



# Lecture 6 - Propagation in Optical Fibers and Dispersion

# Reading and Homework



- ⇒ Read Chapter 2 of Agrawal
- ⇒ HW #3 due Thursday Oct. 25
- ⇒ Agrawal
  - ⇒ Problem 2.13
  - ⇒ Problem 2.14
  - ⇒ Problem 2.17
  - ⇒ Problem 2.18
  - ⇒ Problem 2.19
  - ⇒ Problem 2.20
- ⇒ Reminder HW #2 due Thursday Oct. 18

# Non-Linear Schrodinger Equation

- ⇒ Both linear (dispersive) and nonlinear effects must be taken into account for pulse propagation in the fiber
- ⇒ The propagation of a signal in a single mode fiber is set (to a very high level of accuracy) by the following equation, called the nonlinear Schrodinger equation:

$$\frac{\partial A}{\partial z} = -\alpha A + j \frac{1}{2} \beta_2 \frac{\partial^2 A}{\partial t^2} - \frac{1}{6} \beta_3 \frac{\partial^3 A}{\partial t^3} - j\gamma |A|^2 A$$

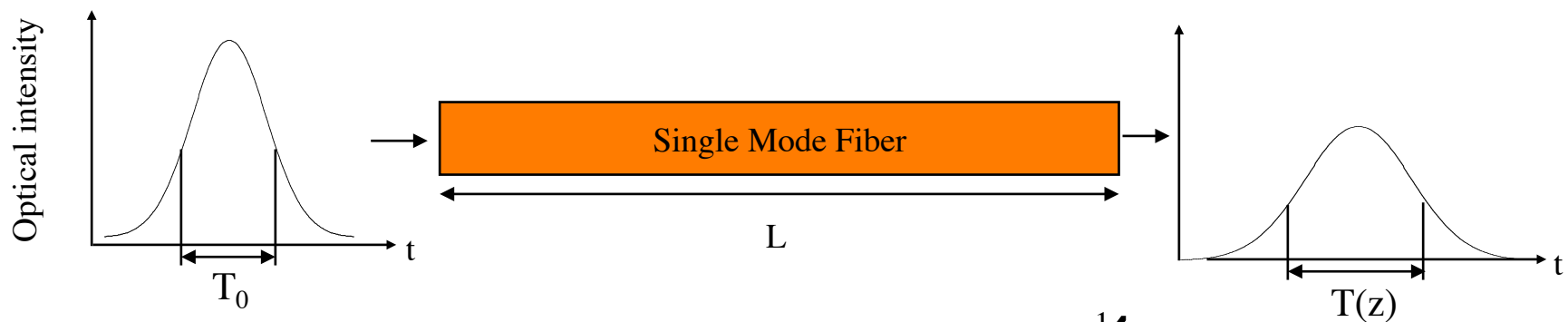
The equation is presented with three terms highlighted in yellow boxes and red outlines. Red arrows point from each box to a label below it:

- Attenuation**:  $-\alpha A$
- Chromatic Dispersion**:  $j \frac{1}{2} \beta_2 \frac{\partial^2 A}{\partial t^2} - \frac{1}{6} \beta_3 \frac{\partial^3 A}{\partial t^3}$
- Nonlinear Effects**:  $-j\gamma |A|^2 A$

- ⇒  $A(z,t)$  is the complex-envelope of the optical field
- ⇒ The resulting optical power is  $P(z,t) = |A(z,t)|^2$

# Pulse Broadening

Assuming a Gaussian shaped input pulse and first order dispersion dominates ( $\beta_2 \neq 0$ )



$$\frac{T(z)}{T_0} = \left[ \left( 1 + \frac{C\beta_2 z}{T_0^2} \right)^2 + \left( \frac{\beta_2 z}{T_0^2} \right)^2 \right]^{\frac{1}{2}}$$

