

# Wireless Communications

## Lecture 12

### Variable-Rate Variable-Power MQAM

**[Adaptive Rate & Power]**

- Maximize average spectral efficiency:

$$\max \int_0^\infty \log_2 \left( 1 + K\gamma \frac{P(\gamma)}{\bar{P}} \right) p(\gamma) d\gamma$$

where  $K > 0$  and

$$\int P(\gamma)p(\gamma)d\gamma = \bar{P}$$

To find the optimum  $P(\gamma)$ , we form the Lagrangian

$$L = \int_0^\infty \log_2 \left( 1 + K\gamma \frac{P(\gamma)}{\bar{P}} \right) p(\gamma) d\gamma - \lambda \int_0^\infty P(\gamma)p(\gamma)d\gamma + \lambda \bar{P}$$

differentiate with respect to  $P(\gamma)$

$$\frac{\partial L}{\partial P(\gamma)} = 0$$

Then,

$$\frac{P(\gamma)}{\bar{P}} = \begin{cases} \frac{1}{\bar{P}\lambda \ln 2} - \frac{1}{K\gamma} & \gamma > \frac{\bar{P}\lambda \ln 2}{K} \\ 0 & \text{else} \end{cases}$$

- Define  $\gamma_0 = \bar{P}\lambda \ln 2$

Compare with capacity achieving power adaptation technique. We had the same  $\gamma_0$  (max  $C/B$  not  $C$ ).

$$\gamma_K = \frac{\gamma_0}{K} \Rightarrow K < 1, \quad \frac{R}{B} = \int_{\gamma_K}^\infty \log_2 \left( \frac{\gamma}{\gamma_K} \right) p(\gamma) d\gamma, \quad \frac{C}{B} = \int_{\gamma_0}^\infty \log_2 \left( \frac{\gamma}{\gamma_0} \right) p(\gamma) d\gamma$$

- $R < C$  gap can be minimized through coding.

**[Example]** An MQAM is used with  $P_{b1} = 10^{-3}$  &  $P_{b2} = 10^{-6}$ . Which one gives a higher spectral efficiency?

**[Channel Inversion with Fixed Rate]**

We know that  $\sigma = \frac{1}{\mathbf{E}[1/\gamma]}$ .  
Then, for MQAM,

$$\frac{R}{B} = \log_2 \left( 1 + \frac{K}{\mathbf{E}[1/\gamma]} \right), \quad \left( 1 + \frac{K}{\mathbf{E}[1/\gamma]} \right) : M \geq 4 \text{ if not, the other bound should be used}$$

**[Truncated channel Inversion]**

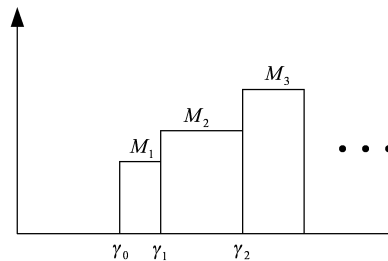
$$\sigma = \frac{1}{\int_{\gamma_0}^{\infty} \frac{P(\gamma)}{\gamma} d\gamma}$$

$$\frac{R}{B} = \max_{\gamma_0} \underbrace{\log_2 \left( 1 + \frac{K}{\int_{\gamma_0}^{\infty} \frac{P(\gamma)}{\gamma} d\gamma} \right)}_{\gamma_0 \uparrow \Rightarrow \uparrow} \underbrace{P(\gamma > \gamma_0)}_{\gamma_0 \uparrow \Rightarrow \downarrow}$$

**[Discrete-Power/ Continuous-Power & discrete-rate adaptation]**

Restrict  $M$  to  $2^{2^{(j-1)}}$  for  $2 \leq j \leq N - 1$  such that

$$\begin{cases} M_0 = 0 \\ M_1 = 2 \\ \dots \\ M_j = 2^{2^{(j-1)}}, \quad 2 \leq j \leq N - 1 \end{cases}$$



Optimum boundaries?

- Sub-optimal solution:

We know

$$M(\gamma) = \frac{\gamma}{\gamma_K^*}$$

Given  $\gamma$ ,  $M_j \leq M(\gamma) < M_{j+1}$ , choose  $M_j$ .

$\Rightarrow$  If  $\gamma_K^* M_j \leq \gamma < \gamma_K^* M_{j+1}$ , choose  $M_j$ .

