



Photonic Integrated Circuits and VCSELs for Communication and Sensing

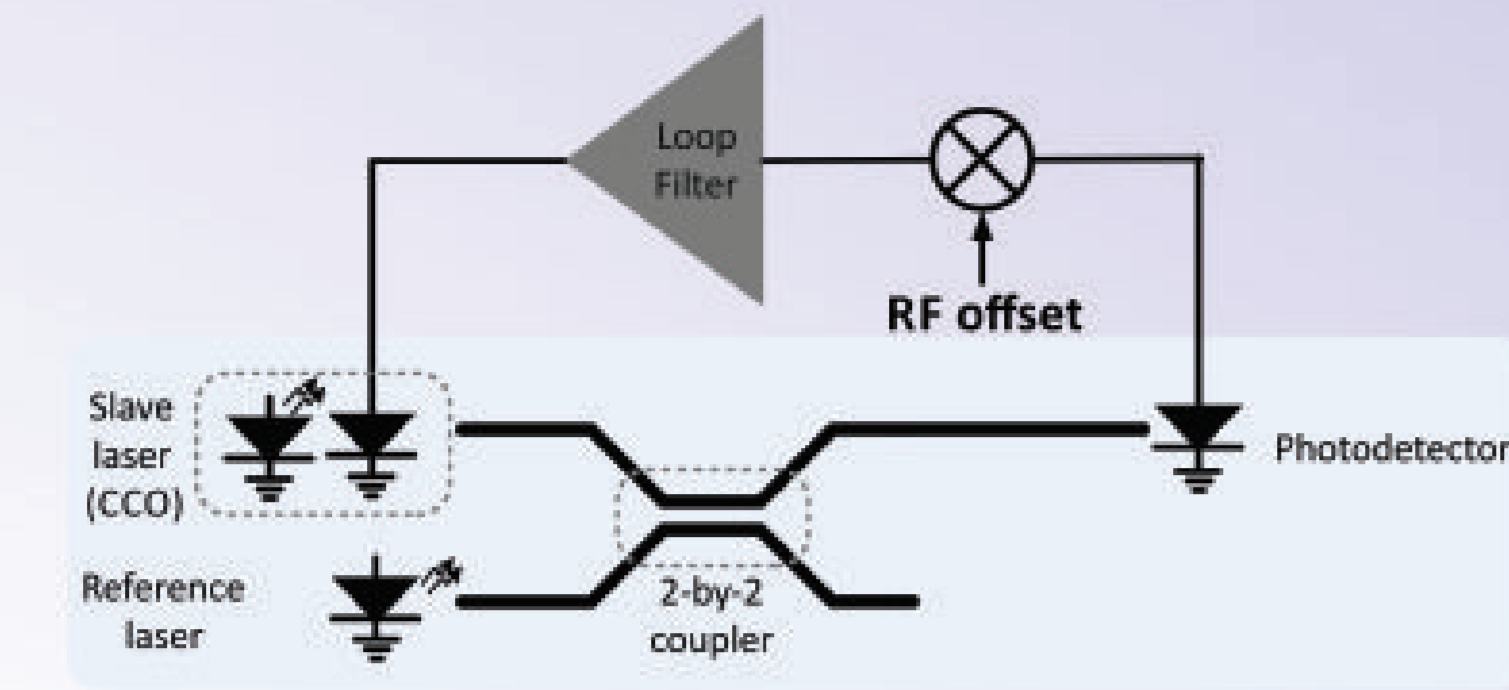
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Optical Frequency Synthesizer Using Heterodyne Optical Phase-locked Loop

Why OPLL?

- Solves laser linewidth problem
- Sub-Hz-level accuracy wavelength synthesis possible
- Homodyne or heterodyne coherent receiver
- Advanced sensing and imaging system



Schematic of heterodyne OPLLs with an electronic mixer at the electronics part to introduce the frequency offset.

Prior OPLLs

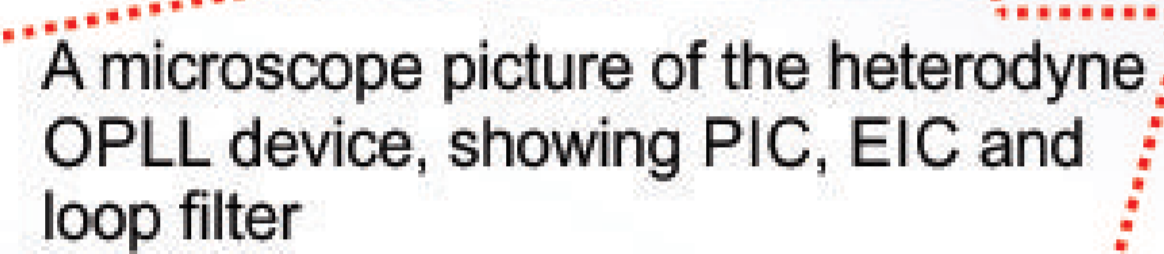
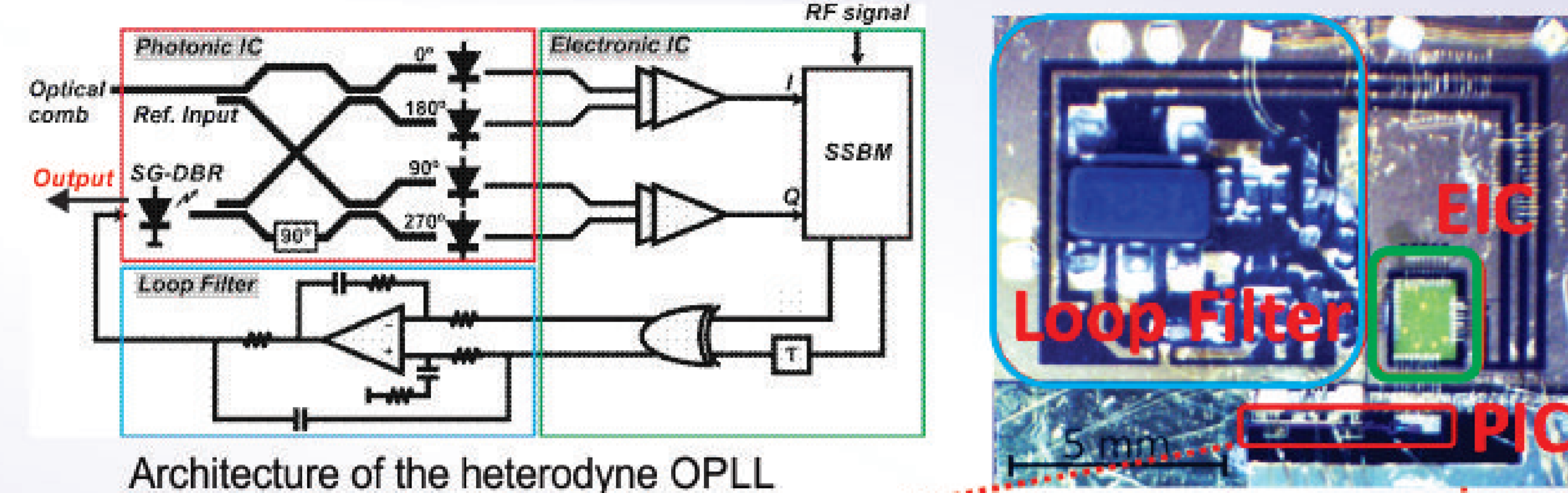
- bulky bench-top systems,
- non-application suited

Photonic integration provides revolutionary systems improvements and simplifications

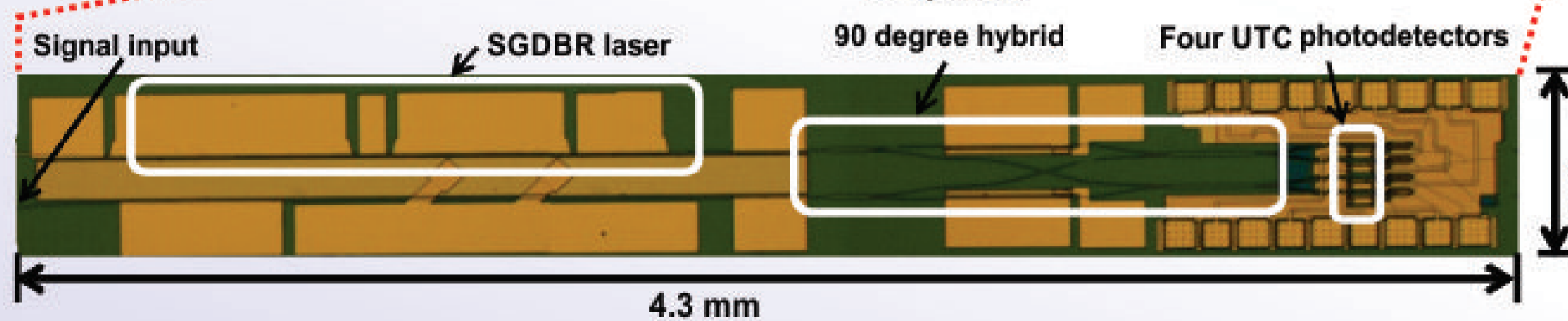
- small footprint
- low cost
- low power consumption
- improved reliability

Potential applications of Optical Frequency Synthesizer (OFS)

- Multiple optical coherent sources
- Coherent sensing, and LIDAR
- Optical instrumentation

