**Part V**

**Some Broad Topic**

| Part I: Fundamental Concepts | Background and Motivation | 1. Introduction to Parallelism  |
|                             | Complexity and Models      | 2. A Taste of Parallel Algorithms |
|                             |                           | 3. Parallel Algorithm Complexity |
|                             |                           | 4. Models of Parallel Processing |

| Part II: Extreme Models     | Abstract View of Shared Memory | 5. PRAM and Basic Algorithms |
|                             |                              | 7. Sorting and Selection Networks |
|                             |                              | 8. Other Circuit-Level Examples |

| Part III: Mesh-Based Architectures | Data Movement on 2D Arrays | 9. Sorting on a 2D Mesh or Torus |
|                                    | Mesh Algorithms and Variants | 10. Routing on a 2D Mesh or Torus |
|                                    |                              | 11. Numerical 2D Mesh Algorithms |
|                                    |                              | 12. Other Mesh-Related Architectures |

| Part IV: Low-Diameter Architectures | The Hypercube Architecture | 13. Hypercubes and Their Algorithms |
|                                    | Hypercubic and Other Networks | 14. Sorting and Routing on Hypercubes |
|                                    |                              | 15. Other Hypercubic Architectures |
|                                    |                              | 16. A Sampler of Other Networks |

| Part V: Some Broad Topics         | Coordination and Data Access | 17. Emulation and Scheduling |
|                                    | Robustness and Ease of Use   | 18. Data Storage, Input, and Output |
|                                    |                              | 19. Reliable Parallel Processing |
|                                    |                              | 20. System and Software Issues |

| Part VI: Implementation Aspects  | Control-Parallel Systems     | 21. Shared-Memory MIMD Machines |
|                                    | Data Parallelism and Conclusion | 22. Message-Passing MIMD Machines |
|                                    |                              | 23. Data-Parallel SIMD Machines |
|                                    |                              | 24. Past, Present, and Future |
About This Presentation

This presentation is intended to support the use of the textbook *Introduction to Parallel Processing: Algorithms and Architectures* (Plenum Press, 1999, ISBN 0-306-45970-1). It was prepared by the author in connection with teaching the graduate-level course ECE 254B: Advanced Computer Architecture: Parallel Processing, at the University of California, Santa Barbara. Instructors can use these slides in classroom teaching and for other educational purposes. Any other use is strictly prohibited. © Behrooz Parhami

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* Only chs. 17-18
V Some Broad Topics

Study topics that cut across all architectural classes:
- Mapping computations onto processors (scheduling)
- Ensuring that I/O can keep up with other subsystems
- Storage, system, software, and reliability issues

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<td>Chapter 18  Data Storage, Input, and Output</td>
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<td>Chapter 19  Reliable Parallel Processing</td>
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17 Emulation and Scheduling

Mapping an architecture or task system onto an architecture
• Learn how to achieve algorithm portability via emulation
• Become familiar with task scheduling in parallel systems

Topics in This Chapter

| 17.1 | Emulations Among Architectures |
| 17.2 | Distributed Shared Memory     |
| 17.3 | The Task Scheduling Problem   |
| 17.4 | A Class of Scheduling Algorithms |
| 17.5 | Some Useful Bounds for Scheduling |
| 17.6 | Load Balancing and Dataflow Systems |
17.1 Emulations Among Architectures

Usefulness of emulation:

a. Develop algorithms quickly for a new architecture
b. Program on a user-friendly architecture, then emulate it
c. Show versatility of a new architecture by emulating the hypercube on it

Two general emulation results:

1. Emulation via graph embedding
   Slowdown $\leq$ dilation $\times$ congestion $\times$ load factor
   Example: $K_2$ emulating $K_p$
   In general, the effects are not multiplicative

2. PRAM emulating a degree-$d$ network
   EREW PRAM can emulate any degree-$d$ network with slowdown $O(d)$
Versatility of the Butterfly Network

A (wrapped) butterfly can emulate any degree-$d$ network with $O(d \log p)$ slowdown.

Thus, butterfly is a bounded-degree network that is universally efficient.

Idea used in proof:
One communication step in a degree-$d$ network can be decomposed into at most $d$ permutation routing steps.

Fig. 17.1 Converting a routing step in a degree-3 network to three permutations or perfect matchings.
17.2 Distributed Shared Memory

Randomized emulation of the $p$-processor PRAM on $p$-node butterfly

Use hash function to map memory locations to modules $p$ locations $\rightarrow p$ modules, not necessarily distinct

With high probability, at most $O(\log p)$ of the $p$ locations will be in modules located in the same row

Average slowdown $= O(\log p)$

Fig. 17.2 Butterfly distributed-memory machine emulating the PRAM.
PRAM Emulation with Butterfly MIN

Emulation of the \( p \)-processor PRAM on \( (p \log p) \)-node butterfly, with memory modules and processors connected to the two sides; \( O(\log p) \) avg. slowdown

Less efficient than Fig. 17.2, which uses a smaller butterfly

By using \( p/(\log p) \) physical processors to emulate the \( p \)-processor PRAM, this new emulation scheme becomes quite efficient (pipeline the memory accesses of the \( \log p \) virtual processors assigned to each physical processor)

Fig. 17.3  Distributed-memory machine, with a butterfly multistage interconnection network, emulating the PRAM.
Deterministic Shared-Memory Emulation

Deterministic emulation of \( p \)-processor PRAM on \( p \)-node butterfly

Store \( \log_2 m \) copies of each of the \( m \) memory location contents

Time-stamp each updated value

A “write” is complete once a majority of copies are updated

A “read” is satisfied when a majority of copies are accessed and the one with latest time stamp is used

Why it works: A few congested links won’t delay the operation
PRAM Emulation Using Information Dispersal

Instead of \((\log m)\)-fold replication of data, divide each data element into \(k\) pieces and encode the pieces using a redundancy factor of 3, so that any \(k/3\) pieces suffice for reconstructing the original data.

