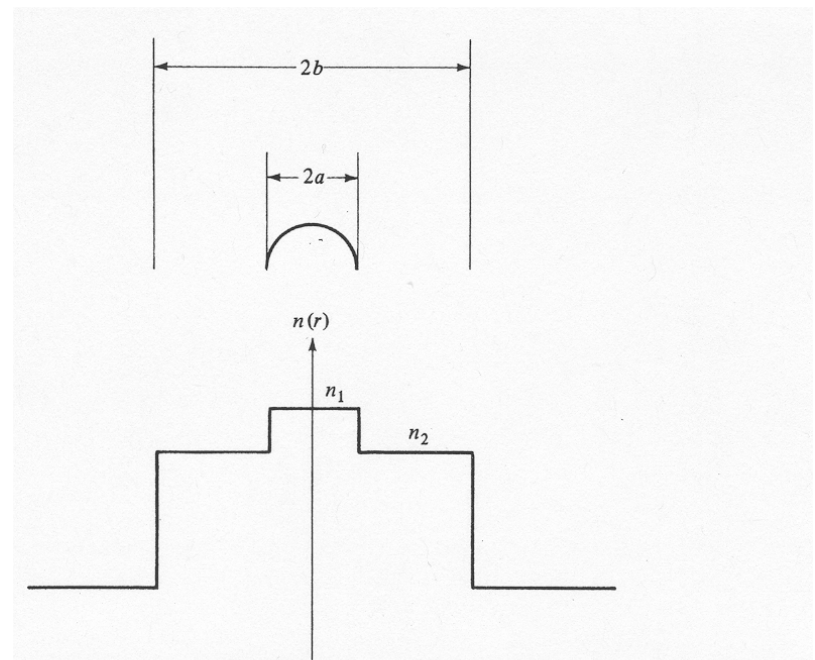


Figure 3-1 Structure and index profile of a step-index circular waveguide.



# Separable Solutions



$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \phi^2} + (k^2 - \beta^2) \right] E_z = 0$$

$$E_r = \psi(r) \Phi(\phi) e^{i(\omega t - \beta z)}$$

$$\Phi(\phi) = e^{\pm il\phi} \quad \text{where} \quad l = 0, 1, 2, \dots$$



# Separable Solutions

$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \phi^2} + (k^2 - \beta^2) \right] E_z = 0$$

$$E_r = \psi(r) \Phi(\phi) e^{i(\omega t - \beta z)}$$

$$\Phi(\phi) = e^{\pm i l \phi} \quad \text{where } l = 0, 1, 2, \dots$$

$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \left( k^2 - \beta^2 - \frac{l^2}{r^2} \right) \right] \psi = 0$$

*Bessel differential equation*

$$\psi = c_1 J_l(hr) + c_2 Y_l(hr) \quad k^2 - \beta^2 = h^2 > 0$$

$$\psi = c_1 I_l(qr) + c_2 K_l(qr) \quad k^2 - \beta^2 = -q^2 > 0$$

- J Bessel function of the first kind
- Y Bessel function of the second kind
- I Modified Bessel function of the first kind
- K Modified Bessel function of the second kind