- Using Power policy:

$$M = 1 + K\gamma \frac{P(\gamma)}{\bar{P}}$$

We will have

$$\frac{P_j(\gamma)}{\bar{P}} = \begin{cases} \frac{(M_j-1)}{K\gamma} & M_j \leq \frac{\gamma}{\gamma_K^*} \leq M_{j+1} \\ 0 & M_j = 0 \end{cases}$$

$$\text{Received SNR} = \frac{M_j - 1}{K}$$

- Average spectral efficiency:

$$\frac{R}{B} = \sum_{j=1}^{N-1} \log_2(M_j) \text{prob}\{M_j \leq \frac{\gamma}{\gamma_K^*} < M_{j+1}\}$$

Find  $\gamma_K^*$  to maximize  $R/B$  subject to

$$\sum_{j=1}^{N-1} P_j(\gamma) \text{prob}\{M_j \leq \frac{\gamma}{\gamma_K^*} < M_{j+1}\} = \bar{P}$$

Need to solve with numerical methods.

[**Note**] we can also change  $N$ .

[Discrete Rate Channel Inversion case]

$$\frac{R}{B} = \log_2 \left[ 1 + \frac{K}{\mathbf{E}[1/\gamma]} \right]_{\mu}$$

where  $\mu = \{M_0, M_1, \dots, M_{N-1}\}$

Truncated cases:

$$\frac{R}{B} = \max_{\gamma_0} \log_2 \left[ 1 + \frac{K}{\int_{\gamma_0}^{\infty} \frac{P(\gamma)}{\gamma} d\gamma} \right] P(\gamma > \gamma_0)$$


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**[Example]** Compare adaptive & non-adaptive schemes

- (a) using  $P_b$  approximation for MQAM, find an expression for average  $P_b$  in Rayleigh fading.

$$\begin{aligned} P_b &\approx 0.2e^{-1.5\gamma/(M-1)} \\ \bar{P}_b &= 0.2 \int_0^{\infty} \lambda e^{-\lambda\gamma - \frac{1.5\gamma}{M-1}} d\gamma = \frac{0.2\lambda}{\lambda + 1.5/(M-1)} \end{aligned}$$

- (b) Max constellation size for  $\bar{P}_b \leq 10^{-3}$  &  $\lambda = \frac{1}{100}$ .

$$\bar{P}_b \leq 10^{-3} \Rightarrow M \leq 1.75 \Rightarrow \text{spectral efficiency} = 0.81 \Rightarrow \text{integer MQAM spectral efficiency} = 0$$

Compare with adaptive case of Figure 9.3,  $\bar{\gamma} = 20$  dB, then spectral efficiency = 4 bps/Hz.

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**[Example]** Rayleigh fading channel with average SNR of 20 dB and target  $P_b = 10^{-4}$ .

- (a) Find optimal rate & power for adaptive rate & power scheme.

$$\frac{P(\gamma)}{\bar{P}} = \begin{cases} \frac{1}{\gamma_0} - \frac{1}{\gamma_K} & \gamma > \frac{\gamma_0}{K} \\ 0 & \text{else} \end{cases}$$

where  $\gamma_K = \frac{\gamma_0}{K}$  and  $M(\gamma) = \frac{\gamma}{\gamma_K}$

$$K = \frac{-1.5}{\log(5P_b)} = 0.1973, \quad \int_0^{\infty} P(\gamma)p(\gamma)d\gamma = \bar{P}, \quad \int_{\frac{\gamma_0}{K}}^{\infty} \left( \frac{1}{\gamma_0} - \frac{1}{\gamma_K} \right) \lambda e^{-\lambda\gamma} d\gamma = 1$$

$\lambda = 1/100$ , using Matlab  $\gamma_0 = 0.8486$ .

Send only if  $\gamma \geq \frac{0.8486}{0.1973} = 4.3$

(b) Average spectral efficiency

$$\begin{aligned}\mathbf{E} \left[ \frac{R}{B} \right] &= \mathbf{E} [\log_2(M)] \\ &= \int_{\gamma_K}^{\infty} \log_2 \left( \frac{\gamma}{\gamma_K} \right) \lambda e^{-\lambda \gamma} d\gamma\end{aligned}$$

with Matlab,  $\mathbf{E} \left[ \frac{R}{B} \right] = 3.7681$  bits/sec/Hz

(c) Compare (b) with truncated channel inversion.

Truncated inversion is  $\frac{P(\gamma)}{P} \gamma = \sigma, \gamma \geq \gamma_0$ .

$$\begin{aligned}\sigma &= \frac{1}{\int_{\gamma_0}^{\infty} P(\gamma)/\gamma d\gamma} \\ \frac{R}{B} &= \max_{\gamma_0} \log_2(1 + K\sigma) \text{prob}\{\gamma > \gamma_0\}\end{aligned}$$

using Matlab,  $\gamma_0 = 23.18, \frac{R}{B} = 3.3628$ .

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