
ECE 2C, notes set 12: Sampling and Analog-Digital Conversion

Mark Rodwell

University of California, Santa Barbara

Goals of this note set

Temporal and frequency relationships

involved with

conversion from continuous - time to sampled - time signals

and

analog - digital conversion

Sampling: Turning Signals into Numbers

We want to store a music file on our computer:

The music is 1000 seconds (16 minutes, 40 seconds) long.

Let us approximate our range of hearing as DC - 20kHz

(good ears : \sim 20Hz - 20kHz).

How many #s must we store on our hard drive ?

Remember the Fourier Sine/Cosine Series:

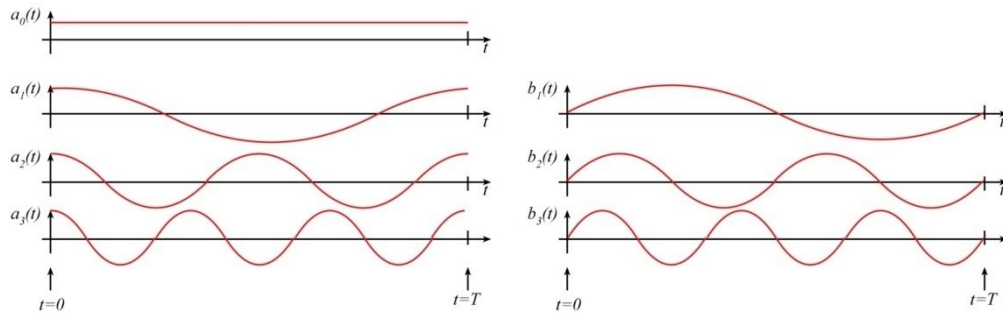
$$V(t) = a_0 + a_1\sqrt{2} \cdot \cos\left(1 \cdot \frac{2\pi}{T} \cdot t\right) + a_2\sqrt{2} \cdot \cos\left(2 \cdot \frac{2\pi}{T} \cdot t\right) + a_3\sqrt{2} \cdot \cos\left(3 \cdot \frac{2\pi}{T} \cdot t\right) + \dots$$

$$\dots + b_1\sqrt{2} \cdot \sin\left(1 \cdot \frac{2\pi}{T} \cdot t\right) + b_2\sqrt{2} \cdot \sin\left(2 \cdot \frac{2\pi}{T} \cdot t\right) + b_3\sqrt{2} \cdot \sin\left(3 \cdot \frac{2\pi}{T} \cdot t\right) + \dots$$

$$a_0 = \frac{1}{T} \int_0^T V(t) dt$$

$$a_n = \frac{1}{T} \int_0^T V(t) \sqrt{2} \cdot \cos\left(n \cdot \frac{2\pi}{T} \cdot t\right) dt$$

$$b_n = \frac{1}{T} \int_0^T V(t) \sqrt{2} \cdot \sin\left(n \cdot \frac{2\pi}{T} \cdot t\right) dt$$



Our waveform is 10^3 seconds long.

Our signal : sine waves at $0\text{Hz}, 1 \cdot 10^{-3}\text{Hz}, 2 \cdot 10^{-3}\text{Hz}, \dots, 20\text{kHz}$

Our signal : cosine waves at $0\text{Hz}, 1 \cdot 10^{-3}\text{Hz}, 2 \cdot 10^{-3}\text{Hz}, \dots, 20\text{kHz}$

→ We need $(20\text{kHz}/10^{-3}\text{Hz}) = 2 \cdot 10^7$ sinusoidal coefficients (a_n)

→ We also need $2 \cdot 10^7$ cosinusoidal coefficients (b_n)

We need $4 \cdot 10^7$ numbers (coefficients) to describe our music file.

Nyquist's Sampling Theorem: 1st viewpoint

If we have a signal of time duration T .

If its bandwidth is limited between DC and f_{high} .

Then we need $N_{sample} = 2f_{high}T$ numbers (measurements) to describe the signal

- So -

If we have a signal

whose bandwidth is limited between DC and f_{high} .

Then we need $f_{sample} = 2f_{high}$ samples per unit time to describe the signal

For a DC - 20 kHz signal, we must sample at 40KHz; samples every $25\mu s$.

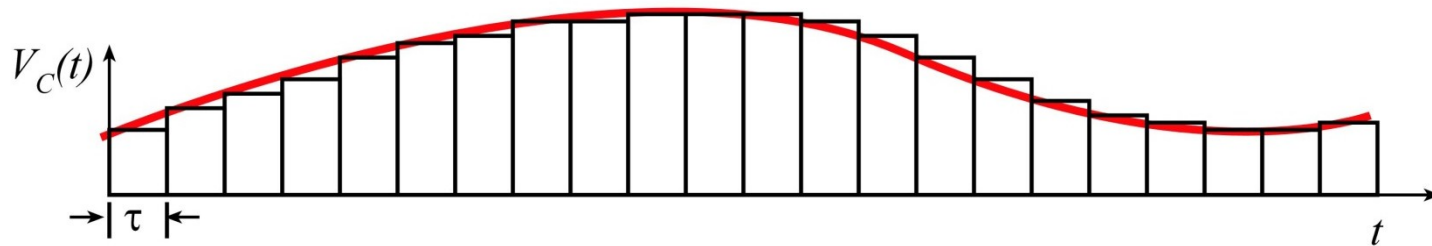
Nyquist's theorem proven by counting Fourier components.