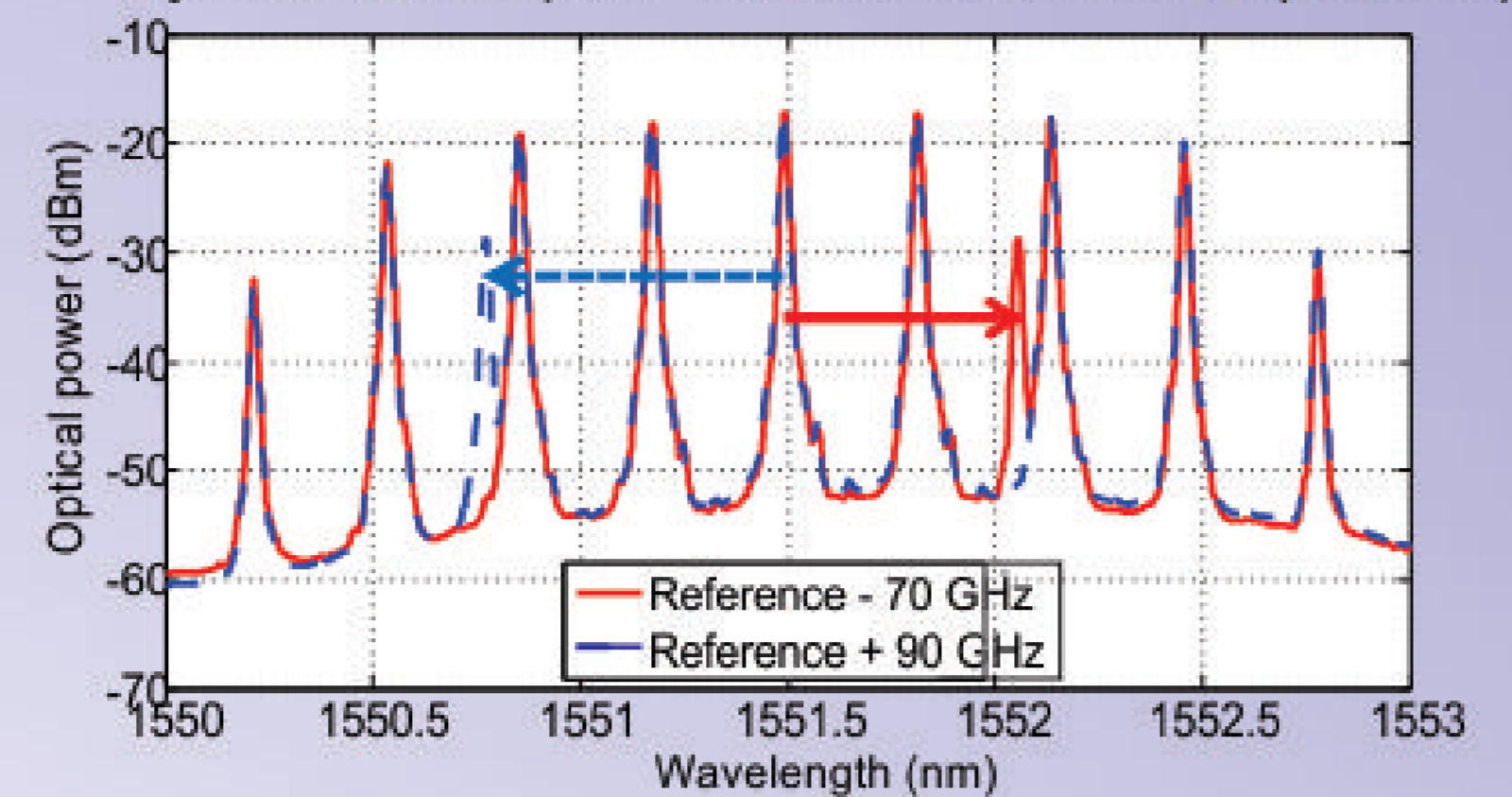


A microscope picture of the heterodyne OPLL device, showing PIC, EIC and loop filter

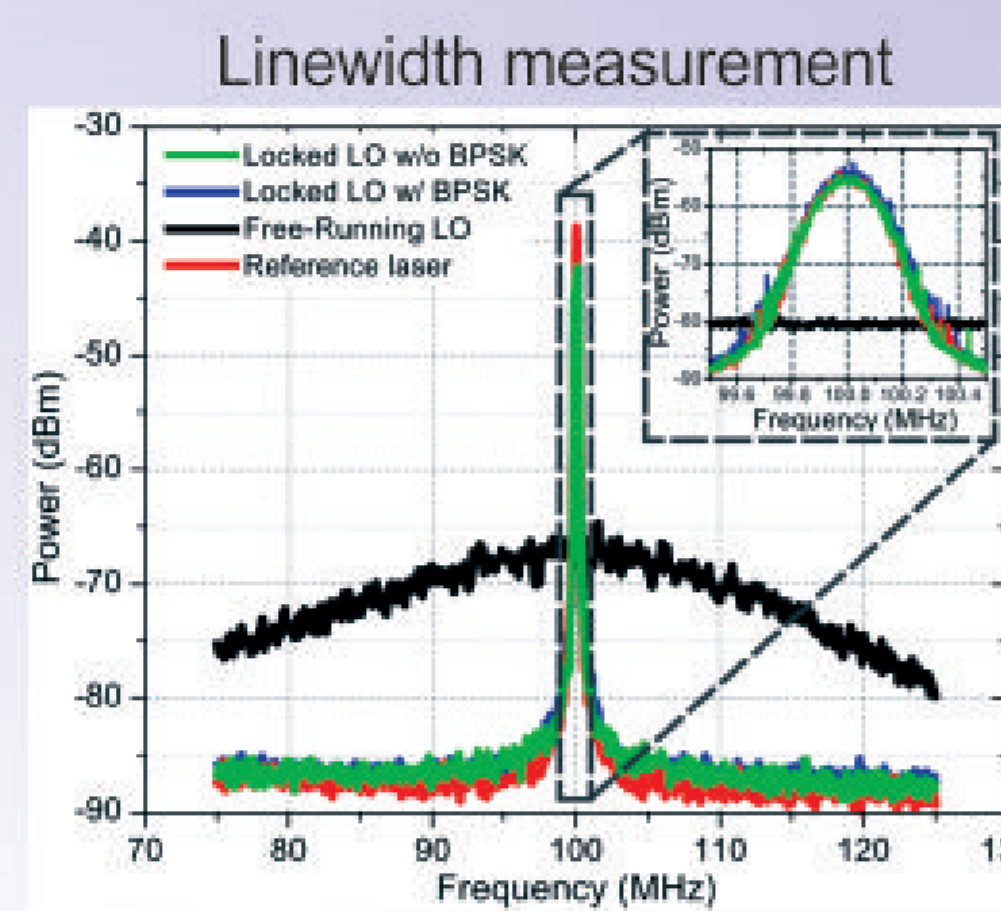


Microscope image of the fabricated PIC used for optical synthesizer

Synthesizer output + reference comb lines (40 GHz)



Output tuned relative to central comb line



Line width of input comb line duplicated

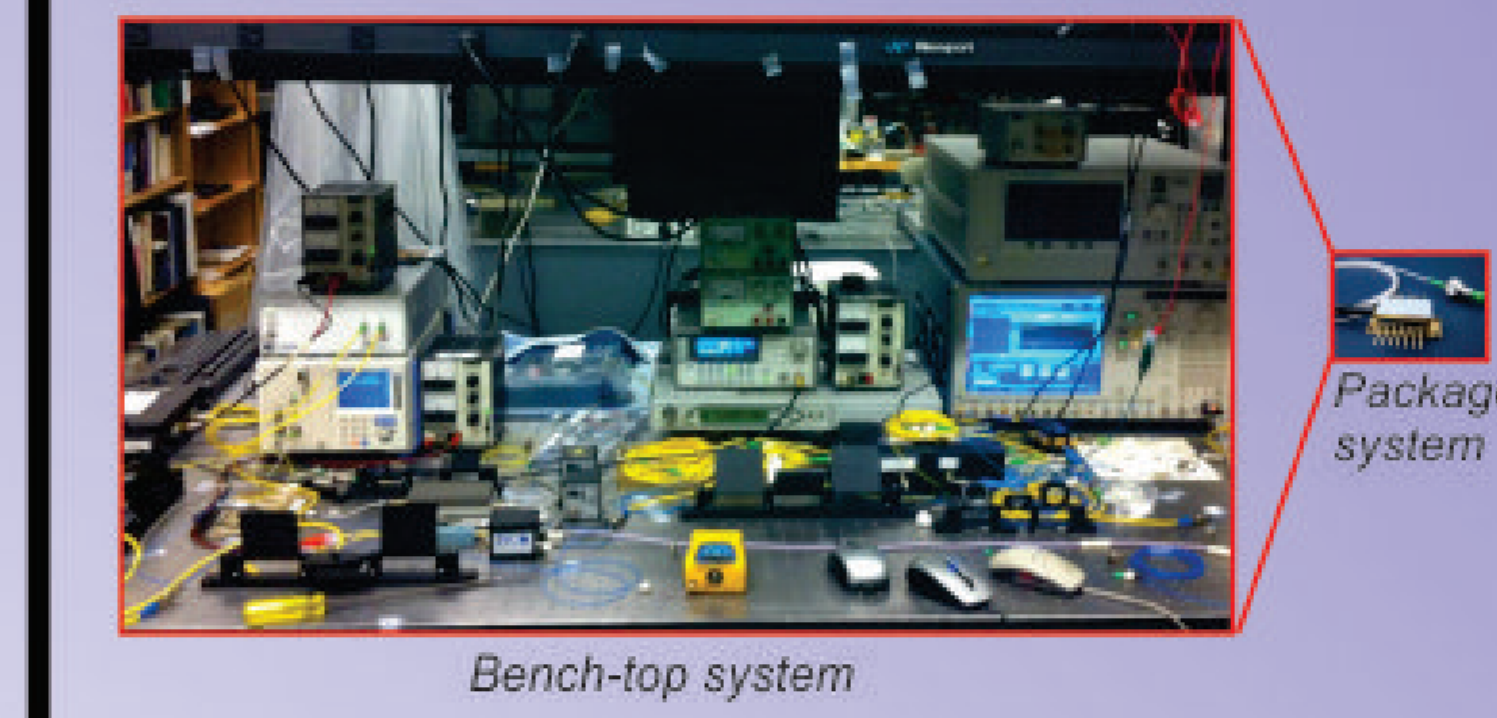
- Very low phase noise: $< 0.03 \text{ rad}^2$ - phase error variance
- ~ 500 MHz loop bandwidth
- $\pm 25 \text{ GHz}$ offset-locking capture range
- 160 GHz output frequency sweep range demonstrated
- Hz level relative accuracy