Fig. 17.4 Illustrating the information dispersal approach to PRAM emulation with lower data redundancy.
17.3 The Task Scheduling Problem

Task scheduling parameters and “goodness” criteria
Running times for tasks
Creation (static/dynamic)
Importance (priority)
Relationships (precedence)
Start times (release times)
End times (deadlines)

Types of scheduling algorithms
Preemptive/nonpreemptive,
fine/medium/coarse grain

Fig. 17.5 Example task system showing communications or dependencies.
Job-Shop Scheduling

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<th>Task</th>
<th>Machine</th>
<th>Time</th>
<th>Staff</th>
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M1 | M2 | M3 | M4

Time 0 2 4 6 8
Staff 0 2 4 6 8
Schedule Refinement

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M1: Blue  M2: Green  M3: Orange

Switch?
Complexity of Scheduling Problems

Most scheduling problems, even with 2 processors, are NP-complete.

Easy, or tractable (polynomial-time), cases include:
1. Unit-time tasks, with 2 processors
2. Task graphs that are forests, with any number of processors

Surprisingly hard, or intractable, cases include:
1. Tasks of running time 1 or 2, with 2 processors (nonpreemptive)
2. Unit-time tasks on 3 or more processors

Many practical scheduling problems are solved by heuristics

Heuristics typically have decision parameters that can be tuned to make them suitable for a particular application context.

The scheduling literature is full of different heuristics and experimental studies of their performance in different domains.
17.4 A Class of Scheduling Algorithms

List scheduling
Assign a priority level to each task
Construct task list in priority order; tag tasks that are ready for execution
At each step, assign to an available processor the first tagged task
Update the tags upon each task termination

With identical processors, list schedulers differ only in priority assignment

A possible priority assignment scheme for list scheduling:
1. Find the depth $T_\infty$ of the task graph (indicator of min possible exec time)
2. Take $T_\infty$ as a goal for the running time $T_p$
3. Determine the latest time when each task can be started if our goal is to be met (done by “layering” the nodes, beginning with the output node)
4. Assign task priorities in order of the latest possible times, breaking ties, e.g., by giving priority to tasks with more descendants
List Scheduling Example

A possible priority assignment scheme:
1. Find the depth $T_\infty$ of the task graph
2. Take $T_\infty$ as a goal for the running time $T_p$
3. Determine the latest possible start times
4. Assign priorities in order of latest times

$T_\infty = 8$ (execution time goal)
Latest start times: see the layered diagram
Priorities: shown on the diagram in red

In this particular example, the tie-breaking rule of giving priority to a task with more descendants is of no help, but generally it leads to improvement in execution time
Assignment to Processors

Tasks listed in priority order

1*  2   3   4   6   5   7   8   9   10  11  12  13  t = 1  v₁ scheduled
2*  3   4   6   5   7   8   9   10  11  12  13  t = 2  v₂ scheduled

P₁

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Fig. 17.6 Schedules with p = 1, 2, 3 processors for an example task graph with unit-time tasks.
Scheduling with Non-Unit-Time Tasks

Fig. 17.7 Example task system with task running times of 1, 2, or 3 units.

Fig. 17.8 Schedules with $p = 1, 2, 3$ processors for an example task graph with nonuniform running times.
17.5 Some Useful Bounds for Scheduling

Brent’s scheduling theorem: \( T_p < T_\infty + \frac{T_1}{p} \)

In other words, one can always schedule a task graph so that the total running time does not exceed the best possible \((T_1/p)\) by more than the depth of the task graph \((T_\infty)\)

Lower bound on speedup based on Brent’s scheduling theorem:

\[
S > \frac{T_1}{(T_\infty + \frac{T_1}{p})} = \frac{p}{1 + pT_\infty / T_1}
\]

[Compare to Amdahl’s law]

A large \(T_\infty / T_1\) ratio indicates much sequential dependency (Amdahl’s \(f\)
Proof of Brent’s Theorem: $T_p < T_\infty + T_1/p$

First assume the availability of an unlimited number of processors and schedule each node at the earliest possible time.

Let there be $n_t$ nodes scheduled at time $t$; Clearly, $\sum_t n_t = T_1$.

With only $p$ processors, tasks scheduled for time step $t$ can be executed in $\left\lceil n_t/p \right\rceil$ steps by running them $p$ at a time. Thus:

\[
T_p \leq \sum_{t=1}^{T_\infty} \left\lceil n_t/p \right\rceil \\
< \sum_{t=1}^{T_\infty} (n_t/p + 1) \\
= T_\infty + (\sum_t n_t)/p \\
= T_\infty + T_1/p
\]
Good-News Corollaries

Brent’s scheduling theorem: \( T_p < T_\infty + T_1/p \)

Corollary 1: For \( p \geq T_1/T_\infty \) we have \( T_\infty \leq T_p < 2T_\infty \)

\[ T_1/p \leq T_\infty \quad \text{For a sufficiently large number \( p \) of processors, we can come within a factor of 2 of the best possible run time, even when we use a naïve scheduling algorithm} \]

Corollary 2: For \( p \leq T_1/T_\infty \) we have \( T_1/p \leq T_p < 2T_1/p \)

\[ T_\infty \leq T_1/p \quad \text{If we do not have too many processors, we can come within a factor of 2 of the best possible speedup, even when we use a naïve scheduling algorithm} \]

Choosing \( p \approx T_1/T_\infty \) leads to \( O(p) \) speedup and near-minimal run time
ABCs of Parallel Processing in One Slide

A Amdahl’s Law (Speedup Formula)
Bad news – Sequential overhead will kill you, because:
Speedup = \frac{T_1}{T_p} \leq \frac{1}{f + \frac{1-f}{p}} \leq \min(1/f, p)
Morale: For f = 0.1, speedup is at best 10, regardless of peak OPS.