➔ Define **Dispersion Length**

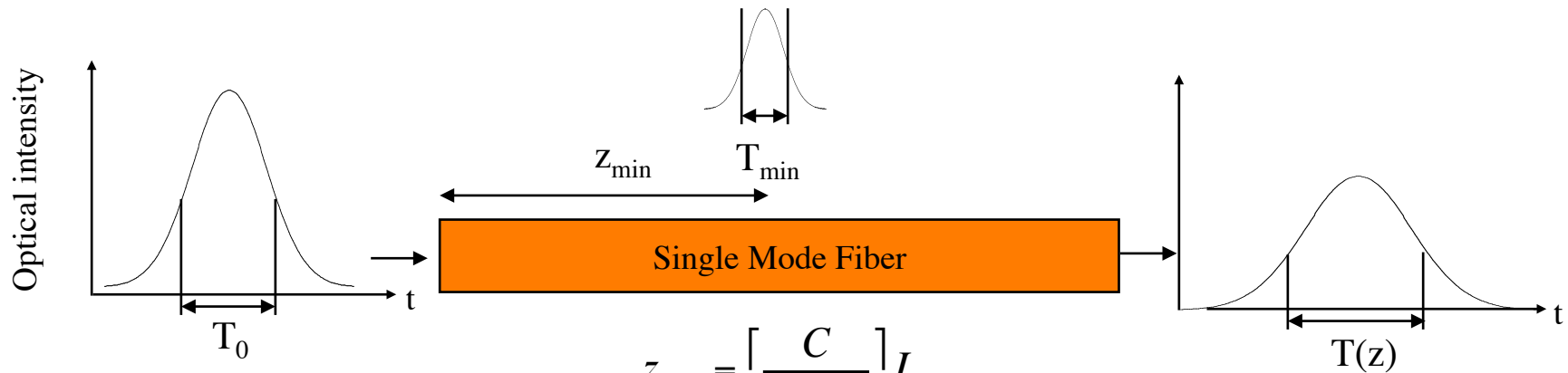
➔ An unchirped pulse ( $C=0$ ) will broaden by a factor of  $\sqrt{2}$  at  $z = L_D$

# Pulse Compression

If  $\beta_2 C < 0$ , the pulse will initially decrease!

This will happen if the

- (a) the initial pulse is positively chirped and propagates in the anomalous dispersion regime of the fiber OR
- (b) if the pulse is initially negatively chirped and propagates in the normal dispersion regime of the fiber



$$z_{\min} = \left[ \frac{C}{1 + C^2} \right] L_D$$

$$T_{\min} = \frac{T_0}{(1 + C^2)^{1/2}}$$

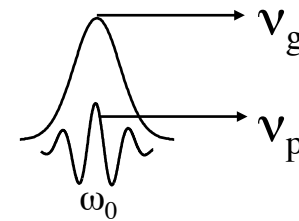
# Chromatic Dispersion

- ⇒ The two terms  $\beta_2$  and  $\beta_3$  of the previous equation are the derivative of the “mode propagation constant”  $\beta(\omega)$
- ⇒ The meaning of  $\beta(\omega)$  is clear when considering a single pulse propagation

$$\beta(\omega) = \frac{\omega n(\omega)}{c} = \beta_0 + \beta_1 \Delta\omega + \frac{1}{2} \beta_2 \Delta\omega^2 + \frac{1}{6} \beta_3 \Delta\omega^3$$

$$v_p = \frac{\omega_0}{\beta_0} = \frac{c}{n(\omega_0)}$$

$$v_g = \frac{1}{\beta_1} = \left( \left. \frac{d\beta}{d\omega} \right|_{\omega=\omega_0} \right)^{-1}$$



- ⇒ It turns out that, considering the dispersion term only
  - ⇒ The **phase velocity** ( $v_p$ ) is the velocity of the center frequency  $\omega_0$ ,
  - ⇒ The **group velocity** ( $v_g$ ) is the velocity of the center of the pulse. It is the value that determine the practical “velocity” of the transmission of the information (energy) in the fiber