Phase Sensitive Amplifier

Phase sensitive amplifier (PSA) :

- Provides $< 3 \text{ dB}$ noise figure
- Theoretically possible:
 - noise free amplification
 - noise figure of 0 dB
- Significant improvement of the performance of optical links

- Previously demonstrated PSAs
 - bulky bench-top systems,
 - non-application suited

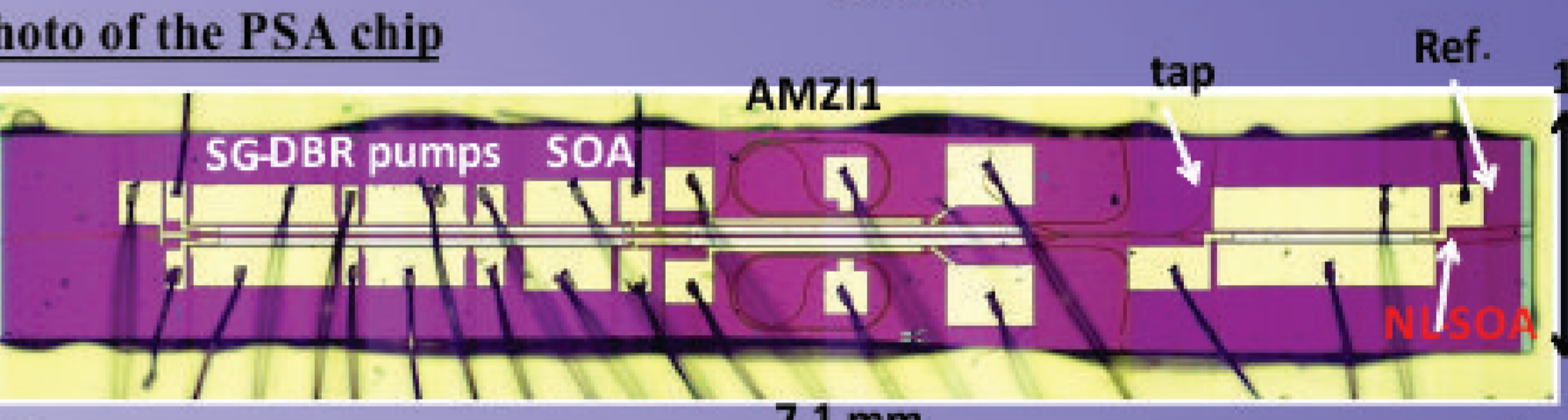
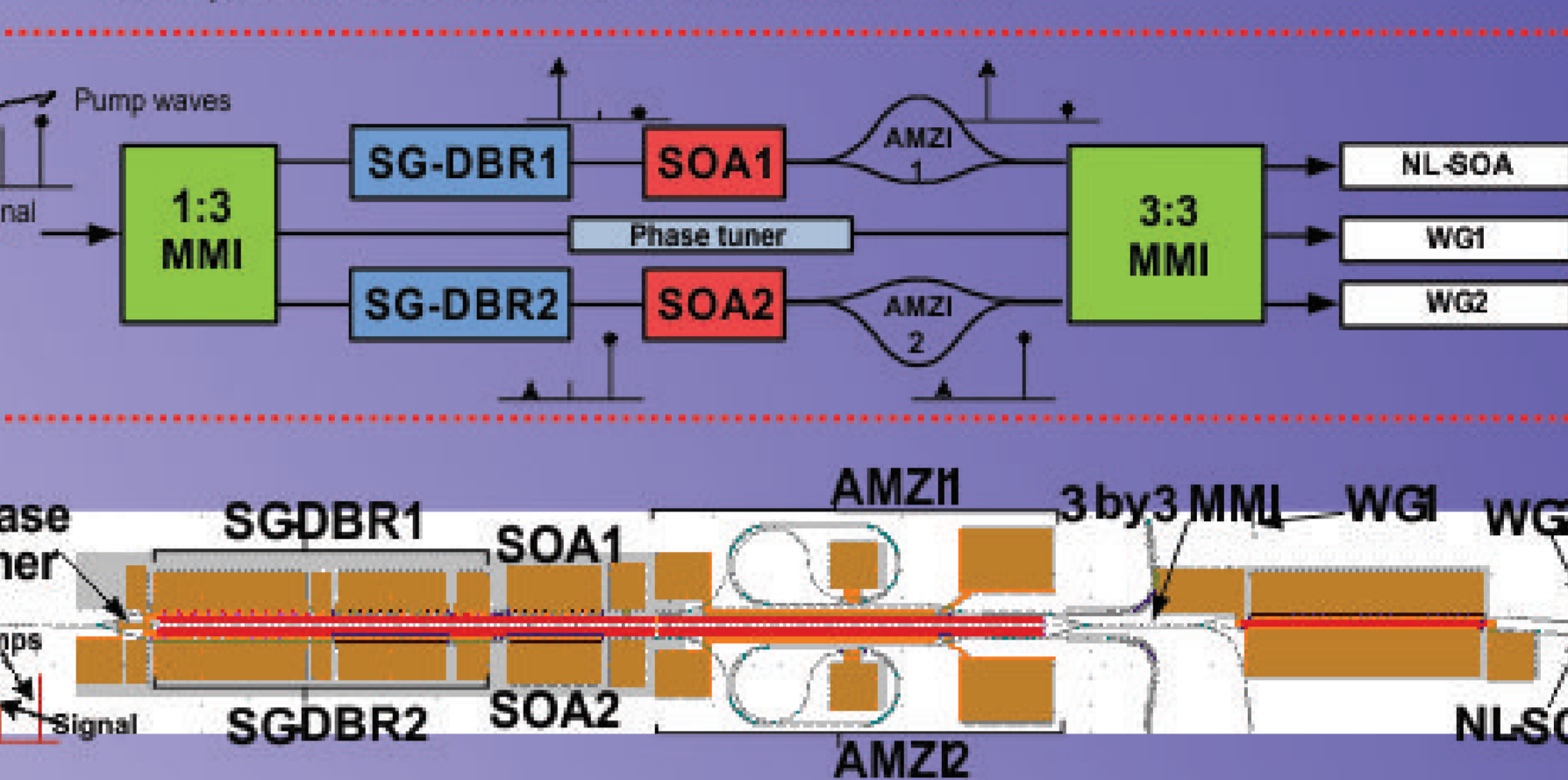
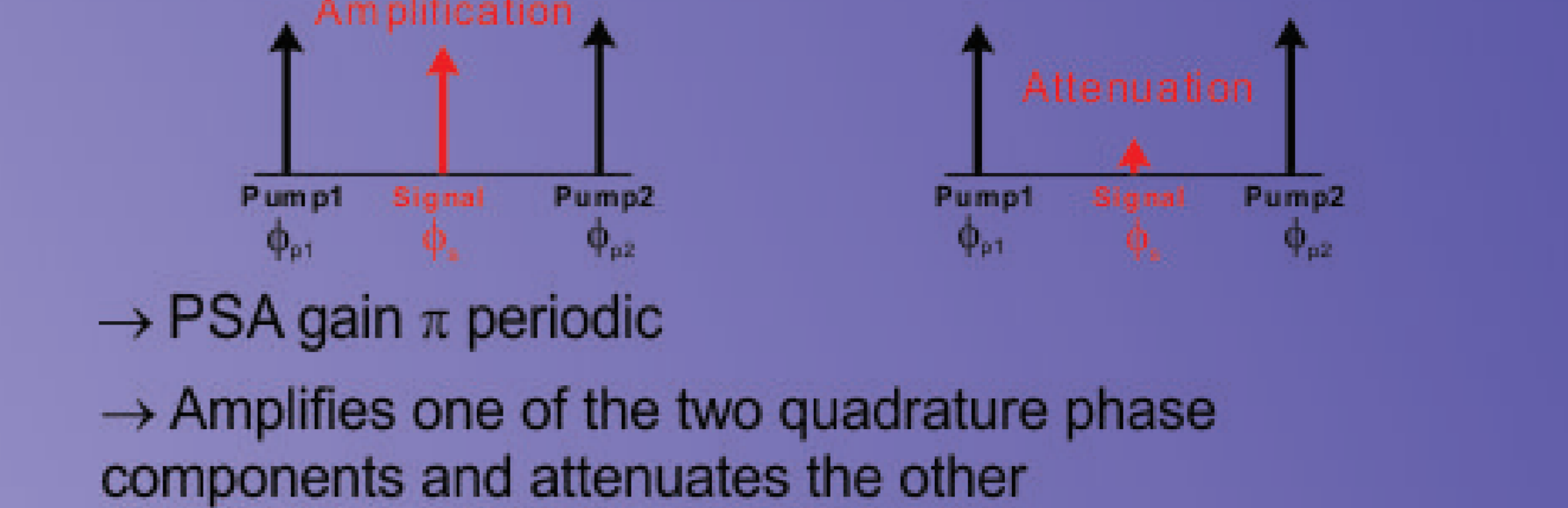