B Brent’s Scheduling Theorem
Good news – Optimal scheduling is very difficult, but even a naïve scheduling algorithm can ensure:
\frac{T_1}{p} \leq T_p < \frac{T_1}{p} + T_\infty = (\frac{T_1}{p})[1 + \frac{p}{(T_1/T_\infty)}]
Result: For a reasonably parallel task (large \frac{T_1}{T_\infty}), or for a suitably small p (say, p < \frac{T_1}{T_\infty}), good speedup and efficiency are possible.

C Cost-Effectiveness Adage
Real news – The most cost-effective parallel solution may not be the one with highest peak OPS (communication?), greatest speed-up (at what cost?), or best utilization (hardware busy doing what?).
Analogy: Mass transit might be more cost-effective than private cars even if it is slower and leads to many empty seats.
17.6 Load Balancing and Dataflow Systems

Task running times are not constants
A processor may run out of things to do before others complete their tasks
Some processors may remain idle, waiting to hear about prerequisite tasks
In these cases, a load balancing policy may be applied

**Dynamic load balancing:** Switching unexecuted tasks from overloaded processors to less loaded ones, as we learn about execution times and task interdependencies at run time

Load balancing can be initiated by a lightly loaded or by an overburdened processor (receiver/sender-initiated)
Unfortunately, load balancing may involve significant overhead
The ultimate in automatic load-balancing is a self-scheduling system that tries to keep all processing resources running at maximum efficiency
There may be a central location to which processors refer for work and where they return their results
An idle processor requests that it be assigned new work by the supervisor
This works nicely for tasks with small contexts or relatively long run times
Dataflow Systems

Computation represented by a dataflow graph

Tokens used to keep track of data availability

Once tokens appear on all inputs, node is “fired,” resulting in tokens being removed from its inputs and put on each output

**Static dataflow:** No more than one token on edge

**Dynamic dataflow:** Multiple tagged tokens on edges; “consumed” after matching their tags

Fig. 17.9 Example dataflow graph with token distribution at the outset (left) and after 2 time units (right).
18 Data Storage, Input, and Output

Elaborate on problems of data distribution, caching, and I/O:
- Deal with speed gap between processor and memory
- Learn about parallel input and output technologies

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<td>18.3 Multithreading and Latency Hiding</td>
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<td>18.6 Interfaces and Standards</td>
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</table>
18.1 Data Access Problems and Caching

Processor-memory speed gap is aggravated by parallelism
Centralized memory is slower; distributed memory needs remote accesses

Remedies:
- Judicious data distribution — good with static data sets
- Data caching — introduces coherence problems
- Latency tolerance/hiding — e.g., via multithreading

Fig. 17.8 of Parhami’s Computer Architecture text (2005)
Why Data Caching Works

Hit rate $r$ (fraction of memory accesses satisfied by cache)

$$C_{\text{eff}} = C_{\text{fast}} + (1 - r)C_{\text{slow}}$$

**Cache parameters:**

- Size
- Block length (line width)
- Placement policy
- Replacement policy
- Write policy

Fig. 18.1  Data storage and access in a two-way set-associative cache.
Benefits of Caching Formulated as Amdahl’s Law

Hit rate $r$ (fraction of memory accesses satisfied by cache)

$$C_{\text{eff}} = C_{\text{fast}} + (1 - r)C_{\text{slow}}$$

$$S = \frac{C_{\text{slow}}}{C_{\text{eff}}} = \frac{1}{(1 - r) + \frac{C_{\text{fast}}}{C_{\text{slow}}}}$$

This corresponds to the miss-rate fraction $1 - r$ of accesses being unaffected and the hit-rate fraction $r$ (almost 1) being speeded up by a factor $\frac{C_{\text{slow}}}{C_{\text{fast}}}$

Generalized form of Amdahl’s speedup formula:

$$S = \frac{1}{(f_1/p_1 + f_2/p_2 + \ldots + f_m/p_m)}, \text{ with } f_1 + f_2 + \ldots + f_m = 1$$

In this case, a fraction $1 - r$ is slowed down by a factor $(C_{\text{slow}} + C_{\text{fast}})/C_{\text{slow}}$, and a fraction $r$ is speeded up by a factor $C_{\text{slow}}/C_{\text{fast}}$
18.2 Cache Coherence Protocols

Fig. 18.2 Various types of cached data blocks in a parallel processor with global memory and processor caches.
Example: A Bus-Based Snoopy Protocol

Each transition is labeled with the event that triggers it, followed by the action(s) that must be taken.

**Fig. 18.3** Finite-state control mechanism for a bus-based snoopy cache coherence protocol.
Implementing a Snoopy Protocol

A second tags/state storage unit allows snooping to be done concurrently with normal cache operation.

Getting all the implementation timing and details right is nontrivial.

Fig. 27.7 of Parhami’s Computer Architecture text.
Example: A Directory-Based Protocol

Write miss: Fetch data value, request invalidation, return data value, sharing set = \{c\}

Read miss: Return data value, sharing set = sharing set + \{c\}

Read miss: Fetch data value, return data value, sharing set = sharing set + \{c\}

Write miss: Invalidate, sharing set = \{c\}, return data value

Data write-back:
Sharing set = \{\}

Uncached

Write miss: Return data value, sharing set = \{c\}

Read miss: Return data value, sharing set = \{c\}

Exclusive (read/write)

Shared (read-only)

Fig. 18.4 States and transitions for a directory entry in a directory-based coherence protocol (c denotes the cache sending the message).
Implementing a Directory-Based Protocol

Sharing set implemented as a bit-vector (simple, but not scalable)

When there are many more nodes (caches) than the typical size of a sharing set, a list of sharing units may be maintained in the directory.

The sharing set can be maintained as a distributed doubly linked list (will discuss in Section 18.6 in connection with the SCI standard).
18.3 Multithreading and Latency Hiding

Latency hiding: Provide each processor with useful work to do as it awaits the completion of memory access requests.

Multithreading is one way to implement latency hiding.

Fig. 18.5 The concept of multithreaded parallel computation.
Multithreading on a Single Processor

Here, the motivation is to reduce the performance impact of data dependencies and branch misprediction penalty.