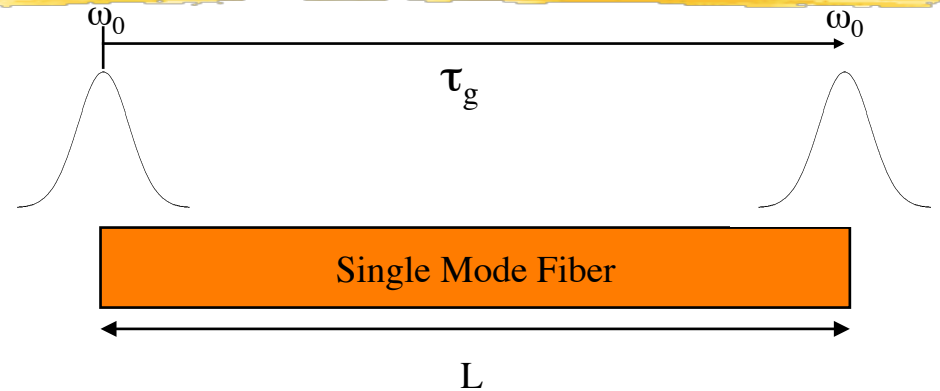
# Group Delay

Group delay

$$\tau_g = \frac{L}{v_g}$$

Group velocity

$$v_g = \frac{c}{n_g}$$



- ⇒ The group delay effective index  $n_g$  is approx. of the same order of the index of refraction of the fiber, i.e. ,  $n_g = 1.5$
- ⇒ As an example, the (group) delay of 100 Km of fiber is given by:

$$\tau_g = \frac{L}{v_g} = \frac{Ln_g}{c} = \frac{10^5 \cdot 1.5}{3 \cdot 10^8} \cong 500 \mu s$$

$$v_g = \frac{c}{n_g} \cong 2 \cdot 10^8 \text{ m/s}$$

# Group Velocity Dispersion (GVD)

- ⇒ Group velocity (GVD) is frequency-dependent
- ⇒ Any communication signal (pulse) has a given bandwidth
  - ⇒ Different frequencies in pulse => Different group delays => Leads to pulse distortion
- ⇒ A more quantitative analysis can be carried out by considering that the fiber acts as a filter with the following transfer function:

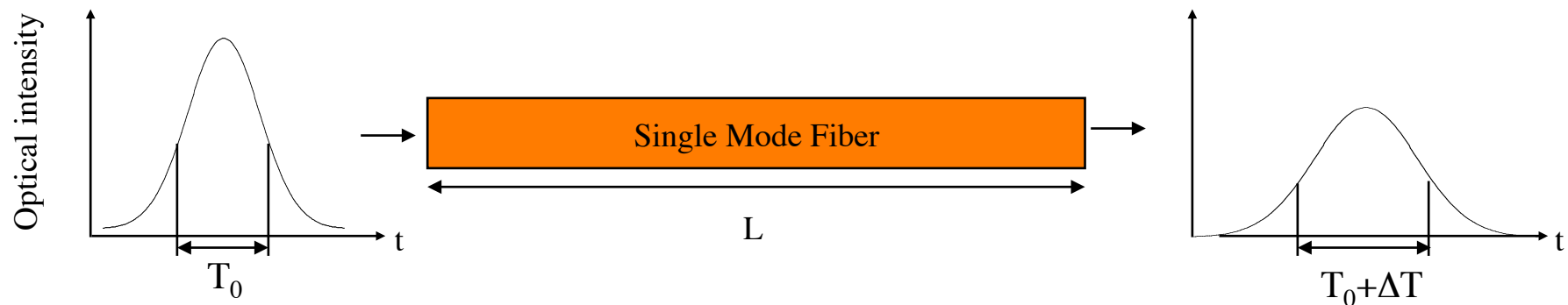
$$A(z, \omega) = A(0, \omega) \cdot e^{-j \left( \frac{\beta_2}{2} \omega^2 + \frac{\beta_3}{2} \omega^3 \right) z}$$