Bench-top system

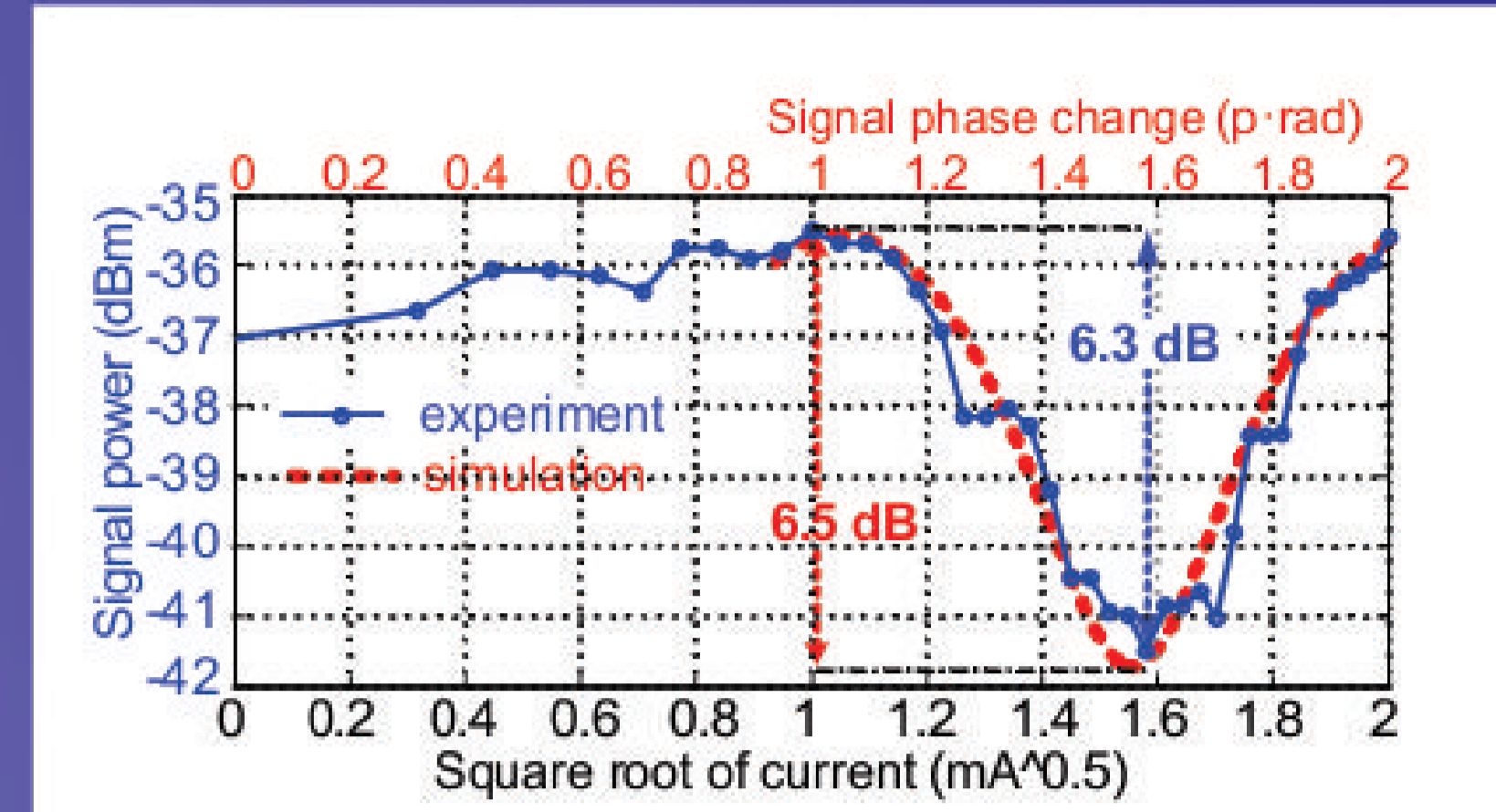
- Photonic integration for PSAs
 - Smaller size, weight, lower power consumption
 - Improved power & phase stability
 - Reduced coupling losses
 - Less susceptible to environmental influences
 - Batch fabrication economies
 - Improved reliability
 - Improved optical alignment, immunity to vibration

Interference of the generated signal with the original signal:

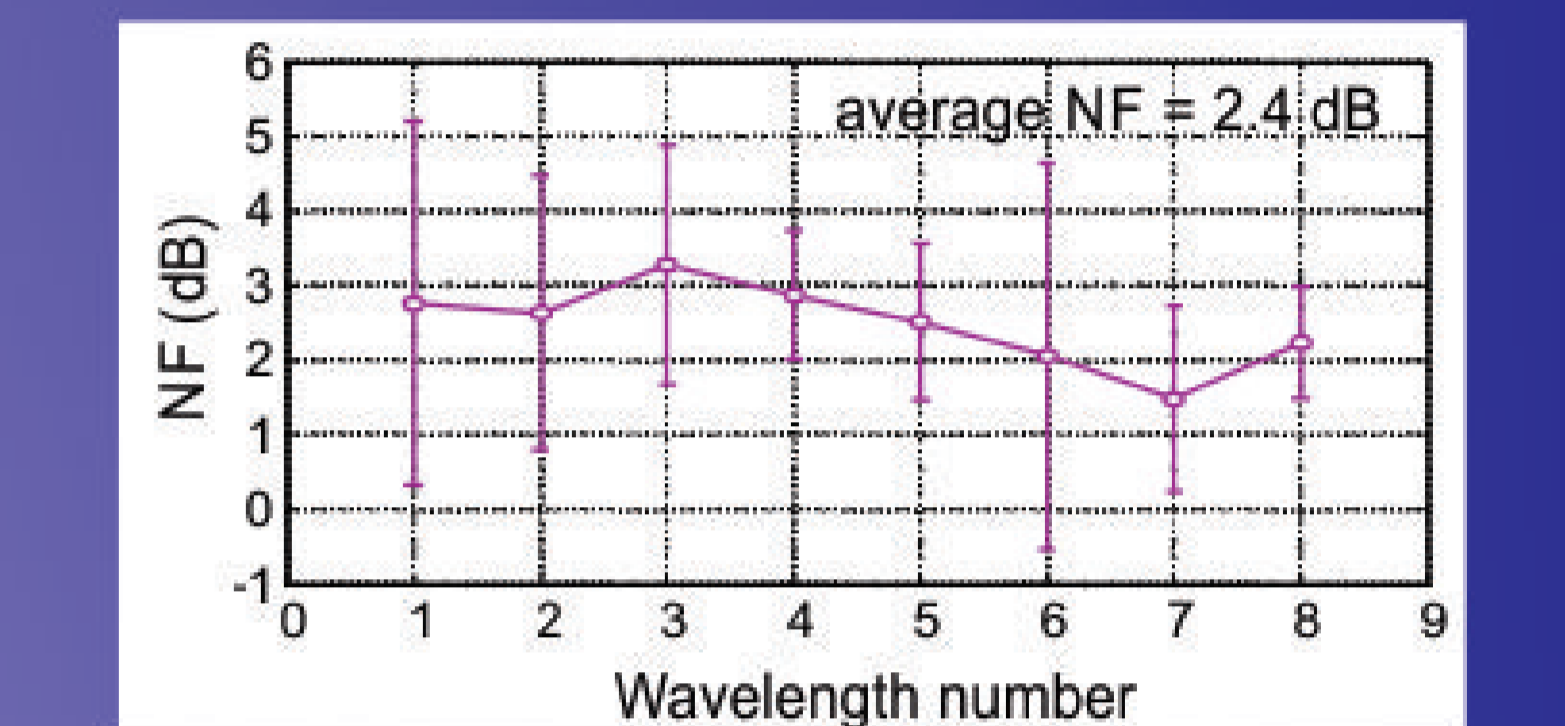
Constructive: $\phi_s = \phi_{p1} + \phi_{p2} - \phi_s + 2m\pi$
 Destructive: $\phi_s = \phi_{p1} + \phi_{p2} - \phi_s + 2(m+1)\pi$



- PSA chip on InP/InGaAsP platform
- Generation of two tunable laser pumps coherently injection-locked from sidebands of an external modulated tone
- Dual-pumped phase-sensitive amplification in a highly saturated semiconductor optical amplifier