Fig. 24.9 of Parhami’s Computer Architecture text (2005)
18.4 Parallel I/O Technology

Fig. 18.6 Moving-head magnetic disk elements.

Comprehensive info about disk memory: http://www.storageview.com/guide/
Access Time for a Disk

1. Head movement from current position to desired cylinder: **Seek time** (0-10s ms)

2. Disk rotation until the desired sector arrives under the head: **Rotational latency** (0-10s ms)

3. Disk rotation until sector has passed under the head: **Data transfer time** (< 1 ms)

**Data transfer time =** Bytes / Data rate

**Average rotational latency =** 30 000 / rpm (in ms)

**Seek time =** \(a + b(c - 1) + \beta(c - 1)^{1/2}\)

The three components of disk access time. Disks that spin faster have a shorter average and worst-case access time.
Amdahl’s Rules of Thumb for System Balance

The need for high-capacity, high-throughput secondary (disk) memory

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<th>RAM size</th>
<th>Disk I/O rate</th>
<th>Number of disks</th>
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<td>100 EB</td>
<td>100 Million</td>
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</table>

1 RAM byte for each IPS
1 I/O bit per sec for each IPS
100 disk bytes for each RAM byte
Growing Gap Between Disk and CPU Performance

Fig. 20.11  Trends in disk, main memory, and CPU speeds.

From Parhami’s computer architecture textbook, Oxford, 2005
Head-Per-Track Disks

Dedicated track heads eliminate seek time (replace it with activation time for a head)

Multiple sets of head reduce rotational latency

Fig. 18.7 Head-per-track disk concept.
18.5 Redundant Disk Arrays

- High capacity (many disks)
- High reliability (redundant data, back-up disks)
- High bandwidth (parallel accesses)

IBM ESS Model 750
RAID Level 0

**Structure:**
Striped (data broken into blocks & written to separate disks)

**Advantages:**
Spreads I/O load across many channels and drives

**Drawbacks:**
No fault tolerance (data lost with single disk failure)

http://www.acnc.com/
RAID Level 1

Structure:
Each disk replaced by a mirrored pair

Advantages:
Can double the read transaction rate
No rebuild required

Drawbacks:
Overhead is 100%

http://www.acnc.com/
RAID Level 2

Structure:
Data bits are written to separate disks and ECC bits to others

Advantages:
On-the-fly correction
High transfer rates possible (w/ sync)

Drawbacks:
Potentially high redundancy
High entry-level cost

http://www.acnc.com/
**Structure:**
Data striped across several disks, parity provided on another

**Advantages:**
Maintains good throughput even when a disk fails

**Drawbacks:**
Parity disk forms a bottleneck
Complex controller
RAID Level 4

**Structure:**
Independent blocks on multiple disks share a parity disk

**Advantages:**
- Very high read rate
- Low redundancy

**Drawbacks:**
- Low write rate
- Inefficient data rebuild

HTTP://WWW.ACNC.COM/
RAID Level 5

Structure:
Parity and data blocks distributed on multiple disks

Advantages:
Very high read rate
Medium write rate
Low redundancy

Drawbacks:
Complex controller
Difficult rebuild

http://www.acnc.com/
**RAID Level 6**

**Structure:**
RAID Level 5, extended with second parity check scheme

**Advantages:**
Tolerates 2 failures
Protected even during recovery

**Drawbacks:**
More complex controller
Greater overhead

http://www.acnc.com/
RAID Summary

RAID0: Multiple disks for higher data rate; no redundancy

RAID1: Mirrored disks

RAID2: Error-correcting code

RAID3: Bit- or byte-level striping with parity/checksum disk

RAID4: Parity/checksum applied to sectors, not bits or bytes

RAID5: Parity/checksum distributed across several disks

RAID6: Parity and 2nd check distributed across several disks

Fig. 18.8 Alternative data organizations on redundant disk arrays.
RAID Performance Considerations

Parity updates may become a bottleneck, because the parity changes with every write, no matter how small

Computing sector parity for a write operation:

New parity = New data $\oplus$ Old data $\oplus$ Old parity

RAID0: Multiple disks for higher data rate; no redundancy
RAID1: Mirrored disks
RAID2: Error-correcting code
RAID3: Bit- or byte-level striping with parity/checksum disk
RAID4: Parity/checksum applied to sectors, not bits or bytes
RAID5: Parity/checksum distributed across several disks
RAID6: Parity and 2nd check distributed across several disks
RAID Tradeoffs


RAID5 and RAID 6 impose little penalty on read operations

In choosing group size, balance must be struck between the decreasing penalty for small writes vs. increasing penalty for large writes
18.6 Interfaces and Standards

The Scalable Coherent Interface (SCI) standard: Allows the implementation of large-scale cache-coherent parallel systems (see Section 21.6 for a parallel computer based on SCI)

Fig. 18.9 Two categories of data blocks and the structure of the sharing set in the Scalable Coherent Interface.
Other Interface Standards

**High-Performance Parallel Interface (HiPPI) ANSI standard:**
- Allows point-to-point connectivity between two devices (typically a supercomputer and a peripheral)
- Data rate of 0.8 or 1.6 Gb/s over a (copper) cable of 25m or less
- Uses very wide cables with clock rate of only 25 MHz
- Establish, then tear down connections (no multiplexing allowed)
- Packet length ranges from 2 B to 4 GB, up to 1016 B of control info

HiPPI (later versions renamed GSN, or gigabyte system network) is no longer in use and has been superseded by new, even faster standards such as Ultra3 SCSI and Fibre Channel

Modern interfaces tend to have fewer wires with faster clock rates
19 Reliable Parallel Processing

Develop appreciation for reliability issues in parallel systems:
• Learn methods for dealing with reliability problems
• Deal with all abstraction levels: components to systems

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</tbody>
</table>
19.1 Defects, Faults, . . . , Failures

Opportunities for fault tolerance in parallel systems:
- Built-in spares, load redistribution, graceful degradation

Difficulties in achieving fault tolerance:
- Change in structure, bad units disturbing good ones

The multilevel model of dependable computing

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<thead>
<tr>
<th>Abstraction level</th>
<th>Dealing with deviant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Defect / Component</td>
<td>Atomic parts</td>
</tr>
<tr>
<td>Fault / Logic</td>
<td>Signal values or decisions</td>
</tr>
<tr>
<td>Error / Information</td>
<td>Data or internal states</td>
</tr>
<tr>
<td>Malfunction / System</td>
<td>Functional behavior</td>
</tr>
<tr>
<td>Degradation / Service</td>
<td>Performance</td>
</tr>
<tr>
<td>Failure / Result</td>
<td>Outputs or actions</td>
</tr>
</tbody>
</table>

Fig. 19.1 System states and state transitions in our multilevel model.
Many avoidance and tolerance methods are applicable to more than one level, but we deal with them at the level for which they are most suitable, or at which they have been most successfully applied.

