

UNIVERSITY OF CALIFORNIA, SANTA BARBARA

Department of Electrical and Computer Engineering

ECE 277B

Fall 2007

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**Homework Assignment #5**

**(Due on Wednesday 11/28/2007)**

**Problem # 1.** In class we defined linear separability for the two-category case and explored some possible ways to extend it to the multiple-category case.

- “Total linear separability” (TLS): each training subset  $\mathcal{X}_i$  is linearly separable from the remainder of the training set  $\mathcal{X} - \mathcal{X}_i$ .
- “Pairwise linear separability” (PLS): each pair of subsets  $(\mathcal{X}_i, \mathcal{X}_j)$  is linearly separable.
- “Official linear separability” (OLS): there exists a set of linear discriminant functions  $g_i(\mathbf{x}) = \mathbf{a}_i^t \mathbf{x} + b_i, i = 1, \dots, c$  such that  $\mathbf{x} \in \mathcal{X}_i$  iff  $g_i(\mathbf{x}) > g_j(\mathbf{x}), \forall j \neq i$ .

Establish the relative strength of these definitions by verifying the logical relations between them, i.e., determine whether or not “TLS  $\Rightarrow$  OLS”, “OLS  $\Rightarrow$  TLS”, “PLS  $\Rightarrow$  TLS”, etc., are true. *Justify!*

**Problem # 2.** We design linear classifiers for feature vectors whose components are linearly dependent, i.e., there exist a vector  $\alpha$  and scalar  $\beta$  such that

$$\alpha^T \mathbf{x} + \beta = \alpha_1 x_1 + \dots + \alpha_l x_l + \beta = 0.$$

- a) It is tempting to design a simpler classifier by reducing the dimensionality. Specifically, we wish to discard one of the components of  $\mathbf{x}$ . Can this be done without risk of performance degradation? (Either prove such a claim, or disprove it by a counter example.)
- b) If  $\mathcal{X}$  is a training set of  $N$  vectors in  $\mathcal{R}^l$  satisfying the linear relation  $\alpha^T \mathbf{x} + \beta = 0$ , but otherwise in general position, then how many linear dichotomies of  $\mathcal{X}$  are there?

**Problem # 3.** More on linear separability.

- a) Let  $\mathcal{X}$  be a set of labeled feature vectors, which is *not* linearly separable. We consider an arbitrary linear classifier  $a$  and divide  $\mathcal{X}$  into two subsets:  $\mathcal{X}_c$  is the subset of vectors that were correctly classified, and  $\mathcal{X} - \mathcal{X}_c$  is the subset of misclassified vectors. For each of the subsets determine if it is linearly separable, not linearly separable, or could be either depending on the specific example.
- b) Given two linearly separable sets (i.e., each is linearly separable), is their intersection necessarily linearly separable? How about their union?
- c) Let  $\mathcal{Y}$  be a linearly separable set with  $\mathcal{Y}_1$  and  $\mathcal{Y}_2$  its subsets corresponding to the two labels. We create new set  $\mathcal{Z}_1$  that is populated by various weighted averages of sample vectors from  $\mathcal{Y}_1$ , i.e., vector  $\mathbf{z}$  may be included in  $\mathcal{Z}_1$  if

$$\mathbf{z} = \sum_{\mathbf{y}_i \in \mathcal{Y}_1} \alpha_i \mathbf{y}_i,$$

for some choice of parameters  $\alpha_i \geq 0$  such that  $\sum \alpha_i = 1$ . All the elements of  $\mathcal{Z}_1$  are of course labeled with category 1. Similarly we construct a set  $\mathcal{Z}_2$  populated by arbitrary weighted averages of elements of  $\mathcal{Y}_2$ . The question is whether  $\mathcal{Z} = \mathcal{Z}_1 \cup \mathcal{Z}_2$  is guaranteed to be linearly separable.