Sampling in the time domain.

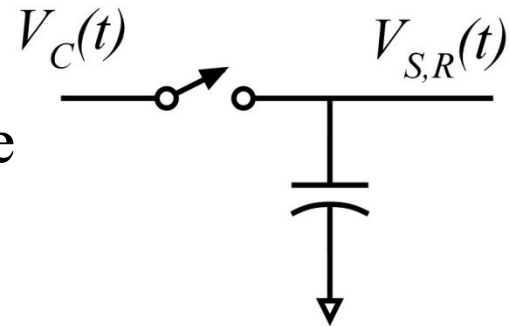
We start with some analog continuous - time signal $V_C(t)$



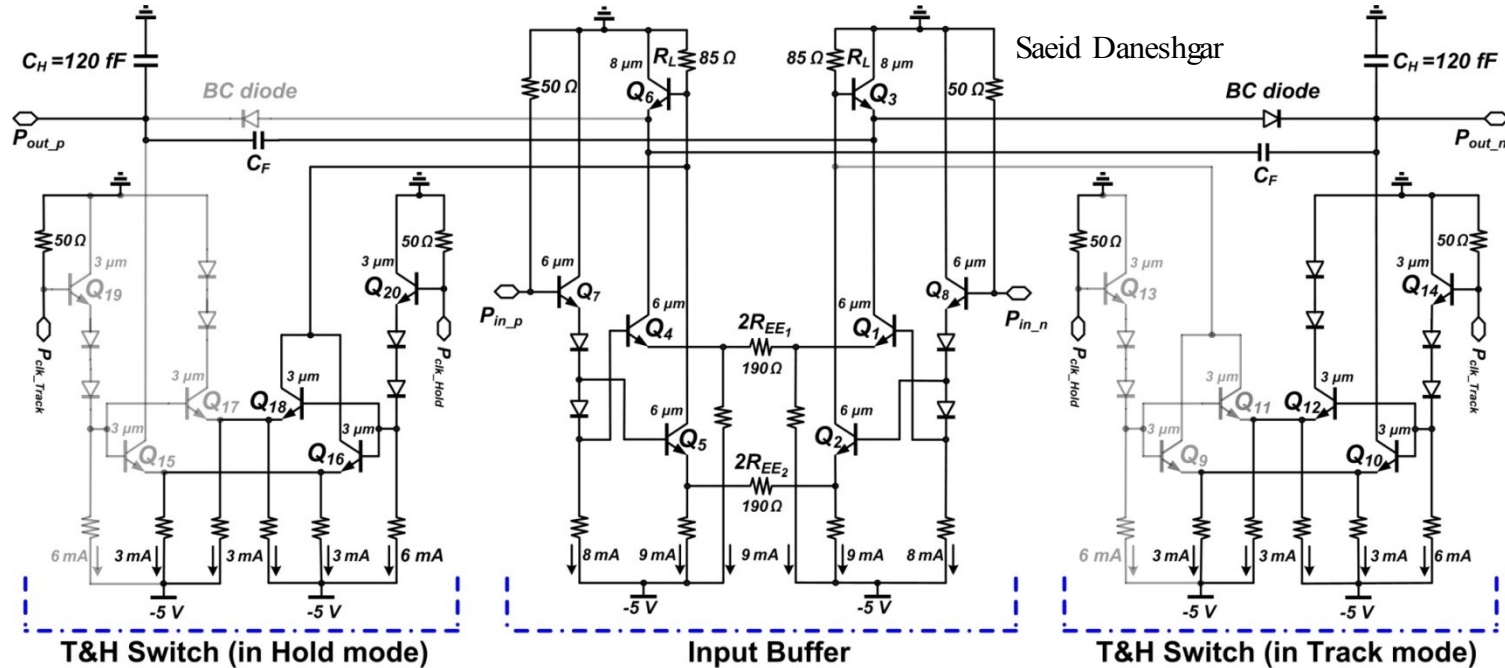
We then sample it with a sample - hold gate, producing signal $V_{S,R}(t)$