Fig. 19.2 An analogy for the multilevel model of dependable computing.

Wall heights represent interlevel latencies

Inlet valves represent avoidance techniques

Concentric reservoirs are analogues of the six model levels (defect is innermost)

Drain valves represent tolerance techniques
19.2 Defect-Level Methods

Defects are caused in two ways (sideways and downward transitions into the defective state):

a. Design slips leading to defective components
b. Component wear and aging, or harsh operating conditions (e.g., interference)

A dormant (ineffective) defect is very hard to detect

Methods for coping with defects during dormancy:
- Periodic maintenance
- Burn-in testing

Goal of defect tolerance methods:
- Improving the manufacturing yield
- Reconfiguration during system operation
Defect Tolerance Schemes for Linear Arrays

Fig. 19.3 A linear array with a spare processor and reconfiguration switches.

Fig. 19.4 A linear array with a spare processor and embedded switching.
Defect Tolerance in 2D Arrays

Assumption: A malfunctioning processor can be bypassed in its row/column by means of a separate switching mechanism (not shown).
A Reconfiguration Scheme for 2D Arrays

Fig. 19.6  A $5 \times 5$ working array salvaged from a $6 \times 6$ redundant mesh through reconfiguration switching.

Fig. 19.7  Seven defective processors and their associated compensation paths.
Limits of Defect Tolerance

No compensation path exists for this faulty node

A set of three defective nodes, one of which cannot be accommodated by the compensation-path method.

**Extension:** We can go beyond the 3-defect limit by providing spare rows on top and bottom and spare columns on either side.
19.3 Fault-Level Methods

Faults are caused in two ways (sideways and downward transitions into the faulty state):

a. Design slips leading to incorrect logic circuits
b. Exercising of defective components, leading to incorrect logic values or decisions

Classified as permanent / intermittent / transient, local / catastrophic, and dormant / active

Faults are detected through testing:

- Off-line (initially, or periodically in test mode)
- On-line or concurrent (self-testing logic)

Goal of fault tolerance methods:

- Allow uninterrupted operation in the presence of faults belonging to a given class (fault model)
Fault Tolerance via Replication

Hardware replication:
- Duplication with comparison
- Pair and spare
- Triplication with voting

These schemes involve high redundancy (100 or 200%)
Lower redundancy possible in some cases: e.g., periodic balanced sorting networks can tolerate certain faults if provided with extra stages

Fig. 19.8 Fault detection or tolerance with replication.
Fault Detection and Bypassing

Fig. 19.9 Regular butterfly and extra-stage butterfly networks.
19.4 Error-Level Methods

Errors are caused in two ways (sideways and downward transitions into the erroneous state):

a. Design slips leading to incorrect initial state
b. Exercising of faulty circuits, leading to deviations in stored values or machine state

Classified as single / multiple, inversion / erasure, random / correlated, and symmetric / asymmetric

Errors are detected through:

- Encoded (redundant) data, plus code checkers
- Reasonableness checks or activity monitoring

Goal of error tolerance methods:

- Allow uninterrupted operation in the presence of errors belonging to a given class (error model)
Application of Coding to Error Control

Ordinary codes can be used for storage and transmission errors; they are not closed under arithmetic/logic operations.

Error-detecting, error-correcting, or combination codes (e.g., Hamming SEC/DED)

Arithmetic codes can help detect (or correct) errors during data manipulations:

1. Product codes (e.g., 15x)
2. Residue codes (x mod 15)

Fig. 19.10 A common way of applying information coding techniques.
Algorithm-Based Error Tolerance

Error coding applied to data structures, rather than at the level of atomic data elements

Example: mod-8 checksums used for matrices

If $Z = X \times Y$ then $Z_f = X_c \times Y_r$

In $M_f$, any single error is correctable and any 3 errors are detectable

Four errors may go undetected

<table>
<thead>
<tr>
<th>Matrix $M$</th>
<th>Row checksum matrix</th>
</tr>
</thead>
<tbody>
<tr>
<td>$M = \begin{pmatrix} 2 &amp; 1 &amp; 6 \ 5 &amp; 3 &amp; 4 \ 3 &amp; 2 &amp; 7 \end{pmatrix}$</td>
<td>$M_r = \begin{pmatrix} 2 &amp; 1 &amp; 6 &amp; 1 \ 5 &amp; 3 &amp; 4 &amp; 4 \ 3 &amp; 2 &amp; 7 &amp; 4 \end{pmatrix}$</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Column checksum matrix</th>
<th>Full checksum matrix</th>
</tr>
</thead>
<tbody>
<tr>
<td>$M_c = \begin{pmatrix} 2 &amp; 1 &amp; 6 \ 5 &amp; 3 &amp; 4 \ 3 &amp; 2 &amp; 7 \end{pmatrix}$</td>
<td>$M_f = \begin{pmatrix} 2 &amp; 1 &amp; 6 &amp; 1 \ 5 &amp; 3 &amp; 4 &amp; 4 \ 3 &amp; 2 &amp; 7 &amp; 4 \ 2 &amp; 6 &amp; 1 &amp; 1 \end{pmatrix}$</td>
</tr>
</tbody>
</table>
19.5 Malfunction-Level Methods