Comparisons between measured PSA gain curve and the theoretical simulation



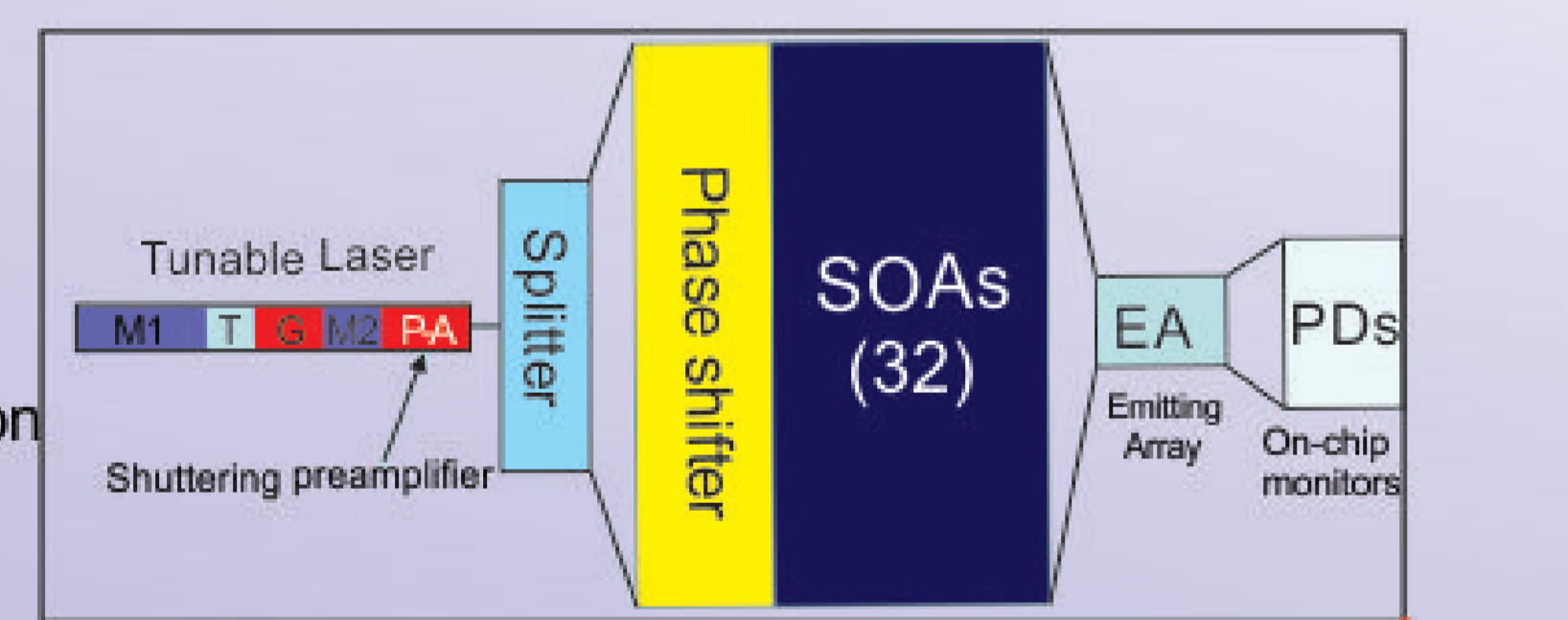
Calculated noise figure

- Results are in good agreement with the theory
- Phase-sensitive amplification experimentally achieved
- Measured phase-sensitive on-chip gain ~6.3 dB
- Measured averaged noise figures ~ 2.4 dB

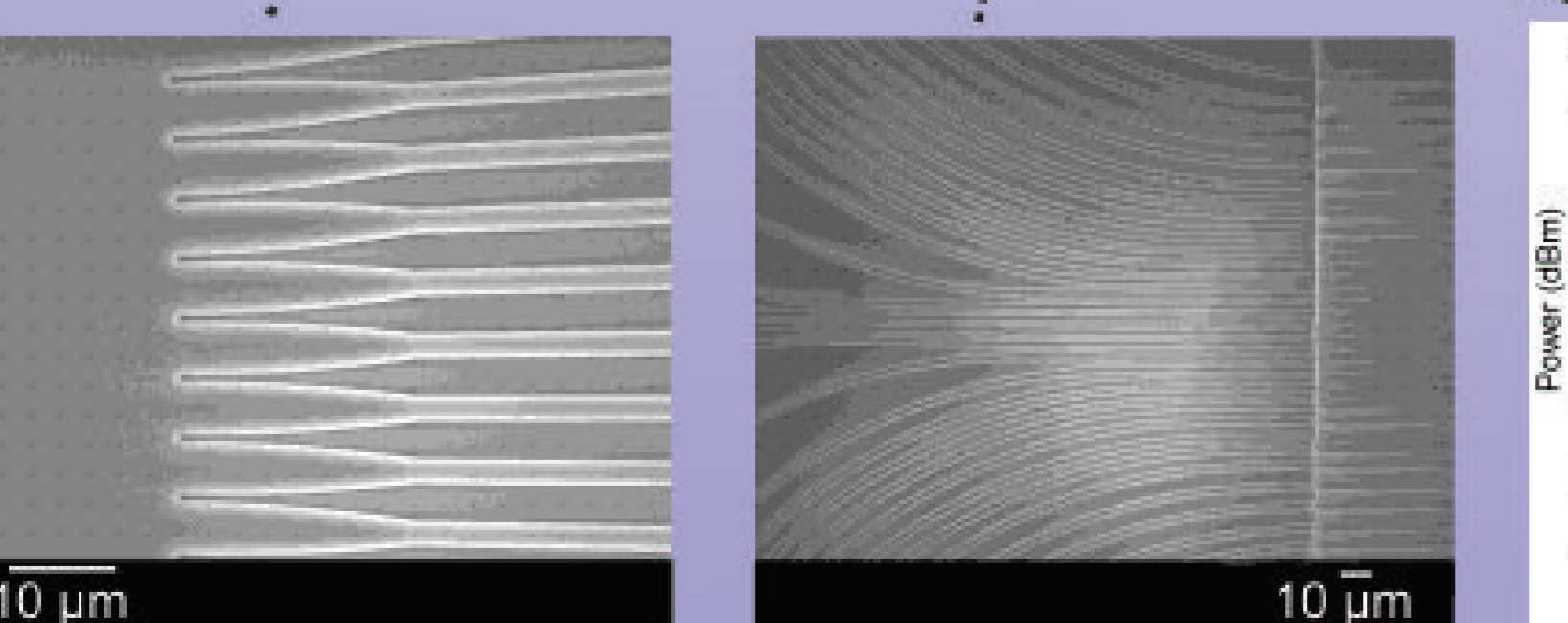
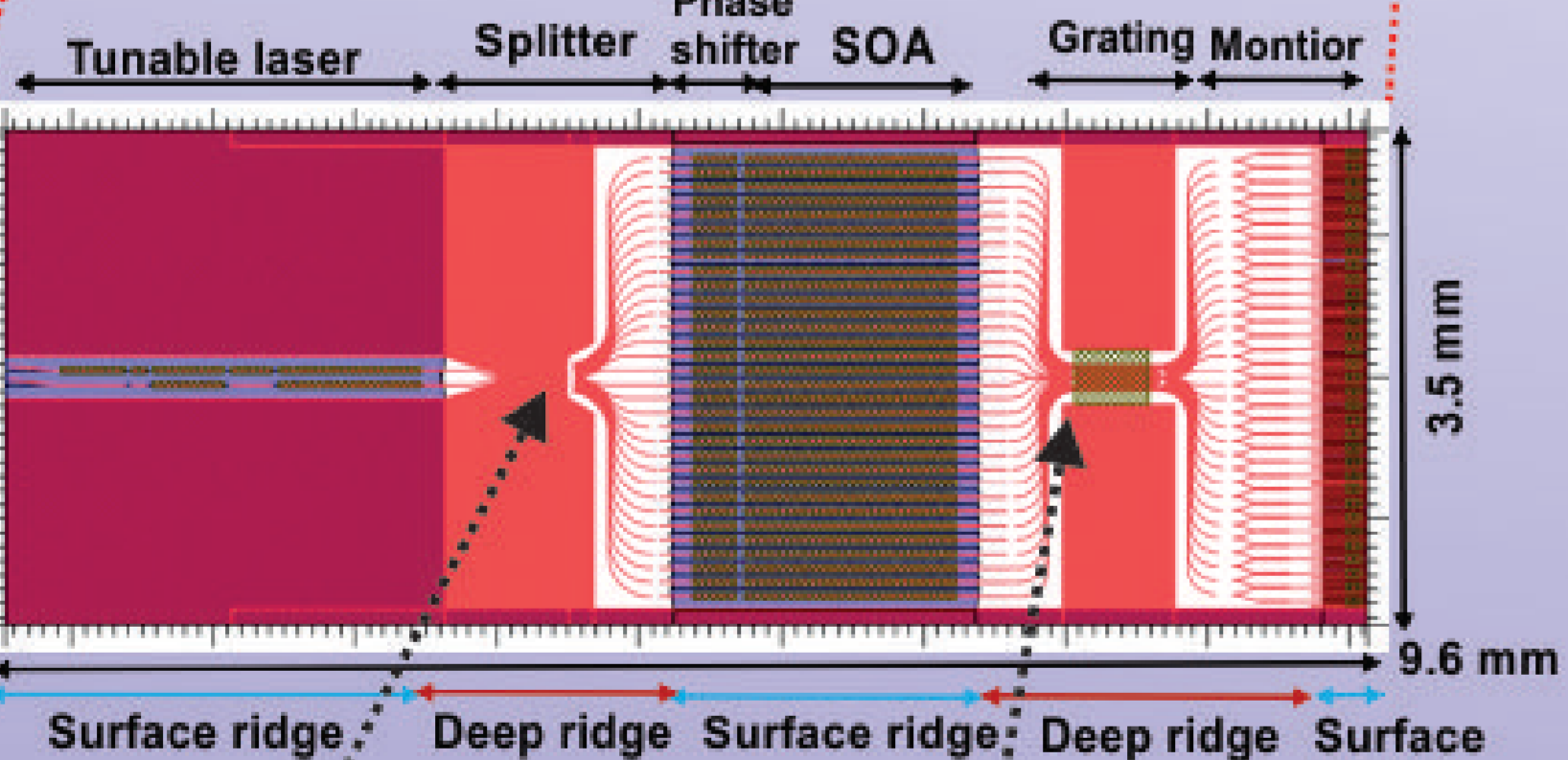
Two-Dimensional Optical Beam Steering

Electronically controlled optical beam steering potentially useful for:

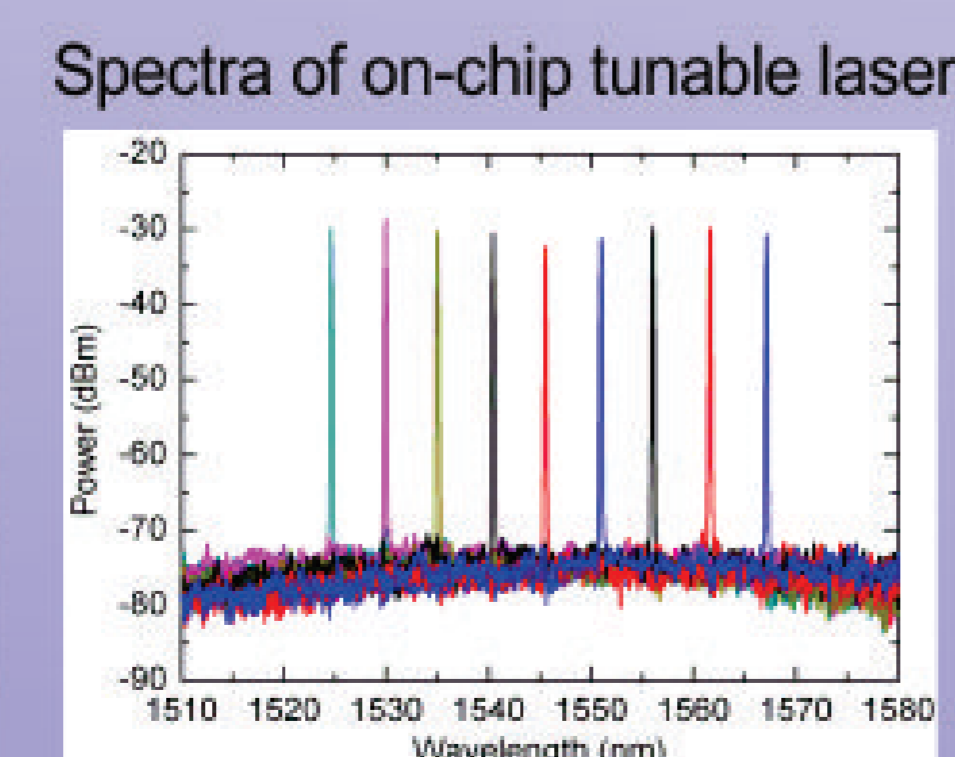
- Laser secure communication
 - Tracking and high speed communication at the same time
- LIDAR (RADAR with light)
 - Active Electronically Scanned Array
 - 3D imaging



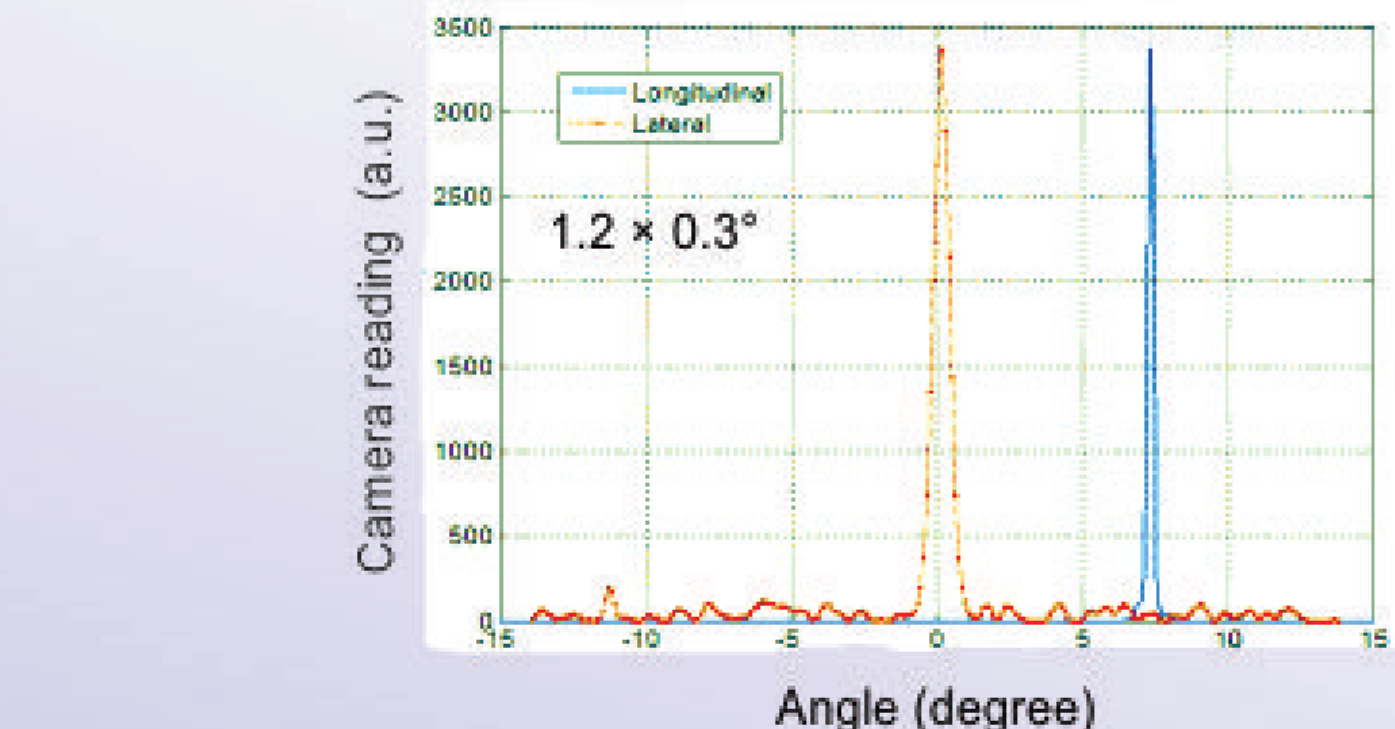
Google car uses rotating laser; arrays with no moving parts needed



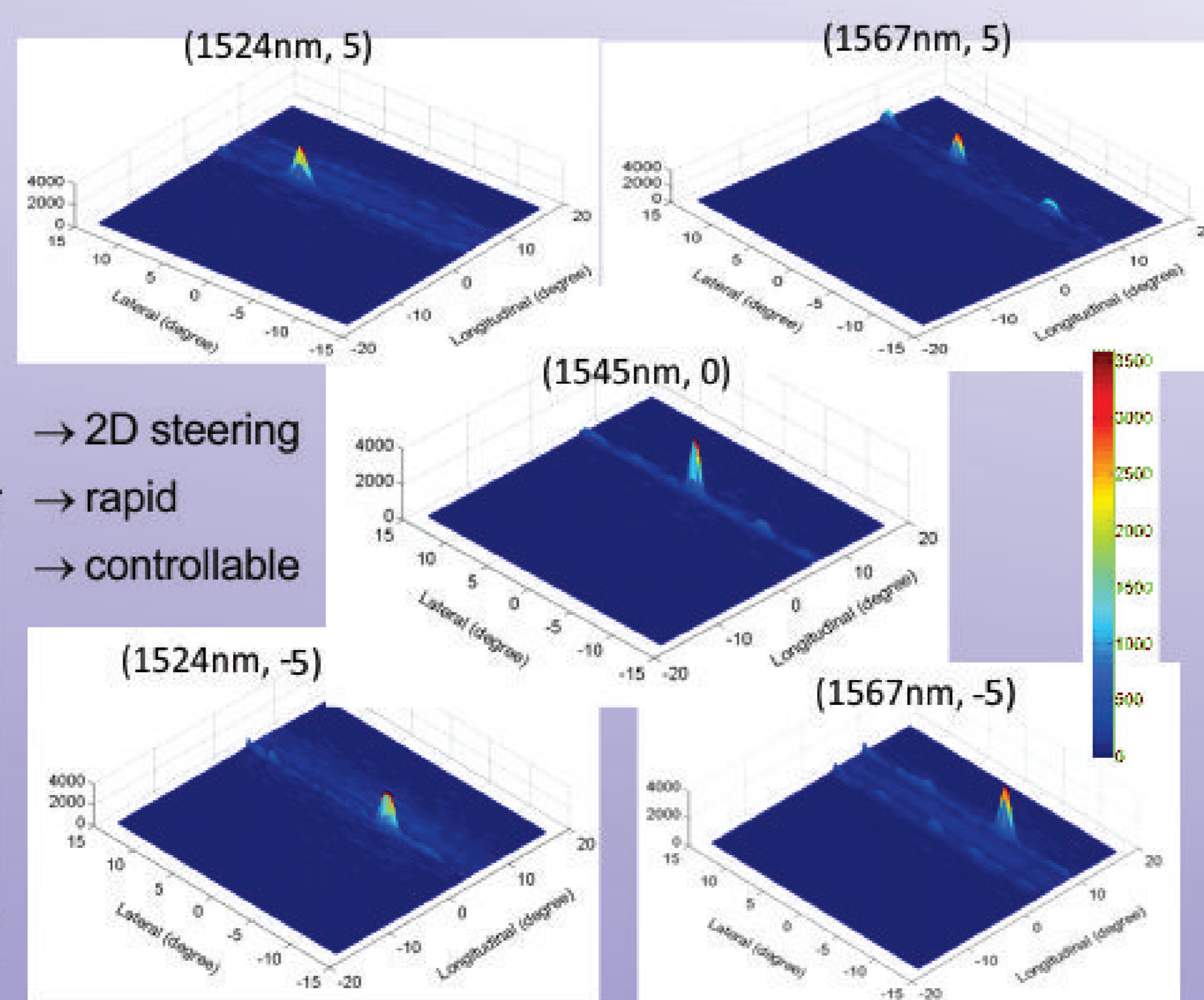
Schematic (top) and mask layout (middle) of the PIC. SEM images of waveguide feeds from splitter and into grating arrays (bottom)