This is an idealized sample - hold gate



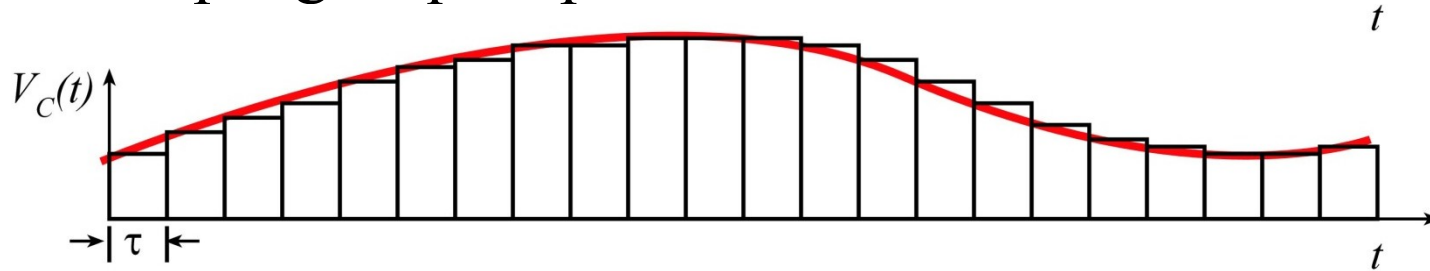
This is a Real Sample-and-Hold Gate



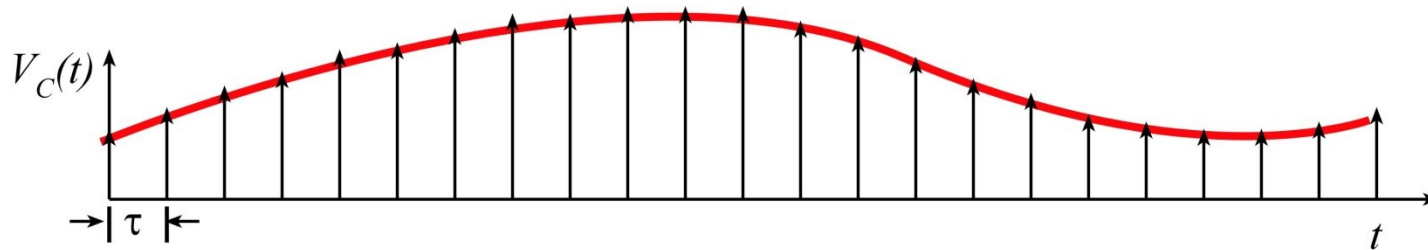
To learn about this: senior - level IC design sequence

Real vs Ideal Sampling (1)

Real Sampling : square pulses



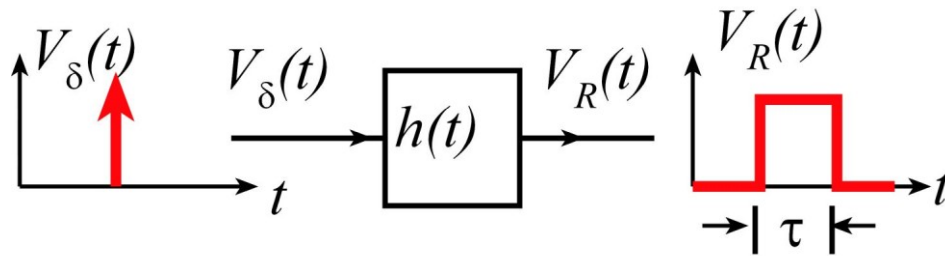
Ideal Sampling : impulses (makes the math easier)



How do we relate these?

Real vs Ideal Sampling (2)

Suppose we have a filter $h(t)$,
whose impulse response is a square pulse of duration τ .



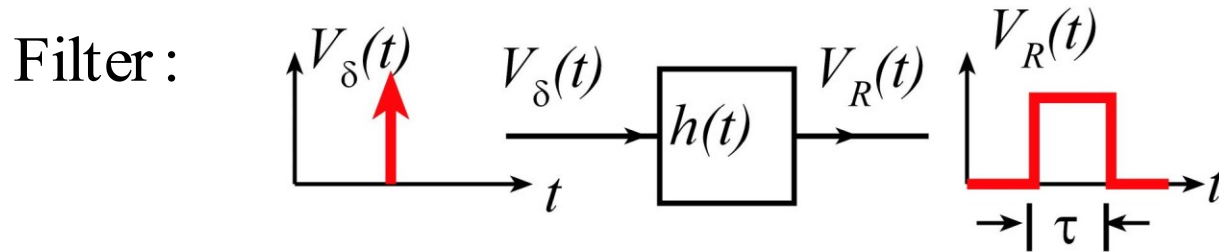
Impulse response: $h(t) = \frac{1}{\tau} \text{rect}(t / \tau)$

Transfer Function :

$$H(j\omega) = \int_{-\infty}^{+\infty} h(t) \exp(-j\omega t) dt = \frac{1}{\tau} \int_{-\tau/2}^{+\tau/2} \exp(-j\omega t) dt = \frac{1}{-j\omega\tau} \exp(-j\omega t) \Big|_{-\tau/2}^{+\tau/2}$$