Malfunctions are caused in two ways (sideways and downward transitions into the malfunctioning state):

a. Design slips leading to incorrect modules
b. Propagation of errors to outside the module boundary, leading to incorrect interactions

Module or subsystem malfunctions are sometimes referred to as system-level “faults”

Malfunctions are identified through diagnosis:

- Begin with a trusted fault-free core, and expand
- Process diagnostic data from multiple sources

Goal of malfunction tolerance methods:

- Allow uninterrupted (possibly degraded) operation in the presence of certain expected malfunctions
Malfunction Diagnosis in Parallel Systems

In a $p$-processor system in which processors can test each other, the diagnosis information can be viewed as a $p \times p$ matrix of test outcomes ($D_{ij}$ represents the assessment of process $i$ regarding processor $j$)

Assume that a healthy processor can reliably indicate the status of another processor, but that a malfunctioning processor cannot be trusted.

The given matrix $D$ is consistent with two conclusions:

1. Only $P_3$ is malfunctioning
2. Only $P_3$ is healthy

$D = \begin{bmatrix}
  x & x & 1 & 0 & 1 \\
  x & x & 1 & 0 & x \\
  1 & 1 & x & 0 & x \\
  0 & 0 & 0 & x & 0 \\
  1 & x & x & 0 & x 
\end{bmatrix}$

$P_0$ considers $P_3$ malfunctioning.

$P_4$ considers $P_0$ healthy.

Fig. 19.11  A testing graph and the resulting diagnosis matrix.
Diagnosability Models and Reconfiguration

Problem: Given a diagnosis matrix, identify:

1. All malfunctioning units (complete diagnosability)
2. At least one malfunctioning unit (sequential diagnosability)
3. A subset of processors guaranteed to contain all malfunctioning ones

The last option is useful only if the designated subset is not much larger than the set of malfunctioning modules

When one or more malfunctioning modules have been identified, the system must be reconfigured to allow it to function without the unavailable resources. Reconfiguration may involve:

1. Recovering state info from removed modules or from back-up storage
2. Reassigning tasks and reallocating data
3. Restarting the computation at the point of interruption or from scratch

In bus-based systems, we isolate the bad modules and proceed; otherwise, we need schemes similar to those used for defect tolerance.
Malfunction Tolerance with Low Redundancy

The following scheme uses only one spare processor for a 2D mesh (no increase in node degree), yet it allows system reconfiguration to circumvent any malfunctioning processor, replacing it with the spare via relabeling of the nodes.

Fig. 19.12 Reconfigurable $4 \times 4$ mesh with one spare.
19.6 Degradation-Level Methods

Degradations are caused in two ways (sideways and downward transitions into the degraded state):  

a. Design slips leading to substandard modules  
b. Removal of malfunctioning modules, leading to fewer computational resources

A system that can degrade “gracefully” is *fail-soft*; otherwise it is *fail-hard*

Graceful degradation has two key requirements:
- System: Diagnosis and reconfiguration
- Application: Scalable and robust algorithms

Goal of degradation tolerance methods:
- Allow continued operation while malfunctioning units are repaired (hot-swap capability is a plus)
Fail-Hard and Fail-Soft Systems

Fail-hard system with performance $P_{\text{max}}$ up to the failure time $t_1$ as well as after off-line repair at time $t'_1$. Fail-soft system with gradually degrading performance level and with off-line or on-line repair capability.

Fig. 19.13 Performance variations in three example parallel computers.
Checkpointing for Recovery from Malfunctions

Periodically save partial results and computation state in stable storage. Upon detected malfunction, *roll back* the computation to last checkpoint.

![Diagram showing checkpointing for recovery from malfunctions](image)

**Figure 19.14** Checkpointing, its overhead, and pitfalls.
The Robust Algorithm Approach

Scalable mesh algorithm: Can run on different mesh sizes

Robust mesh algorithm: Can run on incomplete mesh, with its performance degrading gracefully as the number of unavailable nodes increases

Figure 19.15 Two types of incomplete meshes, with and without bypass links.
The End of the Line: System Failure

Failures are caused in two ways (sideways and downward transitions into the failed state):

a. It is hard to believe, but some systems do not work as intended from the outset
b. Degradation beyond an acceptable threshold

It is instructive to skip a level and relate failures of a gracefully degrading system directly to malfunctions. Then, failures can be attributed to:

1. Isolated malfunction of a critical subsystem
2. Catastrophic malfunctions (space-domain)
3. Accumulation of malfunctions (time-domain)
4. Resource exhaustion

Experimental studies have shown that the first two causes of failures are the most common.
# 20 System and Software Issues

Fill void in covering system, software and application topics:
- Introduce interaction and synchronization issues
- Review progress in system and application software

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</table>
20.1 Coordination and Synchronization

Task interdependence is often more complicated than the simple prerequisite structure thus far considered.

Schematic representation of data dependence

Details of dependence

Process A:  Process B:

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Communication latency

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</table>

Time

B waits

Fig. 20.1 Automatic synchronization in message-passing systems.
Synchronization with Shared Memory

Accomplished by accessing specially designated shared control variables

The fetch-and-add instruction constitutes a useful atomic operation

If the current value of $x$ is $c$, fetch-and-add($x$, $a$) returns $c$ to the process and overwrites $x = c$ with the value $c + a$

A second process executing fetch-and-add($x$, $b$) then gets the now current value $c + a$ and modifies it to $c + a + b$

Why atomicity of fetch-and-add is important: With ordinary instructions, the 3 steps of fetch-and-add for $A$ and $B$ may be interleaved as follows:

<table>
<thead>
<tr>
<th>Time step</th>
<th>Process A</th>
<th>Process B</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>read $x$</td>
<td>read $x$</td>
<td>$A$’s accumulator holds $c$</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td>$B$’s accumulator holds $c$</td>
</tr>
<tr>
<td>3</td>
<td>add $a$</td>
<td></td>
<td>$A$’s accumulator holds $c + a$</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>add $b$</td>
<td>$B$’s accumulator holds $c + b$</td>
</tr>
<tr>
<td>5</td>
<td>store $x$</td>
<td>store $x$</td>
<td>$x$ holds $c + a$</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td>$x$ holds $c + b$ (not $c + a + b$)</td>
</tr>
</tbody>
</table>
Barrier Synchronization

Make each processor, in a designated set, wait at a barrier until all other processors have arrived at the corresponding points in their computations.