- Super modes of tunable laser
- Tuning range $> 40 \text{ nm}$



Far-field distribution in the lateral and longitudinal direction



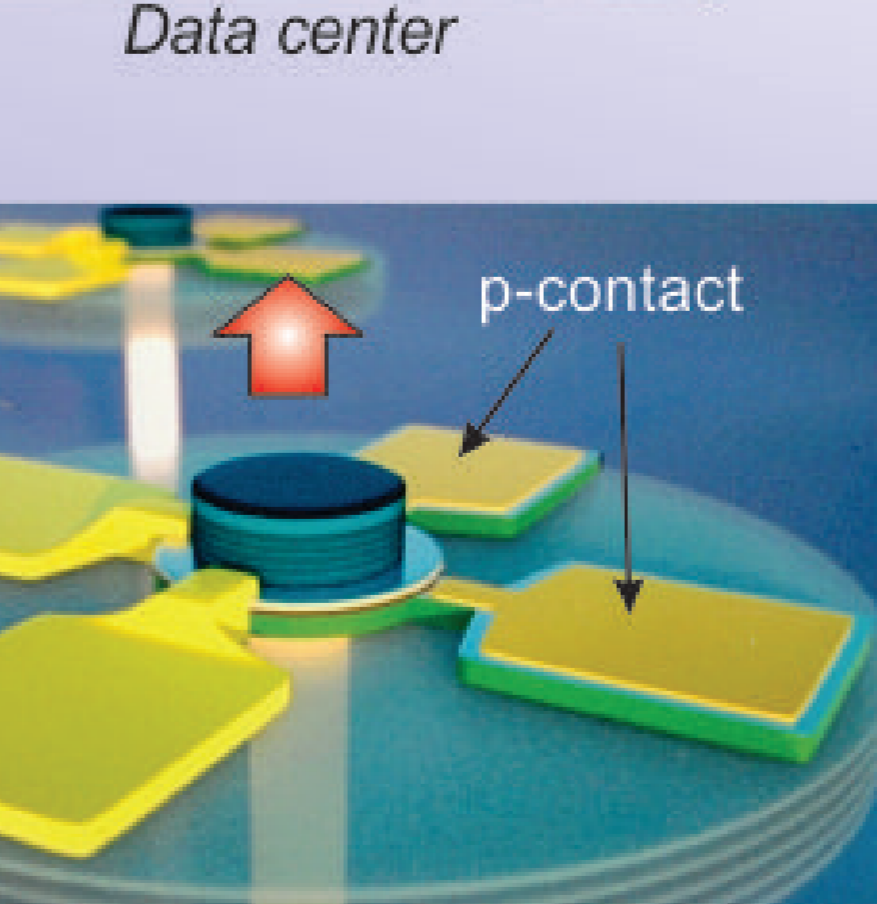
Three-dimensional plot of the far-field patterns for some critical angles in the 2D plane.

- 2D steering
- rapid
- controllable

Polarization Modulation of VCSELs

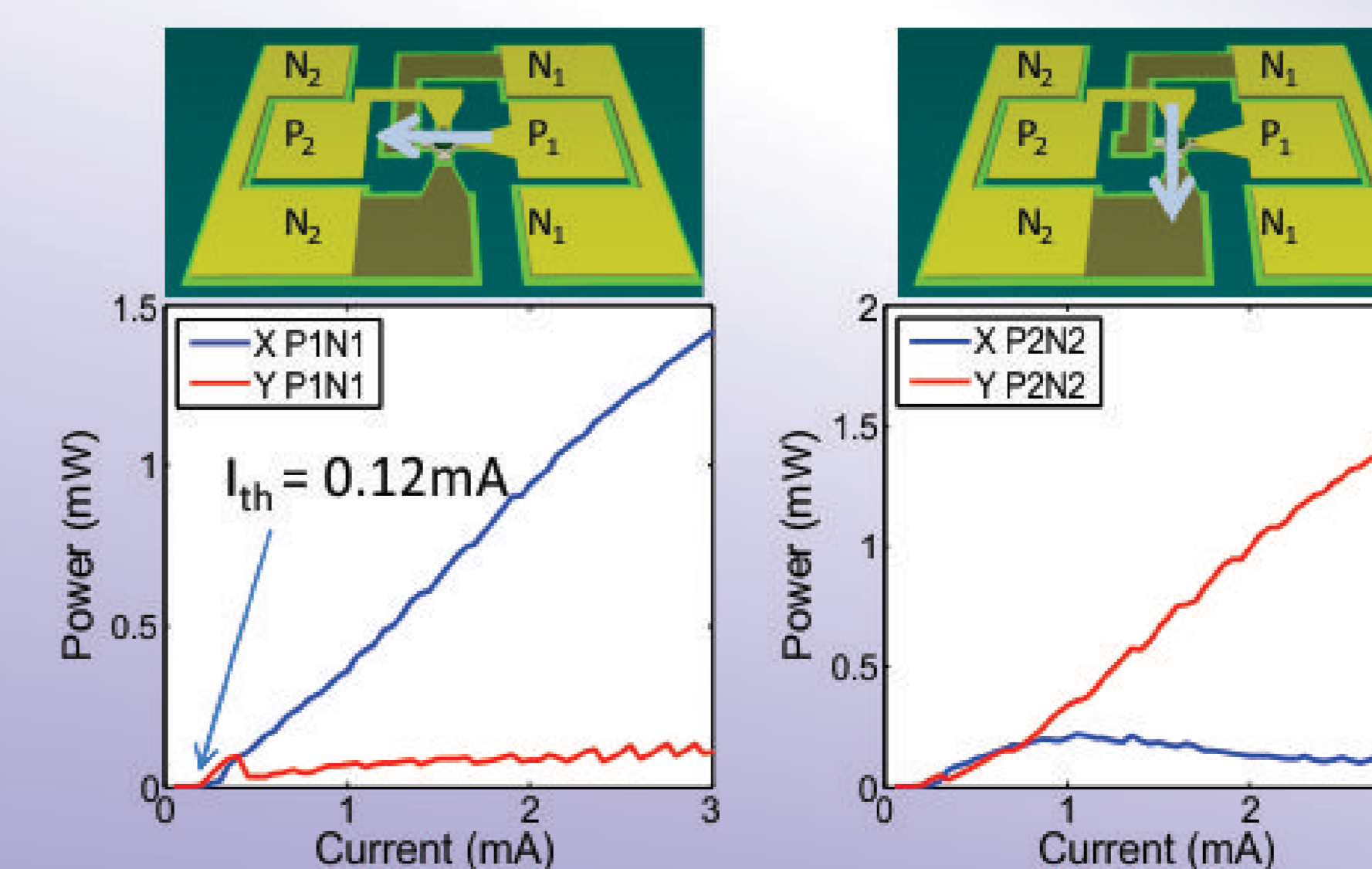
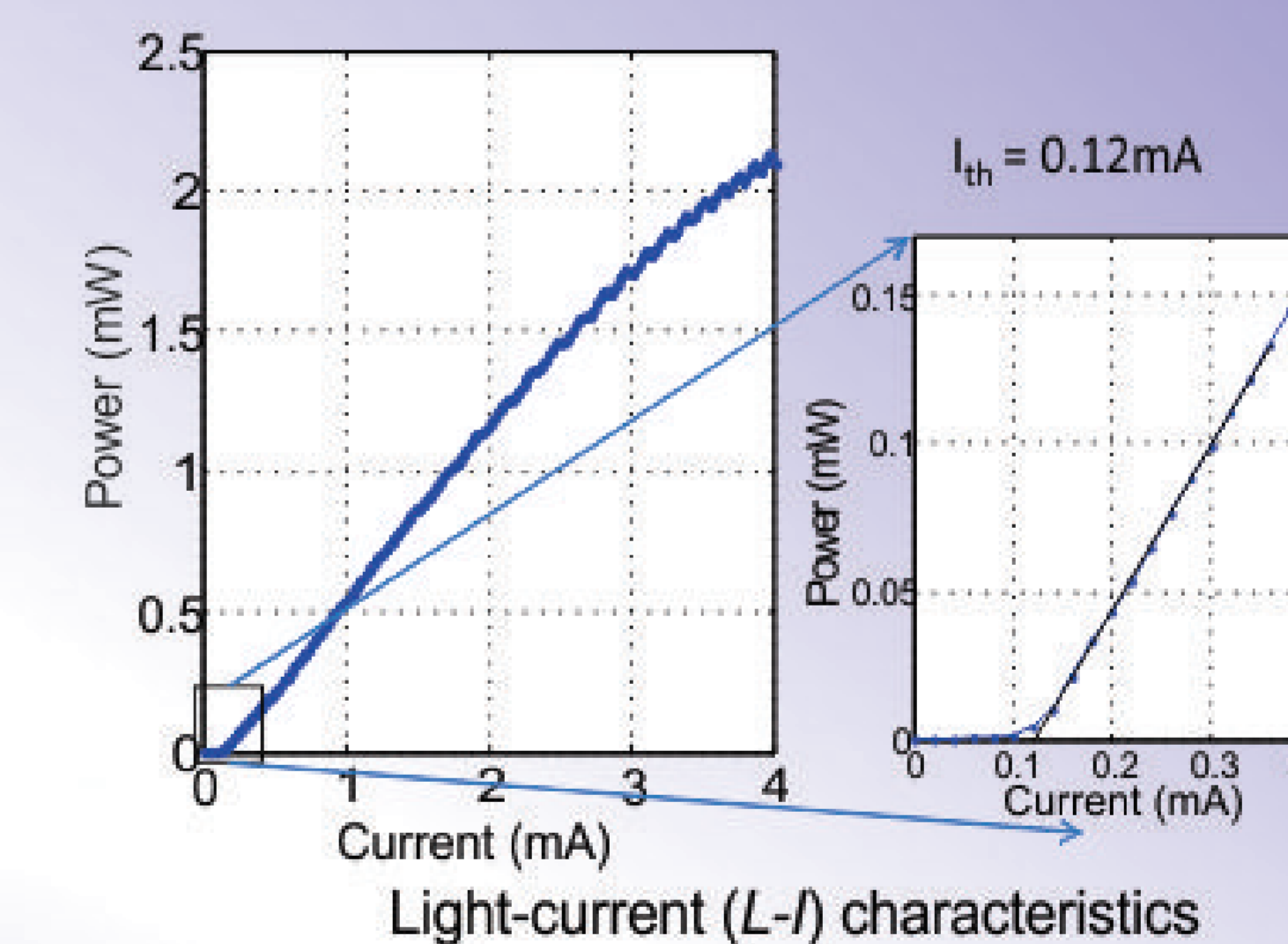
→ Study of a novel technique for high-speed polarization modulation in VCSELs