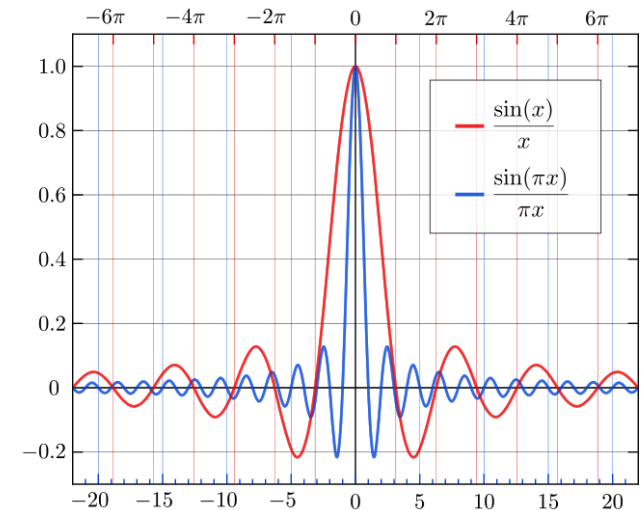
$$H(j\omega) = \frac{1}{j\omega\tau} \left(e^{+j\omega\tau/2} - e^{-j\omega\tau/2} \right) = \frac{\sin(\omega\tau/2)}{(\omega\tau/2)}$$

Real vs Ideal Sampling (3)



Impulse response: $h(t) = \frac{1}{\tau} \text{rect}(t / \tau)$

Transfer Function : $H(j\omega) = \frac{\sin(\omega\tau / 2)}{(\omega\tau / 2)}$

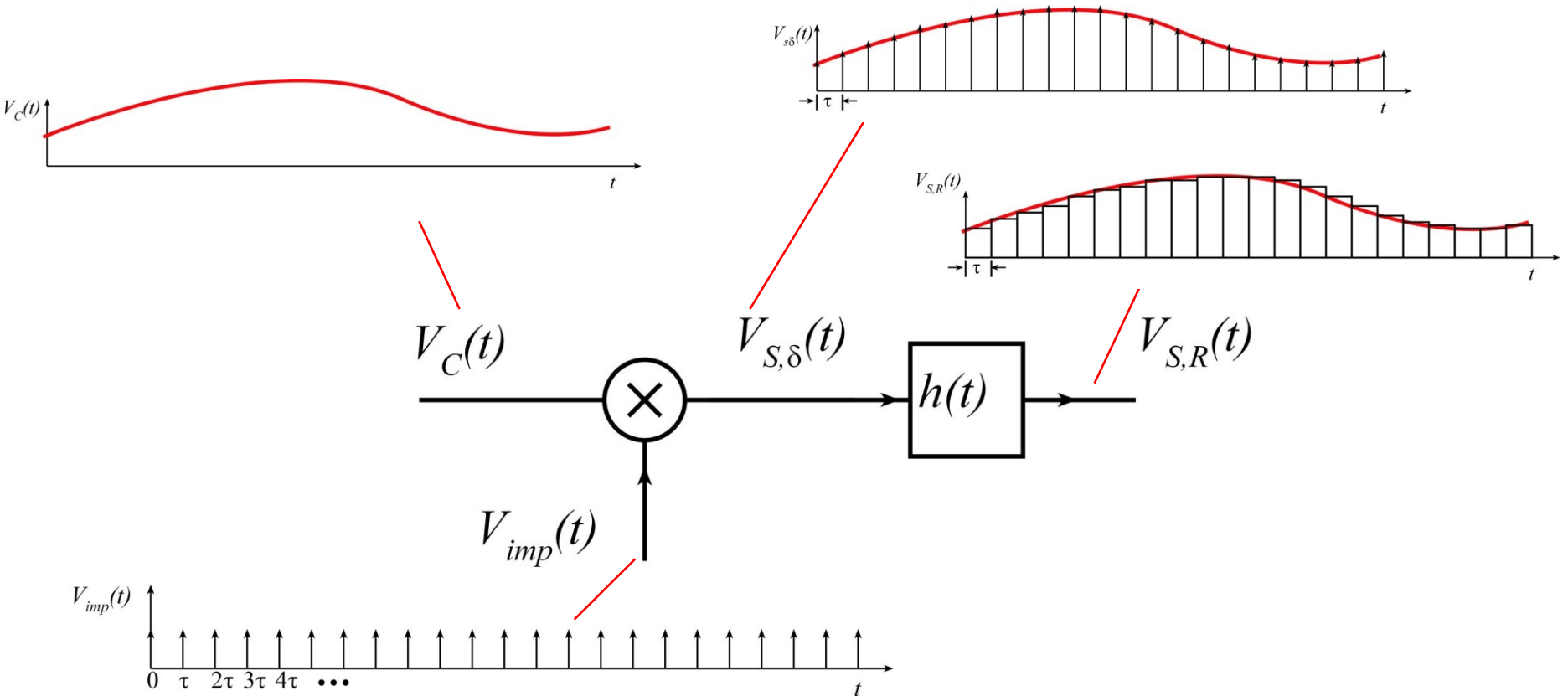


http://en.wikipedia.org/wiki/File:Si_sinc.svg

Filter converts impulses into square pulses.