# Bessel Functions

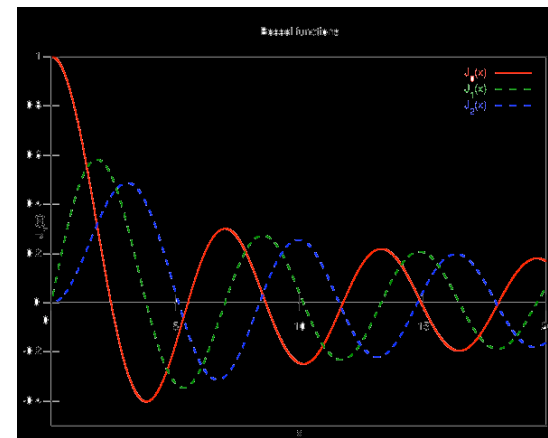
⇒ For equations of the form

$$x^2 \frac{d^2 y}{dx^2} + x \frac{dy}{dx} + (x^2 - \alpha^2) y = 0$$

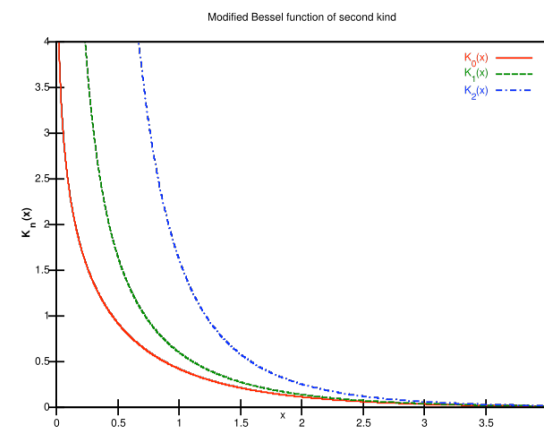
⇒ and non-negative integer  $\alpha$ , the solution that represents a propagating mode confined within the core is the Bessel function of the first kind  $J_\alpha(x)$  and is finite at  $x = 0$ .

⇒ and negative integer  $\alpha$ , the modified Bessel function of the second kind is a decaying exponential that represents the evanescent field of the propagating mode in the cladding.

Bessel Function of the first kind



Modified Bessel Function of the second kind



# Boundary Conditions

Decaying fields for  $r > a$

$q > 0$

$$q^2 = \beta^2 - k^2 = \beta^2 - n_2^2 k_0^2$$

$$k_0 = \omega / c$$

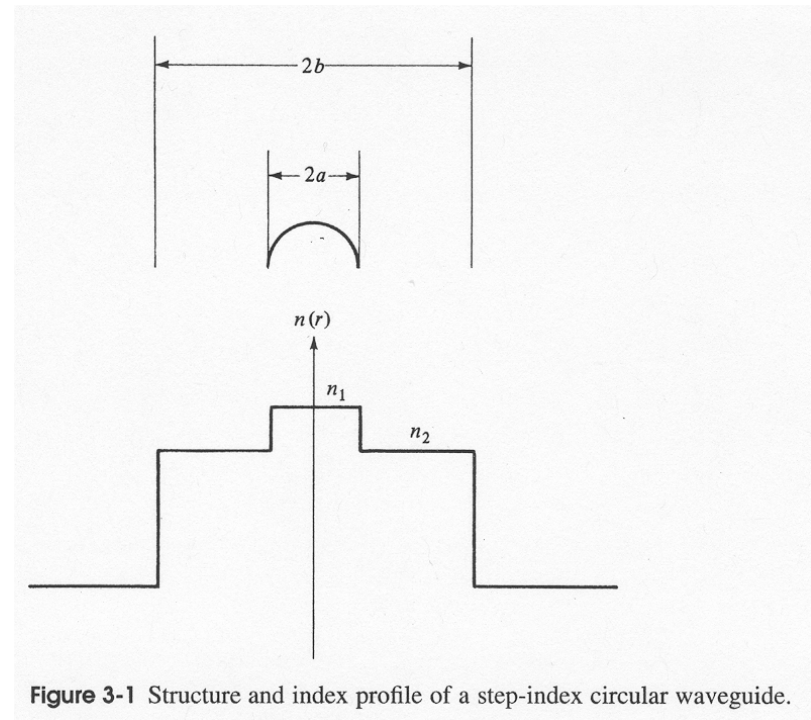


Figure 3-1 Structure and index profile of a step-index circular waveguide.

For fields in the core  $r < a$ , we need finite fields  
(which eliminates Y and K which go to infinity as  $r$  approaches 0).

# Boundary Conditions



- ⇒ In order for the mode to be supported, it must be a standing wave pattern along  $r$  inside the core and a decaying exponential along  $r$  inside the cladding, with the boundary conditions supported at the step interface.
- ⇒  $\beta$  is therefore bounded by

$$n_1 k_0 \leq \beta \leq n_2 k_0$$