- ⇒ This equation is obtained after some mathematical manipulation that “extracts” the absolute group delay
- ⇒ The coefficient  $\beta_2$  and  $\beta_3$  are evaluated on the pulse central frequency/wavelength  $\omega_0$



# Group Velocity Dispersion (GVD)

- ⇒ The previous equation can be exactly solved in some particular cases, among which the most important one is the propagation of a Gaussian pulse



- ⇒ The Gaussian pulse is broadened after propagation of distance  $L$  by the amount:

$$\Delta T = L |\beta_2| \Delta \omega$$

- ⇒ where  $\Delta \omega$  is the spectrum occupied by the pulse
- ⇒  $\alpha v \delta \beta_2$  is the dispersion (material and waveguide) of the fiber

# Refractive Index of Silica Fibers

- ⇒ The index of refraction of bulk silica can be approximated using the Sellmeier equation with experimentally measured parameters.

$$n^2(\lambda) = 1 + \sum_{i=1}^3 \frac{A_i \lambda_i^2}{\lambda^2 - \lambda_i^2}$$

$$A_1 = 0.401040;$$

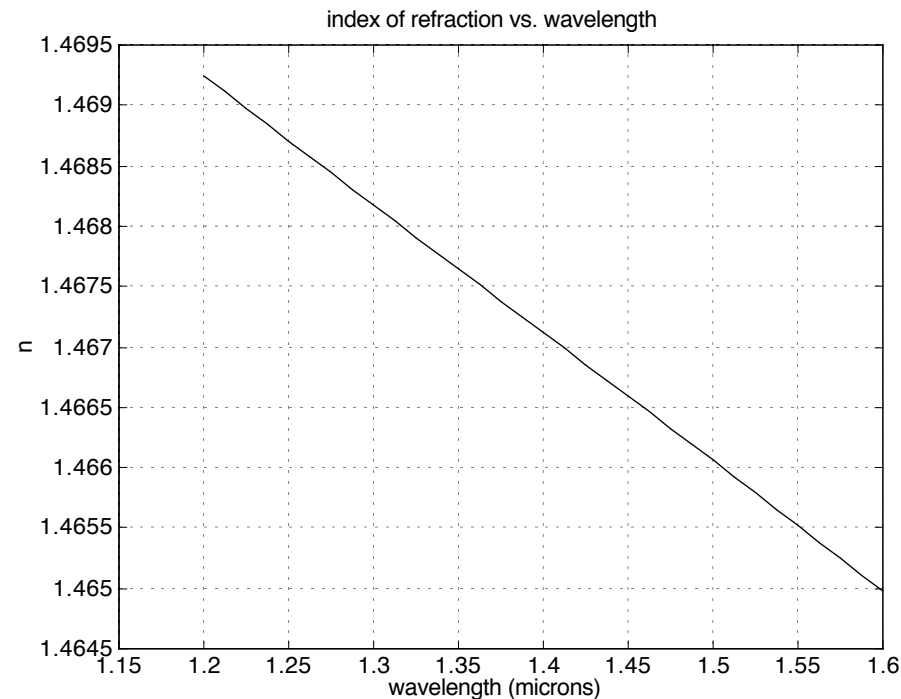
$$\lambda_1 = 0.064270;$$

$$A_2 = 0.521885;$$

$$\lambda_2 = 0.129408;$$

$$A_3 = 0.904048;$$

$$\lambda_3 = 9.425478;$$

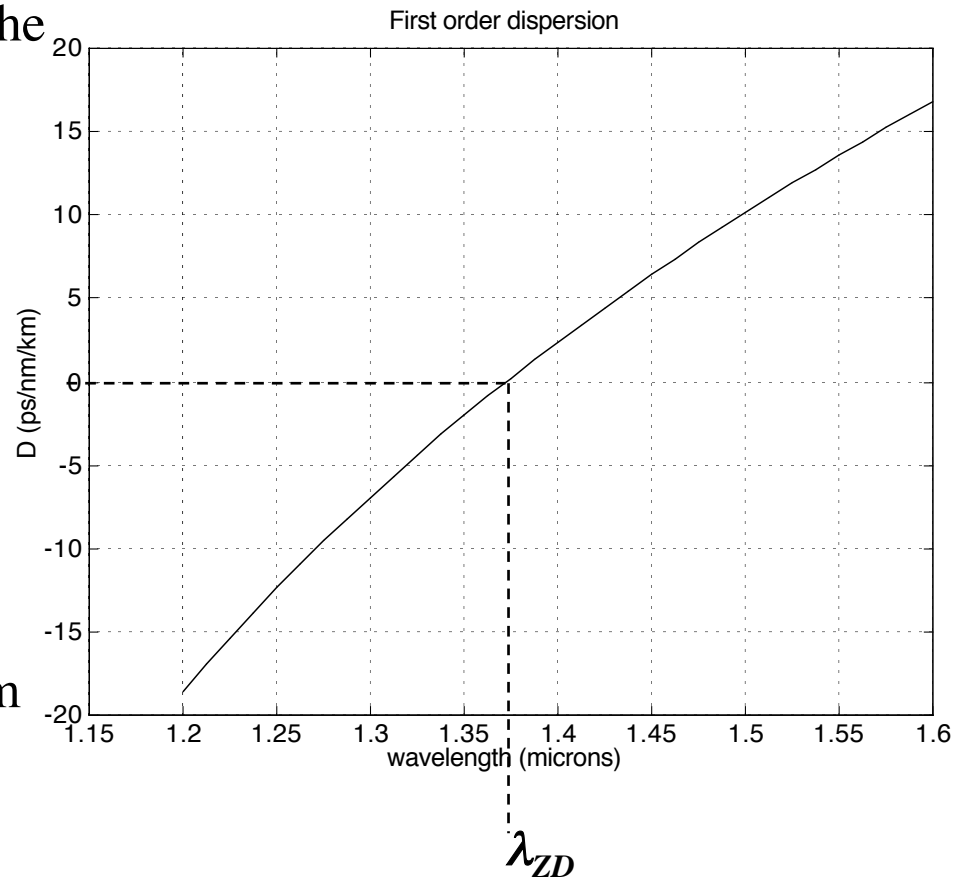


# Material Dispersion Parameter

- ⇒ The material refractive index wavelength dependence impacts the dispersion parameter :

$$D = \frac{d}{d\lambda} \left( \frac{1}{v_g} \right) \approx - \frac{\lambda}{c} \frac{\partial n^2(\lambda)}{\partial \lambda^2}$$

- ⇒ The material “zero dispersion wavelength” is typically 1350 nm



# Group-Velocity Dispersion

- ⇒ The index of the mode is dependent on the wavelength (i.e. the fiber is dispersive).
- ⇒ Two components: material dispersion and waveguide dispersion.
- ⇒ These contribute to phase index.
- ⇒ The group index is given by

$$n_g = n + \omega \frac{\partial n}{\partial \omega}$$

$$D = -\frac{2\pi c}{\lambda^2} \frac{d^2 \beta}{d\omega^2} = -\frac{2\pi c}{\lambda^2} \beta_2$$

Units are ps/  
(km·nm)