Filter has frequency response $\frac{\sin(\omega\tau / 2)}{(\omega\tau / 2)}$

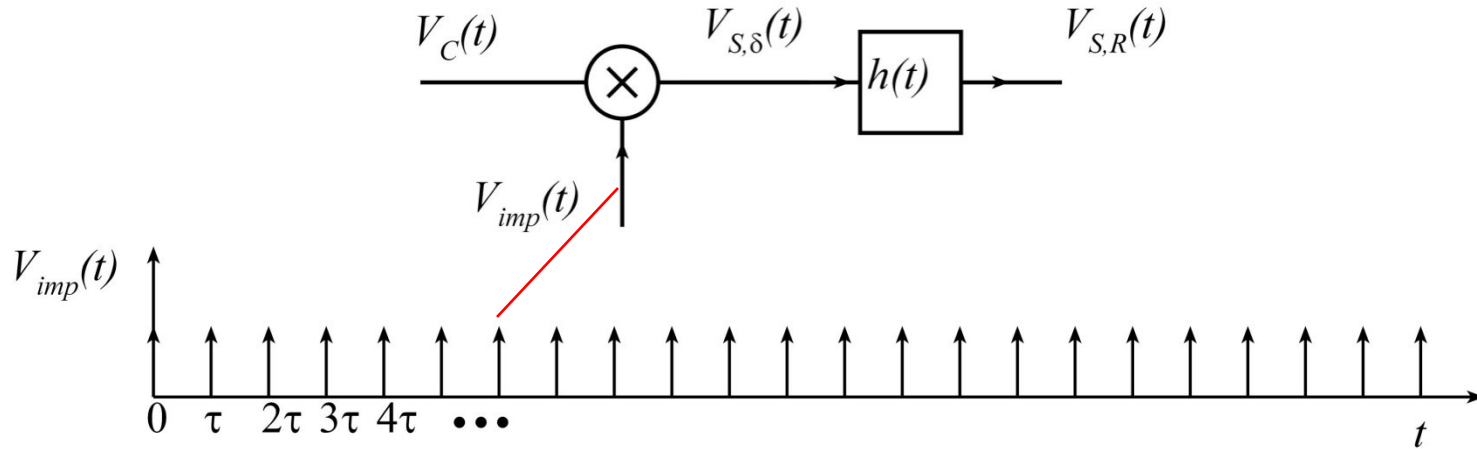
Real vs Ideal Sampling (4)



We first multiply signal against sequence of impulses : $v_{S,\delta}(t) = v_C(t) \cdot v_{imp}(t) / V_0$

We then filter the sampled signal : $V_{S,R}(j\omega) = V_{S,\delta}(j\omega) \cdot H(j\omega)$

Spectrum of the impulse train (1)



$$v_{imp}(t) = V_0\tau \cdot \delta(t) + V_0\tau \cdot \delta(t - \tau) + V_0\tau \cdot \delta(t - 2\tau) + \dots = V_0\tau \sum_{K=-\infty}^{K=+\infty} \delta(t - K\tau)$$

Because $v_{imp}(t)$ is periodic with period τ , we can write this as a Fourier series :

$$v_{imp}(t) = \sum_{n=-\infty}^{n=+\infty} c_n e^{jn\omega_0 t} \quad \text{where } \omega_0 = 2\pi / \tau$$

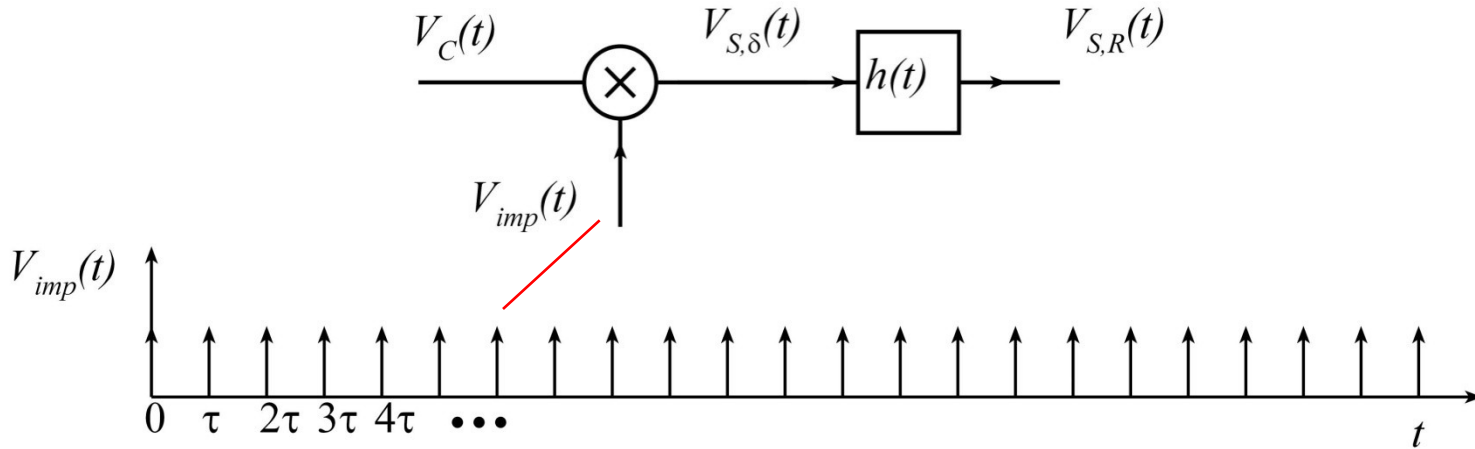
where

$$c_n = \frac{1}{\tau} \int_{-\tau/2}^{\tau/2} v_{imp}(t) e^{-jn\omega_0 t} dt = \frac{1}{\tau} \int_{-\tau/2}^{\tau/2} V_0\tau \cdot \delta(t) e^{-jn\omega_0 t} dt = \frac{V_0\tau}{\tau} e^{-jn\omega_0(0)} = V_0$$