**Software implementation** via fetch-and-add or similar instruction

**Hardware implementation** via an AND tree (raise flag, check AND result)

A problem with the AND-tree:
If a processor can be randomly delayed between raising its flag and checking the tree output, some processors might cross the barrier and lower their flags before others have noticed the change in the AND tree output.

**Solution:** Use two AND trees for alternating barrier points.

![Diagram](image)

Fig. 20.4 Example of hardware aid for fast barrier synchronization [Hoar96].
Synchronization Overhead

Fig. 20.3 The performance benefit of less frequent synchronization.

Given that AND is a semigroup computation, it is only a small step to generalize it to a more flexible “global combine” operation.

Reduction of synchronization overhead:
1. Providing hardware aid to do it faster
2. Using less frequent synchronizations
20.2 Parallel Programming

Some approaches to the development of parallel programs:

a. Automatic extraction of parallelism
b. Data-parallel programming
c. Shared-variable programming
d. Communicating processes
e. Functional programming

Examples of, and implementation means for, these approaches:

a. Parallelizing compilers
b. Array language extensions, as in HPF
c. Shared-variable languages and language extensions
d. The message-passing interface (MPI) standard
e. Lisp-based languages
Automatic Extraction of Parallelism

An ironic, but common, approach to using parallel computers:
Force naturally parallel computations into sequential molds by coding them in standard languages
Apply the powers of intelligent compilers to determine which of these artificially sequentialized computations can be performed concurrently

Parallelizing compilers extract parallelism from sequential programs, primarily through concurrent execution of loop iterations:

\[
\text{for } i = 2 \text{ to } k \text{ do } \\
\quad \text{for } j = 2 \text{ to } k \text{ do } \\
\quad \quad a_{ij} := (a_{i,j-1} + a_{i,j+1})/2 \\
\quad \text{endfor} \\
\text{endfor}
\]

Various iteration of the \(i\) loop can be executed on a different processor with complete asynchrony due to their complete independence
Data-Parallel Programming

Has its roots in the math-based APL language that had array data types and array operations (binary and reduction operations on arrays)

\[
\begin{align*}
C &\leftarrow A + B \quad \{ \text{array add} \} \\
x &\leftarrow +/ V \quad \{ \text{reduction} \} \\
U &\leftarrow +/ V \times W \quad \{ \text{inner product} \}
\end{align*}
\]

APL’s powerful operators allowed the composition of very complicated computations in a few lines (a write-only language?)

Fortran-90 (superset of Fortran-77) had extensions for array operations

\[
\begin{align*}
A &= \text{SQRT}(A) + B \; ** \; 2 \quad \{ A \; \text{and} \; B \; \text{are} \; \text{arrays} \} \\
\text{WHERE} \; (B /= 0) \; A &= A / B
\end{align*}
\]

When run on a distributed-memory machine, some Fortran-90 constructs imply interprocessor communication

\[
\begin{align*}
A &= S/2 \quad \{ \text{assign scalar value to array} \} \\
A(I:J) &= B(J:I:\neg 1) \quad \{ \text{assign a section of} \; B \; \text{to} \; A \} \\
A(P) &= B \quad \{ A(P(I)) = B(I) \; \text{for all} \; I \} \\
S &= \text{SUM}(B) \quad \{ \text{may require gather operation} \}
\end{align*}
\]
Data-Parallel Languages

High Performance Fortran (HPF) extends Fortran-90 by:

- Adding new directives and language constructs
- Imposing some restrictions for efficiency reasons

HPF directives assist the compiler in data distribution, but do not alter the program’s semantics (in Fortran-90, they are interpreted as comments and thus ignored)

!HPF ALIGN A(I) WITH B(I + 2)

Data-parallel extensions have also been implemented for several other programming languages

- C* language introduced in 1987 by TMC
- pC++, based on the popular C++
Other Approaches to Parallel Programming

**Shared-variable programming**
Languages: Concurrent Pascal, Modula-2, Sequent C

**Communicating processes**
Languages: Ada, Occam
Language-independent libraries: MPI standard

**Functional programming**
Based on reduction and evaluation of expressions
There is no concept of storage, assignment, or branching
Results are obtained by applying functions to arguments

One can view a functional programming language as allowing only one assignment of value to each variable, with the assigned value maintained throughout the course of the computation
20.3 Software Portability and Standards

Portable parallel applications that can run on any parallel machine have been elusive thus far.

Program portability requires strict adherence to design and specification standards that provide machine-independent views or logical models.

Programs are developed according to these logical models and are then adapted to specific hardware by automatic tools (e.g., compilers).

HPF is an example of a standard language that, if implemented correctly, should allow programs to be easily ported across platforms.

Two other logical models are: MPI and PVM.
The Message Passing Interface (MPI) Standard

The MPI Forum, a consortium of parallel computer vendors and software development specialists, specified a library of functions that implement the message-passing model of parallel computation.

MPI provides a high-level view of a message-passing environment that can be mapped to various physical systems.

Software implemented using MPI functions can be easily ported among machines that support the MPI model.

**MPI includes functions for:**
- Point-to-point communication (blocking/nonblocking send/receive, …)
- Collective communication (broadcast, gather, scatter, total exchange, …)
- Aggregate computation (barrier, reduction, and scan or parallel prefix)
- Group management (group construction, destruction, inquiry, …)
- Communicator specification (inter-/intracommunicator construction, destruction, …)
- Virtual topology specification (various topology definitions, …)
The Parallel Virtual Machine (PVM) Standard

Software platform for developing and running parallel applications on a set of independent heterogeneous computers, variously interconnected.