- Effectively doubles the data rate through polarization modulation
- Electrical RF frequency applied for such modulation
- Direction of lateral current injection determines polarization mode
- Suitable for optical data communication



Schematic view of VCSELs with asymmetric current injection for electrically-controlled polarization modulation

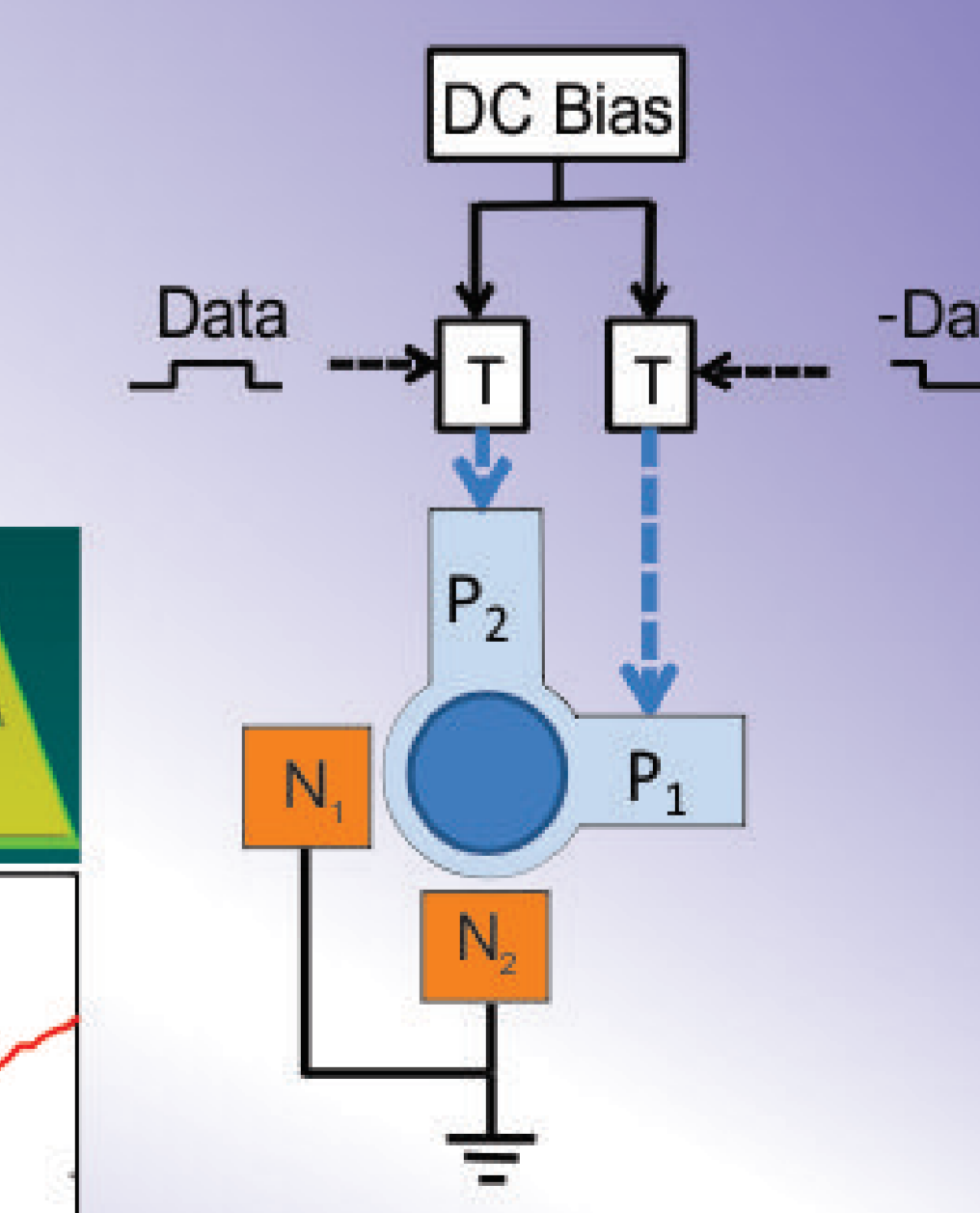
Static characteristics



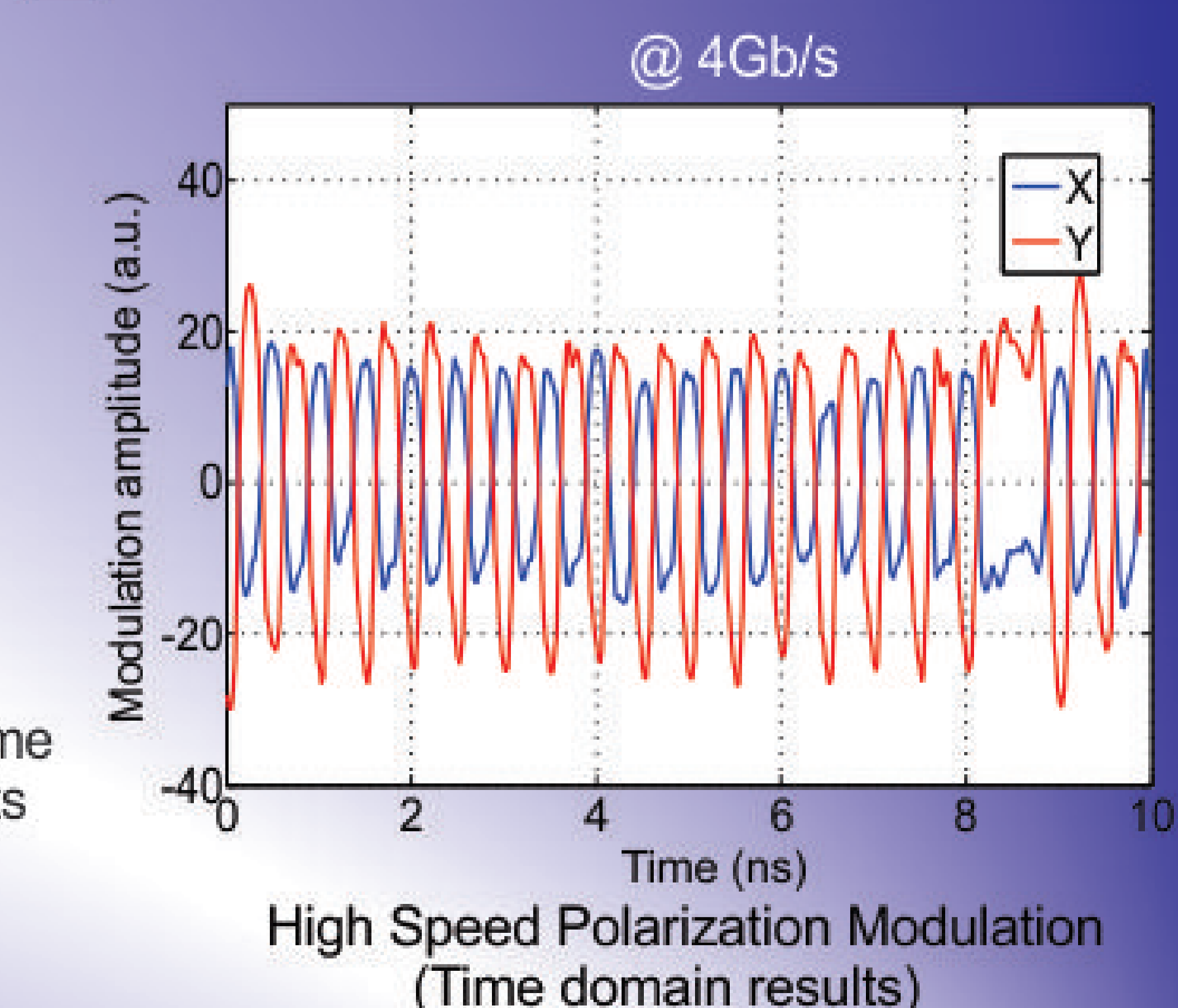
- Polarized L-I characteristics for two paths
- Static extinction ratio $> 14 \text{ dB}$

High speed polarization modulation techniques demonstrated

- efficient as the DC power is shared
- double data rate without using higher modulation formats
- New record for polarization modulation frequency
- -3 dB bandwidth 5.5 GHz (parasitically limited)



Dynamic characteristics



High Speed Polarization Modulation (Time domain results)