So :

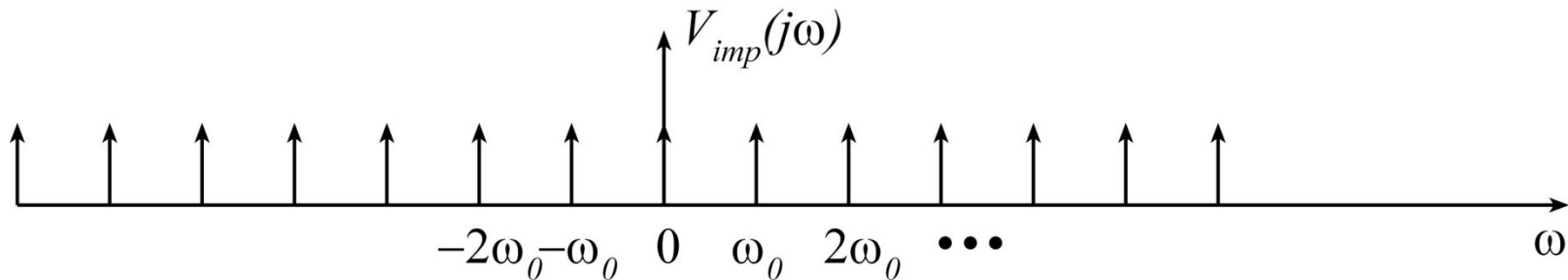
$$v_{imp}(t) = V_0 \sum_{n=-\infty}^{n=+\infty} e^{jn\omega_0 t} = \dots + V_0 e^{-j2\omega_0 t} + V_0 e^{-j\omega_0 t} + V_0 + V_0 e^{+j\omega_0 t} + V_0 e^{+j2\omega_0 t} \dots$$

Spectrum of the impulse train (2)

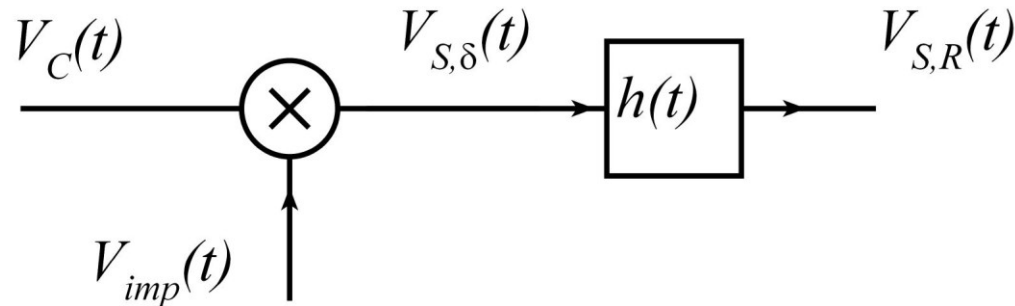


$$\begin{aligned}
 v_{imp}(t) &= V_0\tau \cdot \delta(t) + V_0\tau \cdot \delta(t - \tau) + V_0\tau \cdot \delta(t - 2\tau) + \dots \\
 &= \dots + V_0e^{-j2\omega_0 t} + V_0e^{-j\omega_0 t} + V_0 + V_0e^{+j\omega_0 t} + V_0e^{+j2\omega_0 t} \dots
 \end{aligned}$$

This is a spectrum with tones at $\dots, -3\omega_0, -2\omega_0, -\omega_0, DC, \omega_0, 2\omega_0, 3\omega_0, \dots$



Spectrum of the Sampled Signal (1)