PVM defines a suite of user-interface primitives that support both the shared-memory and the message-passing programming paradigms.

These primitives provide functions similar to those of MPI and are embedded within a procedural host language (usually Fortran or C).

A support process or daemon (PVMD) runs independently on each host, performing message routing and control functions.

PVMDs perform the following functions:
- Exchange network configuration information
- Allocate memory to in-transit packets
- Coordinate task execution on associated hosts

The available pool of processors may change dynamically.
Names can be associated with groups or processes.
Group membership can change dynamically.
One process can belong to many groups.
Group-oriented functions (bcast, barrier) take group names as arguments.
20.4 Parallel Operating Systems

Classes of parallel processors:
Back-end, front-end, stand-alone

**Back-end system:** Host computer has a standard OS, and manages the parallel processor essentially like a coprocessor or I/O device.

**Front-end system:** Similar to backend system, except that the parallel processor handles its own data (e.g., an array processor doing radar signal processing) and relies on the host computer for certain postprocessing functions, diagnostic testing, and interface with users.

**Stand-alone system:** A special OS is included that can run on one, several, or all of the processors in a floating or distributed (master-slave or symmetric) fashion.

Most parallel OSs are based on Unix.
The Mach Operating System

Mach is based on Unix and has many similarities with it.

To make a compact, modular kernel possible, Mach incorporates a small set of basic abstractions:

a. Task: A “container” for resources like virtual address space and ports
b. Thread: A program with little context; a task may contain many threads
c. Port: A communication channel along with certain access rights
d. Message: A basic unit of information exchange
e. Memory object: A “handle” to part of a task’s virtual memory
Some Features of The Mach OS

Unlike Unix, whose memory consists of contiguous areas, Mach’s virtual address space contains pages with separate protection and inheritance.

Messages in Mach are communicated via ports.

Messages are typed according to their data and can be sent over a port only if the sending/receiving thread has the appropriate access rights.

For efficiency, messages involving a large amount of data do not actually carry the data; instead a pointer to the actual data pages is transmitted.

Copying of the data to the receiver’s pages occurs only upon data access.

Mach scheduler assigns to each thread a time quantum upon starting its execution. When the time quantum expires, a context switch is made to a thread with highest priority, if such a thread is awaiting execution.

To avoid starvation of low-priority threads (and to favor interactive tasks over computation-intensive ones), priorities are reduced based on “age”; the more CPU time a thread uses, the lower its priority becomes.
20.5 Parallel File Systems

A parallel file system efficiently maps data access requests by the processors to high-bandwidth data transfers between primary and secondary memories.

To avoid a performance bottleneck, a parallel file system must be a highly parallel and scalable program that can deal with many access scenarios:

a. Concurrent file access by independent processes
b. Shared access to files by cooperating processes
c. Access to large data sets by a single process
20.6 Hardware/Software Interaction

A parallel application should be executable, with little or no modification, on a variety of hardware platforms that differ in architecture and scale.

Changeover from an 8-processor to 16-processor configuration, say, should not require modification in the system or application programs.

Ideally, upgrading should be done by simply plugging in new processors, along with interconnects, and rebooting.

**Scalability in time:** Introduction of faster processors and interconnects leads to an increase in system performance with little or no redesign (difficult at present but may become possible in future via the adoption of implementation and interfacing standards).

**Scalability in space:** Computational power can be increased by simply plugging in more processors (many commercially available parallel processors are scalable in space within a range; say 4-256 processors).
Speedup and Amdahl’s Law Revisited

Speedup, with the problem size $n$ explicitly included, is:

$$S(n, p) = \frac{T(n, 1)}{T(n, p)}$$

The total time $p T(n, p)$ spent by the processors can be divided into computation time $C(n, p)$ and overhead time $H(n, p)$:

$$H(n, p) = p T(n, p) - C(n, p)$$

Assuming for simplicity that we have no redundancy:

$$C(n, p) = T(n, 1)$$
$$H(n, p) = p T(n, p) - T(n, 1)$$
$$S(n, p) = p / [1 + H(n, p) / T(n, 1)]$$
$$E(n, p) = S(n, p) / p = 1 / [1 + H(n, p) / T(n, 1)]$$

If the overhead per processor, $H(n, p) / p$, is a fixed fraction $f$ of $T(n, 1)$, speedup and efficiency become:

$$S(n, p) = \frac{p}{(1 + pf)} < \frac{1}{f} \quad \{\text{Alternate form of Amdahl’s law}\}$$
$$E(n, p) = \frac{1}{(1 + pf)}$$
Maintaining a Reasonable Efficiency

Speedup and efficiency formulas

\[ E(n, p) = S(n, p) / p = 1 / (1 + pf) \]

Assume that efficiency is to be kept above 50%, but the arguments that follow apply to any fixed efficiency target

For \( E(n, p) > \frac{1}{2} \) to hold, we need \( pf < 1 \) or \( p < 1/f \)

That is, for a fixed problem size and assuming that the per-processor overhead is a fixed fraction of the single-processor running time, there is a limit to the number of processors that can be used cost-effectively

Going back to our efficiency equation \( E(n, p) = 1 / [1 + H(n, p) / T(n, 1)] \), we note that keeping \( E(n, p) \) above \( \frac{1}{2} \) requires:

\[ T(n, 1) > H(n, p) \]

Generally, the cumulative overhead \( H(n, p) \) goes up with both \( n \) and \( p \), whereas \( T(n, 1) \) only depends on \( n \)
Scaled Speedup and Isoefficiency

The growth in problem size that can counteract the effect of increase in machine size $p$ in order to achieve a fixed efficiency is referred to as the isoefﬁciency function $n(p)$ which can be obtained from:

$$T(n, 1) = H(n, p)$$

Scaled speedup of $p/2$ or more is achievable for suitably large problems.

Because the execution time $T(n, p) = [T(n, 1) + H(n, p)]/p$ grows with problem size for good efficiency, usefulness of scaled speedup is limited.