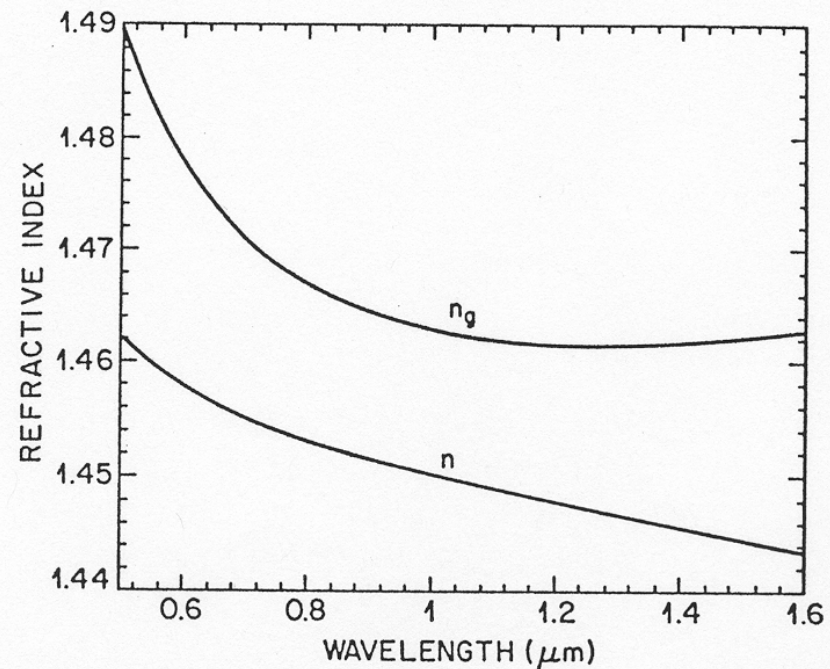


Figure 2.8: Variation of refractive index  $n$  and group index  $n_g$  with wavelength for fused silica.

# Dispersion parameters: $\beta_2$ and $D$

- ⇒  $\beta_2$  is called the “group velocity dispersion” GVD parameter
  - ⇒ It is expressed in units of ps<sup>2</sup>/km
- ⇒ From a mathematical point of view, it is easier to handle equations dealing with  $\beta_2$  and optical frequency
- ⇒ It is also convenient to specify dispersion in terms of optical wavelength
- ⇒ The “D” parameter is

$$D = \frac{d}{d\lambda} \left( \frac{1}{v_g} \right) \approx -\frac{\lambda}{c} \frac{\partial n^2(\lambda)}{\partial \lambda^2}$$

- ⇒  $D$  is called the “Dispersion parameter”, and it is expressed in units of ps/nm-km

# The Dispersion Parameter $D$

⇒ The relation between the two parameters is given by:

$$D = -2\pi C/\lambda^2 \beta_2 \text{ [ps/nm-km]}$$

⇒ Physical meaning: given two wavelengths separated by  $\Delta\lambda$ , their different group velocities give rise to a (group) delay between the two components given by

$$\Delta_{delay} = D L \Delta\lambda$$

⇒ The gaussian pulse spread, in terms of  $D$ , is given by:

$$\Delta T = L |D| \Delta\lambda$$

where  $\Delta\lambda$  is the spectral width of the gaussian pulse

# Frequency Dependence of Dispersion



- ⇒ The frequency dependence of  $\beta(\omega)$  is determined by the following physical effects:
  - ⇒ Material dispersion
    - ⇒ The index of refraction of the *bulk* material depends on frequency
  - ⇒ Waveguide dispersion
    - ⇒ Even for an ideal material with constant index of refraction, the solution of the Maxwell equation for a single mode propagating into a fiber gives a frequency-dependent  $\beta(\omega)$
    - ⇒ This waveguide effects depends on the profile of the index of refraction of the fiber
- ⇒ The actual  $\beta(\omega)$  is thus a combination of the two effects

# General Dispersion Formula

- ➔ If we take into account more realistic source and fiber effects †
  - ➔ we include  $\beta_3$
  - ➔ source with a generic spectral width  $\sigma_\omega$

$$\frac{\sigma(z)}{\sigma_0} = \left[ \left( 1 + \frac{C\beta_2 z}{2\sigma_0^2} \right)^2 + (1 + V^2) \left( \frac{\beta_2 z}{2\sigma_0^2} \right)^2 + (1 + C^2 + V^2)^2 \frac{1}{2} \left( \frac{\beta_3 z}{4\sigma_0^3} \right)^2 \right]^{\frac{1}{2}}$$

Where  $V = 2\sigma_0\sigma_\omega$

- ⇒ This formula can be used to derive dispersion limits in several different transmission scenarios

†D. Marcuse, Applied Optics, Vol. 19, p. 1653, 1980 and Vol. 20, p. 3573, 1981.