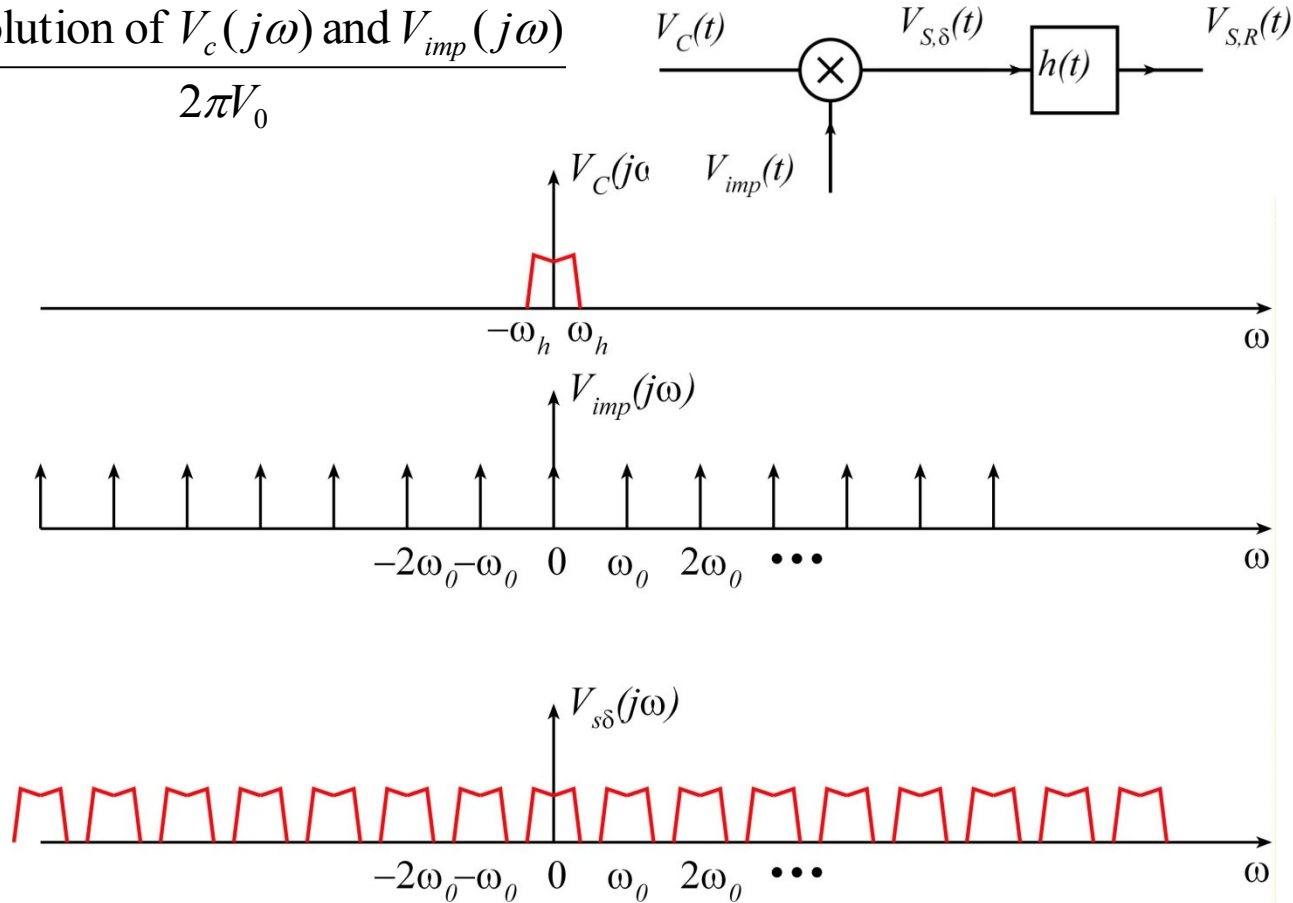
The Fourier transform of the sampled signal is therefore the convolution of the spectrum of the input and spectrum of the impulse train

$$v_{S,\delta}(t) = v_C(t) \cdot v_{imp}(t) / V_0$$

$$\begin{aligned} V_{S,\delta}(j\omega) &= \frac{V_c(j\omega) * V_{imp}(j\omega)}{2\pi V_0} = \frac{\text{convolution of } V_c(j\omega) \text{ and } V_{imp}(j\omega)}{2\pi V_0} \\ &= \frac{1}{2\pi V_0} \int_{-\infty}^{\infty} V_c(j\omega') \cdot V_{imp}(j\omega - j\omega') d(j\omega') \end{aligned}$$

Spectrum of the Sampled Signal (2)

$$V_{S,\delta}(j\omega) = \frac{\text{convolution of } V_c(j\omega) \text{ and } V_{imp}(j\omega)}{2\pi V_0}$$

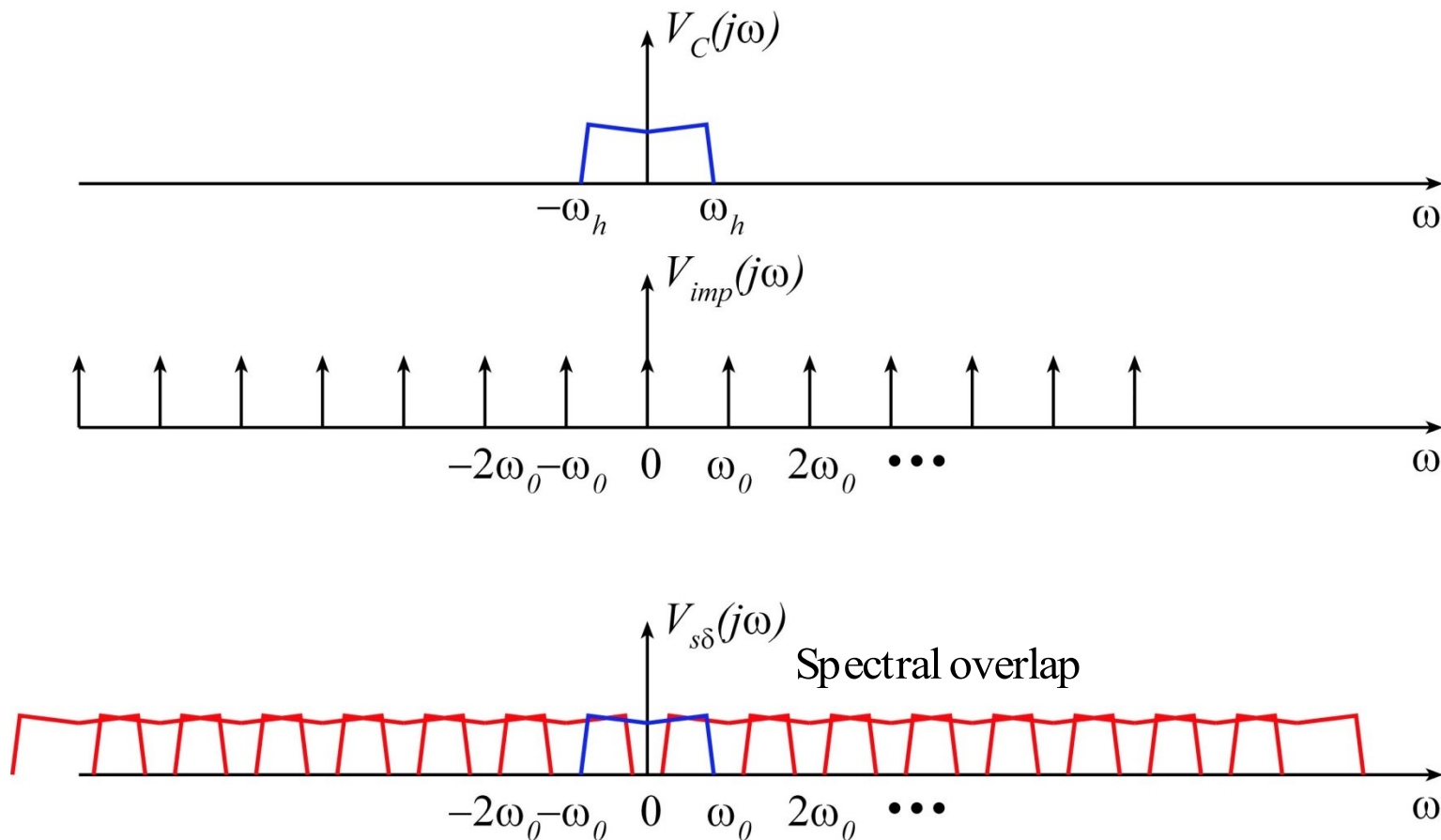


The signal $V_C(t)$ must have bandwidth ω_H less than $\omega_0 / 2$ if the spectra are not to overlap

$\omega_0 = \omega_{sample} > 2 \omega_{high}$ or $f_{sample} > 2 f_{high}$ if the spectra are not to overlap.

This is, again, the Nyquist sampling criterion

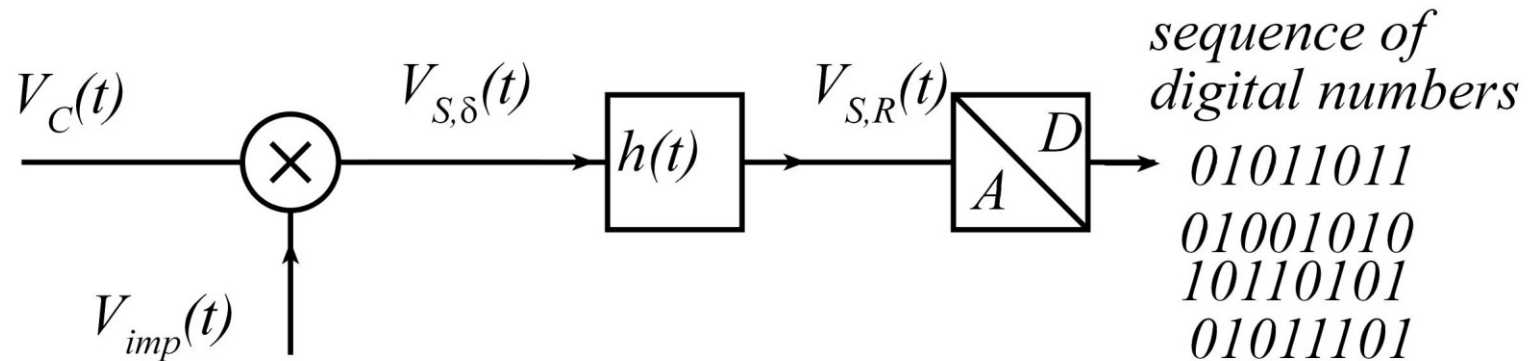
Spectral Overlap (Aliasing) if sampling is too slow



Must have $f_{sample} > 2 f_{high}$ if the spectra are not to overlap.

This is, again, the Nyquist sampling criterion

Analog-Digital Conversion



Sampling is then followed by analog - digital conversion.

This generates an N - bit binary representation of each sample.

More bits : greater precision

